Consumer Financial Protection Bureau
1700 G Street NW, Washington, D.C. 20552

RE: FOIA Request #CFPB-2020-0033-F

May 27, 2020

Mr. Austin Evers
American Oversight
1030 15th Street NW, Suite B255
Washington, DC 20005

Via email: foia@americanoversight.org

Dear Mr. Evers:

This letter is in final response to your Freedom of Information Act (FOIA) request dated November 4, 2019. Your request sought:

1. For any employee who entered into a position at the agency as a "political appointee" since January 19, 2019:

    a. The resume provided by the individual to the agency in connection with determining the appropriate salary for the individual, or, if that is not available, a recent resume contained within the agency's records. We have no objection to the redaction of contact information (addresses, telephone numbers, e-mail addresses) for the employee or references, or to the redaction of past salary information. Employment, education, and professional association information is not exempt and we object to any redactions of such information.

    b. Any conflicts or ethics waivers or authorizations for the individual, including authorizations pursuant to 5 C.F.R. § 2635.502.

    c. Records reflecting any recusal determination made or issued for the individual.

    d. Copies of any SF-50 forms for the individual reflecting any change in position or title, including when the employee enters or leaves a position. We have no objection to the redaction of home addresses, telephone numbers, or social security numbers from the SF-50s.

    *For purposes of this request, please consider any employee in a PAS

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position, a presidentially appointed position, a non-career SES position, a Schedule C position, or any administratively-determined position to be a "political appointee."

2. For any employee who entered into a position at the agency as a "political appointee" since January 20, 2017:

    a. Any conflicts or ethics waivers or authorizations for the individual, including authorizations pursuant to 5 C.F.R. § 2635.502, made or issued after January 19, 2019.

    b. Records reflecting any recusal determination made or issued for the individual, made or issued after January 19, 2019.

    c. Copies of any SF-50 forms for the individual reflecting any change in position or title, including when the employee enters or leaves a position, made or issued after January 19, 2019. We have no objection to the redaction of home addresses, telephone numbers, or social security numbers from the SF-50s.

You indicated for purposes of this request, please consider any employee in a PAS position, a presidentially appointed position, a non-career SES position, a Schedule C position, or any administratively-determined position to be a "political appointee."

A search of our Office of Human Capital and Legal Division for documents responsive to your request produced a total of 123 pages. Of those pages, I have determined that 21 pages of the records are granted in full, 95 pages are granted in part, and 7 pages are withheld in full pursuant to Title 5 U.S.C. § 552 (b)(5), (b)(6), and (b)(3), 5 USC App. § 105.

FOIA Exemption 3 protects information specifically exempted from disclosure by another statute, if the statute (A)(i) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue; or (ii) establishes particular criteria for withholding or refers to particular types of matters to be withheld; and (B) if enacted after the date of enactment of the OPEN FOIA Act of 2009, specifically cites to this paragraph. 5 USC § App. 105 prevents the disclosure of custody of and public access to reports, which includes Section 208 waivers. To request access to these documents, you must follow these procedures from the U.S. Office of Government Ethics:

https://www.oge.gov/Web/OGE.nsf/Resources/OGE+Form+201:+Request+to+Inspect+or+Receive+Copies+of+OGE+Form+278,+SF+278s+or+Other+Covered+Records

FOIA Exemption 5 protects from disclosure those inter- or intra-agency documents that are normally privileged in the civil discovery context. The three most frequently invoked privileges are the deliberative process privilege, the attorney work-product privilege, and the attorney-client

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privilege. After carefully reviewing the responsive documents, I determined that [portions of] the responsive documents qualify for protection under the

- Deliberative Process Privilege
  The deliberative process privilege protects the integrity of the deliberative or decision-making processes within the agency by exempting from mandatory disclosure opinions, conclusions, and recommendations included within inter-agency or intra-agency memoranda or letters. The release of this internal information would discourage the expression of candid opinions and inhibit the free and frank exchange of information among agency personnel.

- Attorney-Client Privilege
  The attorney-client privilege protects confidential communications between an attorney and his client relating to a legal matter for which the client has sought professional advice. It applies to facts divulged by a client to his attorney, and encompasses any opinions given by an attorney to his client based upon, and thus reflecting, those facts, as well as communications between attorneys that reflect client-supplied information. The attorney-client privilege is not limited to the context of litigation.

FOIA Exemption 6 exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy. This requires a balancing of the public's right to disclosure against the individual's right to privacy. The types of documents and/or information that we have withheld may consist of email addresses, social security numbers, home addresses, dates of birth, employment information, financial information, or various other documents and/or information belonging to a third party that are considered personal. The privacy interests of the individuals in the records you have requested outweigh any minimal public interest in disclosure of the information. Any private interest you may have in that information does not factor into the aforementioned balancing test.

You may appeal any of the responses or decisions set forth above. If you choose to file an appeal, you must do so within 90 calendar days from the date of this letter. Your appeal must be in writing, signed by you or your representative, and should contain the rationale for the appeal. You may send your appeal via the mail (address below), email (foia@consumerfinance.gov) or fax (1-855-FAX-FOIA (329-3642)).

Your appeal should be addressed to:

Consumer Financial Protection Bureau
Chief FOIA Officer
Freedom of Information Appeal
1700 G Street, NW
Washington, DC 20552

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Provisions of the FOIA allow us to recover part of the cost of complying with your request. In this instance, we have waived all fees related to the processing of your request. Therefore, your fee waiver is moot.

For inquiries concerning your request, please reference your FOIA request number above and contact our FOIA Public Liaison via email at FOIA@consumerfinance.gov or by phone at 1-855-444-FOIA (3642).

Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, MD 20740; e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

Sincerely,



Danielle Duvall Adams
FOIA Manager
Office of the Chief Data Officer

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Page 01

Withheld pursuant to exemption

(b)(3):5 USC app. 105

of the Freedom of Information and Privacy Act

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Page 02

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(b)(3):5 USC app. 105

of the Freedom of Information and Privacy Act

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Page 03

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Page 04

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Page 05

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of the Freedom of Information and Privacy Act

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Page 06

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(b)(3):5 USC app. 105

of the Freedom of Information and Privacy Act

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February 22, 2019

Sonya A. White
Designated Agency Ethics Official
Consumer Financial Protection Bureau
1700 G Street, NW
Washington, DC 20552

Dear Ms. White:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest while serving as the Policy Associate Director for External Affairs at the Bureau of Consumer Financial Protection. I recognize that Bureau employees strive to achieve a standard of exemplary integrity in all that they do and that service with integrity is a core value at the Bureau.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I will partially divest of my financial interest in Virginia Community Bankshares within 90 days of my appointment to my Bureau position. Specifically, I will divest a sufficient number of shares in Virginia Community Bankshares to ensure that the value of my financial interest will remain under $25,000 while I am employed at the Bureau. Initially, while my financial interest in Virginia Community Bankshares is in excess of $25,000, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of this entity, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1).

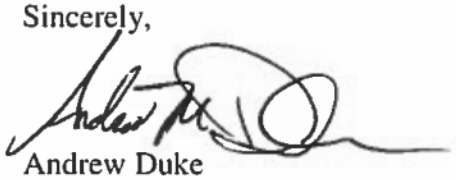
If I rely on a *de minimis* exemption under 5 C.F.R. § 2640.202 with regard to any of my financial interests in securities, I will monitor the value of those interests. If the aggregate value of interests affected by a particular matter increases and exceeds the *de minimis* threshold, I will not participate personally and substantially in the particular matter that to my knowledge has a direct and predictable effect on the interests, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1).

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I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein.

If I have any questions concerning the application of the ethics limitations described above, I will contact a Bureau Ethics Official.

Sincerely,



Andrew Duke

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ETHICS PLEDGE

As a condition, and in consideration, of my employment in the United States Government in an appointee position invested with the public trust, I commit myself to the following obligations, which I understand are binding on me and are enforceable under law:

1. I will not, within 5 years after the termination of my employment as an appointee in any executive agency in which I am appointed to serve, engage in lobbying activities with respect to that agency.

2. If, upon my departure from the Government, I am covered by the post-employment restrictions on communicating with employees of my former executive agency set forth in section 207(c) of title 18, United States Code, I agree that I will abide by those restrictions.

3. In addition to abiding by the limitations of paragraphs 1 and 2, I also agree, upon leaving Government service, not to engage in lobbying activities with respect to any covered executive branch official or non-career Senior Executive Service appointee for the remainder of the Administration.

4. I will not, at any time after the termination of my employment in the United States Government, engage in any activity on behalf of any foreign government or foreign political party which, were it undertaken on January 20, 2017, would require me to register under the Foreign Agents Registration Act of 1938, as amended.

5. I will not accept gifts from registered lobbyists or lobbying organizations for the duration of my service as an appointee.

6. I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients, including regulations and contracts.

7. If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

8. I agree that any hiring or other employment decisions I make will be based on the candidate's qualifications, competence, and experience.

9. I acknowledge that the Executive Order entitled "Ethics Commitments by Executive Branch Appointees," issued by the President on January 28, 2017, which I have read before signing this document, defines certain terms applicable to the foregoing obligations and sets forth the methods for enforcing them. I expressly accept the provisions of that Executive Order as a part of this agreement and as binding on me. I understand that the obligations of this pledge are in addition to any statutory or other legal restrictions applicable to me by virtue of Government service.

Signature

2/21, 2019
Date

Duke, Andrew, Maurice
Print or type your full name (last, first, middle)

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cfpb Consumer Financial Protection Bureau

WAIVER OF CFPB ETHICS REGULATION

To: Olivia Edwards
Executive Assistant to the Chief of Staff, Office of the Director

From: Sonya A. White SAW
Designated Agency Ethics Official

Subject: Waiver of CFPB Ethics Regulation 5 C.F.R. § 9401.106(a) Regarding Ownership of a Prohibited Financial Interest

Date: February 28, 2019

Based on the facts you have presented to me, I have decided to waive the regulatory requirement in 5 C.F.R. § 9401.106(a) regarding your prohibited financial interest in South State Bank, subject to the limitations described in this waiver.

Under the CFPB Ethics Regulations, an employee of the Bureau "shall not own or control a security in an entity supervised by the Bureau." 5 C.F.R. § 9401.106(a). South State Bank is an entity supervised by the Bureau, and is included on the list of prohibited financial holdings for Bureau employees. In individual cases, the Bureau's Designated Agency Ethics Official (DAEO) or Alternate DAEO may issue a written waiver permitting an employee to own or control a security in an entity supervised by the Bureau. 5 C.F.R. § 9401.106(e).

A waiver is appropriate here because you meet the applicable regulatory criteria: (1) upon your appointment to the Bureau, you immediately notified the Bureau's ethics office that you own stock in South State Bank; (2) mitigating circumstances exist as to how you acquired this security; (3) your disqualification from participating in particular matters involving South State Bank would not unduly interfere with the full performance of your duties; and, (4) the Bureau's Front Office supports the issuance of this waiver.

Analysis in Support of this Waiver

(a) Your Stock in South State Bank. We understand that you received the shares in (b)(6)

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(b)(6) The shares currently are valued under $5000.

(b) Employment and Duties at the Bureau. You are an Executive Assistant to the Chief of Staff in the Office of the Director. Your duties include: (1) managing, expediting and/or personally performing all administrative work associated with the day-to-day management of the Director's Office; (2) performing a wide range of administrative and programmatic activities in support of senior level staff in the Office of the Director; (3) managing the calendars, and making meeting and travel commitments for the Chief of Staff and other senior staff members as requested; and, (4) planning and organizing meetings, conferences, and workshops for Front Office staff.

We have consulted with senior management in the Director's Office as required in the CFPB Ethics Regulations when considering a waiver request. Based on that conversation, we have determined that your continued recusal from participating personally and substantially in all Bureau particular matters involving South State Bank will not unduly interfere with your ability to fully perform the duties of your position. Furthermore, the Bureau's Chief of Staff supports the issuance of this waiver.

(c) Limitations. Please note this waiver does not permit you to participate personally and substantially on Bureau matters involving South State Bank. If any particular matter involving South State Bank, or one of its subsidiaries or affiliates arises in your workload, you are prohibited from participating personally and substantially on the matter pursuant to 5 C.F.R. § 9401.106(d)(1), unless you have consulted with me or a Bureau deputy ethics official, and that ethics official has authorized you in writing to work on the matter.

Specifically, you are disqualified from participating in specific party matters in which South State Bank is a party or represents a party, including without limitation, an examination, enforcement investigation or action, partnership agreement, or a consumer complaint. You also are disqualified from participating in matters of general applicability affecting a discrete and identifiable class of entities within which South State Bank would fall, including without limitation: rulemakings covering entities that offer checking and savings accounts to consumers; policies concerning credit card or consumer mortgage issuance or servicing; and, regulatory interpretations of existing Bureau rules concerning mortgage disclosures.

This waiver expires 60 days after the date you are appointed to a different position at the Bureau. However, if you are appointed to a different position at the Bureau, you will have 60 calendar days from your date of appointment to the new position to apply for a new waiver (before this one expires) and I will consider your application.

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Conclusion

After carefully weighing the factors set forth above, I have concluded that a waiver of the CFPB Ethics Regulation in 5 C.F.R. § 9401.106(a) regarding the prohibition against a Bureau employee owning a security in an entity supervised by the Bureau is appropriate.

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June 5, 2019

Sonya A. White
Designated Agency Ethics Official
Consumer Financial Protection Bureau
1700 G Street, NW
Washington, DC 20552

Dear Ms. White:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest while serving as the Deputy Chief of Staff at the Consumer Financial Protection Bureau (Bureau). I recognize that Bureau employees strive to achieve a standard of exemplary integrity in all that they do and that service with integrity is a core value at the Bureau.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have been advised that the duties of the position of Deputy Chief of Staff may involve particular matters affecting the financial interests of the following entities: Apple, Inc., Walt Disney Company, and Netflix. The Bureau has determined that it is not necessary at this time for me to divest my interests in these entities because my recusal from particular matters in which these interests pose a conflict of interest will not substantially limit my ability to perform the essential duties of the position of Deputy Chief of Staff. Accordingly, for as long as I own these interests, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of any of these entities, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

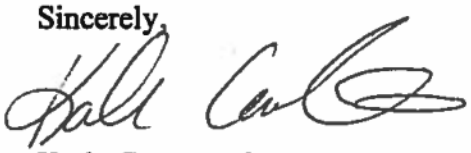
I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein. Specifically, for two years from the date of my appointment, I will recuse myself from participating in any Bureau

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particular matter involving a specific party or parties, including any meetings or other communications, in which Axiom Resource Management is a party to the matter.

If I have any questions concerning the application of the ethics limitations described above, I will contact a Bureau Ethics Official.

Sincerely,



Karla Carnemark

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cfpb Consumer Financial Protection Bureau

WAIVER OF CFPB ETHICS REGULATION

To: Brian Johnson
Deputy Director

From: Amber B. Vail
Alternate Designated Agency Ethics Official
Legal Division

AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.08.01 12:50:40 -04'00'

Subject: Waiver of CFPB Ethics Regulation 5 C.F.R. § 9401.108(a) Regarding Restrictions on Seeking, Obtaining, or Renegotiating Credit or Indebtedness

Date: August 1, 2019

Based on the facts you presented to me, I have decided to waive the regulatory requirement in the Supplemental Standards of Ethical Conduct for Employees of the Bureau of Consumer Financial Protection (hereinafter "CFPB Ethics Regulations") at 5 C.F.R. § 9401.108(a) that otherwise would restrict (b)(6) (b)(6) American Honda Finance Corporation or Volkswagen Credit.

Under the CFPB Ethics Regulations, while an employee of the Bureau is assigned to participate in a particular matter involving specific parties, the employee or the employee's spouse "shall not seek, obtain, or renegotiate credit or indebtedness with an entity that is or represents a party to the matter." 5 C.F.R. § 9401.108(a)(1). This restriction continues for two years after the employee's participation in the particular matter has ended. 5 C.F.R. § 9401.108(a)(2). The Bureau's Designated Agency Ethics Official (DAEO) or Alternate DAEO may issue a written waiver of these restrictions in individual cases. 5 C.F.R. § 9401.108(f).

A waiver is appropriate here because I have determined that permitting (b)(6) (b)(6) American Honda Finance Corporation or Volkswagen Credit would not be prohibited by law (18 U.S.C. § 208) or create an appearance of loss of impartiality or use of public office for private gain, and would not otherwise be inconsistent with the Standards of Ethical Conduct for Employees of the Executive Branch or the CFPB Ethics Regulations.

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Analysis in Support of this Waiver

Employment and Duties at the Bureau. Since December 2017, you have worked in the Bureau's Office of the Director in various high-level positions. You initially served as a Senior Advisor to the Director and were tasked with advising the former Acting Director on policies and directives that impacted the operations and mission of the Bureau. In April 2018, you were appointed as the Principal Policy Director of the Bureau. In May of this year, the Bureau's Director appointed you as Deputy Director of the Bureau, which requires you to serve as acting Director in the absence or unavailability of the Director. Additionally, you recently were tasked with also serving as the Acting Policy Associate Director for the Division of Supervision, Enforcement and Fair Lending. In each of these high-level roles, you have been responsible for ensuring that the former Acting Director's and current Director's policy priorities are appropriately communicated to and executed by the Bureau.

Since starting with the Bureau, you have been responsible for reviewing, overseeing, and advising the former Acting Director and current Director on almost every significant matter pending before the Bureau, to include litigation, examination, and enforcement actions involving novel or complex legal issues. You have been involved in hundreds of specific party matters involving different financial entities since starting with the Bureau. You do not recall participating in a particular matter involving specific parties in which American Honda Finance Corporation or Volkswagen Credit was a party. However, given your high-level involvement and oversight over all Bureau matters, we are erring on the side of caution and presuming that you have participated in such a specific party matter involving American Honda Finance Corporation and Volkswagen Credit.

American Honda Finance Corporation and Volkswagen Credit. (b)(6) (b)(6) from either American Honda Finance Corporation or Volkswagen Credit. For purposes of the CFPB Ethics Regulations, leasing an automobile is considered seeking or obtaining credit or indebtedness. *See* 5 C.F.R. § 9401.102.

Legal Analysis. (b)(6) from American Honda Finance Corporation or Volkswagen Credit is not prohibited by the criminal conflict of interest statute, 18 U.S.C. § 208. That is, you are not currently participating in any Bureau particular matter that would have a direct and predictable effect on the leasing prices or terms and conditions of the leasing agreement sought by you and your spouse.

(b)(6)

will not create the appearance of a loss of impartiality. You currently are not participating personally and substantially in any Bureau specific party matter involving either American Honda Finance Corporation or Volkswagen Credit. (b)(6)

(b)(6)

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(b)(6) there is no evidence that you are attempting to use your official Bureau position for your personal gain.

(b)(6)

Limitations. This waiver permits you to (b)(6) American Honda Finance Corporation or Volkswagen Credit. It does not extend to seeking, obtaining, or renegotiating any other type of credit or indebtedness from American Honda Finance Corporation or Volkswagen Credit.

(b)(6) this waiver does not permit you to work on any Bureau particular matter involving specific parties in which American Honda Finance Corporation or Volkswagen Credit is a party to the matter. If any party-specific particular matter involving American Honda Finance Corporation or Volkswagen Credit arises in your workload, you are disqualified from working on the matter pursuant to 5 C.F.R. § 9401.108(d) unless you have consulted with me, the ADAEO, or a Bureau deputy ethics official, and that ethics official has authorized you in writing to work on the matter.

However, to the extent that any arise in your workload, you may continue to participate in matters of general applicability affecting a discrete and identifiable class of entities within which American Honda Finance Corporation or Volkswagen Credit would fall, including without limitation, rulemakings and regulations affecting American Honda Finance Corporation or Volkswagen Credit.

(b)(6) section 9401.109 of the CFPB Ethics Regulations will take effect with regard to this credit or indebtedness. That regulation allows you to participate in particular matters involving specific parties in which the entity from which you obtain the lease is a party, so long as you and your spouse are not "in an adversarial position" with that entity (b)(6) as described in Section 9401.109(b).

Conclusion

After carefully weighing the factors set forth in this memorandum, I have concluded that a waiver of the CFPB Ethics Regulation prohibiting seeking, obtaining, or renegotiating credit or indebtedness is appropriate with respect to (b)(6) (b)(6) from either American Honda Finance Corporation or Volkswagen Credit, subject to the limitations described.

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STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	8/8/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Banjo

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
(b)(6)	8-12-19
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.08.12 11:39:28 -04'00'	

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PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

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STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

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Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	8/28
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Verdigris Holdings

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
(b)(6)	8/30
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.09.12 14:36:49 -04'00'	**August 30, 2019**

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PRIVACY ACT STATEMENT

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STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	9/16/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Trinity Capital Investment

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Emp(b)(6)	**Date Submitted**
	9/19/19
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.09.19 11:00:19 -04'00'	**09/19/19**

AMERICAN OVERSIGHT

PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the BCFP becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	10/2/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Rex Home

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
(b)(6)	10/2/19
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.02 14:38:28 -04'00'	10/02/2019

AMERICAN OVERSIGHT

cfpb

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	Brian Johnson
CFPB Office/Division	DFO
Date Discussion, Negotiation, or Agreement Commenced	Thursday, October 3, 2019
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Alston & Bird LLP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
[signature]	10/7/19
Bureau Ethics Official Signature	**Date Received**
[signature]	10.7.19

PRIVACY ACT STATEMENT

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AMERICAN OVERSIGHT

[redacted]

cfpb Consumer Financial Protection Bureau

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
CFPB Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	Monday, October 14, 2019
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Covington & Burling LLP

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
(b)(6)	10/17/19
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.21 11:30:48 -04'00'	10/17/2019

PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

AMERICAN OVERSIGHT

cfpb Consumer Financial Protection Bureau

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
CFPB Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	10/24/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	SumUp (b)(6) is out of the office and unable to sign and submit this form; however, he contacted the Ethics Office via telephone and sent an email. He will sign the form upon his return to the office. I advised this was permissible. ** A. Vail.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.24 14:43:04 -04'00'	10/24/19

PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Clark Hill

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Empl (b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**
Linda B Vail	11/5/19

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Dentons

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Em(b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**
Andrew B Vail	11/5/19

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gibson Dunn

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

(b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**
Jonlin B Vail	11/5/19

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Jones Day

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Em(b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**
Andrew B Feld	11/5/19

AMERICAN OVERSIGHT

[redacted]

cfpb Consumer Financial Protection Bureau

STOCK ACT NOTIFICATION OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
CFPB Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	10/24/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	SumUp ** (b)(6) is out of the office and unable to sign and submit this form; however, he contacted the Ethics Office via telephone and sent an email. He will sign the form upon his return to the office. I advised this was permissible. ** A. Vail.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Em (b)(6)		**Date Submitted**
		11/5/19
Bureau Ethics Official Signature		**Date Received**
AMBER VAIL	Digitally signed by AMBER VAIL Date: 2019.10.24 14:43:04 -04'00'	10/24/19

PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

AMERICAN OVERSIGHT

Page 33

Withheld pursuant to exemption

(b)(5) ; (b)(3):5 USC app. 105

of the Freedom of Information and Privacy Act

AMERICAN OVERSIGHT

From: Pahl, Tom (CFPB)
Sent: 9 May 2019 13:54:25 -0400
To: Turenne, Jeannine (CFPB)
Subject: Confidential Financial Disclosure Form
Attachments: Trust.Disclaimer.pdf

Hi. Thanks for speaking with me on Tuesday about my 2019 Financial Disclosure Form.

(b)(6)

I would appreciate any thoughts you have.

AMERICAN OVERSIGHT

Thanks, Tom

AMERICAN OVERSIGHT

February 13, 2019

(b)(6)

Re: Thomas L. Pahl (b)(6)

Dear (b)(6)

(b)(6)

Sincerely,

Thomas B. Pahl

AMERICAN OVERSIGHT

From: Vail, Amber (CFPB)
Sent: 30 Jan 2019 17:33:58 +0000
To: Sutton, Kirsten (CFPB);Fulton, Kate (CFPB);Johnson, Brian (CFPB)
Cc: Smith, Jarid (CFPB);White, Sonya (CFPB)
Subject: Ethics Vetting for Andrew Duke
Importance: High

Kirsten, Kate, and Brian,

We have conducted a government ethics vetting review of the new entrant OGE Form 278e Public Financial Disclosure Report and resume submitted by Andrew Duke, who will be serving as the Policy Associate Director (PAD) for External Affairs. We have identified two potential ethics issues for your consideration. Please let me know if you agree with our recommendation below and we will advise OHC that the ethics office has cleared his appointment.

(b)(5); (b)(6)

Please contact me if you have any questions.

Warmest regards,

AMERICAN OVERSIGHT

Amber B. Vail
Senior Ethics Counsel & Alternate DAEO | Legal Division
Office (b)(6) Mobile: (b)(6)
Consumer Financial Protection Bureau
consumerfinance.gov

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

AMERICAN OVERSIGHT

From: Vail, Amber (CFPB)
Sent: 23 May 2019 20:02:36 +0000
To: Sutton, Kirsten (CFPB);Fulton, Kate (CFPB)
Cc: Turenne, Jeannine (CFPB);White, Sonya (CFPB)
Subject: Ethics Vetting Opinion for Deputy Chief of Staff

Kirsten and Kate,

The Bureau's Ethics Office has conducted a government ethics vetting review of the new entrant OGE Form 278e Public Financial Disclosure Report and resume submitted by Karla Carnemark, who is being considered for the position of Deputy Chief of Staff, CN-301-82. We identified two potential ethics issues for your consideration, which are described in detail below. (b)(5); (b)(6)

(b)(5); (b)(6)

Executive Order 13770 and the Ethics Pledge

On January 28, 2017, President Trump signed Executive Order 13770, which requires every "appointee" in each executive agency appointed on or after January 20, 2017, to sign the Ethics Pledge established therein. Paragraph 6 of the Executive Order states: "I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients,

AMERICAN OVERSIGHT

including regulations and contracts." For purposes of this restriction, a "former employer" is any person for whom the appointee has within the 2 years prior to the date of her appointment served as an employee, officer, director, trustee, or general partner, except that "former employer" does not include any executive agency or other entity of the Federal Government, State or local government, the District of Columbia, Native American tribe, or any United States territory or possession.

Ms. Carnemark currently is an employee of Axiom Resource Management, a consulting firm. According to its website, Axiom "provides business solutions, information technology, studies and analysis and compliance services to more than 20 federal agencies." For two years from her date of appointment, Ms. Carnemark must recuse herself from participating in any particular matter involving specific parties (including any meeting or communication) if Axiom Resource Management is a party or represents a party to the matter. I confirmed with the Bureau's Office of Procurement that we do not have any current or past contracts with Axiom Resource Management.

Please let me know if you have questions or concerns about this vetting opinion.

Warmest regards,

Amber B. Vail
Senior Ethics Counsel | Legal Division
Office: (b)(6) | Mobile: (b)(6)
Consumer Financial Protection Bureau
consumerfinance.gov

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AMERICAN OVERSIGHT

From: Abrahams, Joanna (CFPB)
Sent: 16 May 2019 08:15:17 -0400
To: Duke, Andrew (CFPB)
Cc: White, Sonya (CFPB);Smith, Jarid (CFPB);Abrahams, Joanna (CFPB)
Subject: Ethics Vetting Opinion - Marisol Garibay - Assistant Director, Office of Communications

Andrew,

The Bureau's Ethics Office has conducted a government ethics vetting review of the 2018 Annual OGE Form 278e Public Financial Disclosure Report and resume submitted by Marisol Garibay, in connection with her appointment as the Assistant Director, Office of Communications. (b)(5) (b)(5) We will advise OHC that they may proceed with the appointment.

Executive Order 13770 and the Ethics Pledge

On January 28, 2017, President Trump signed Executive Order 13770, which requires every "appointee" in each executive agency appointed on or after January 20, 2017, to sign the Ethics Pledge established therein. Paragraph 6 of the Executive Order states: "I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients, including regulations and contracts." For purposes of this restriction, a "former employer" is any person for whom the appointee has within the 2 years prior to the date of her appointment served as an employee, officer, director, trustee, or general partner, except that "former employer" does not include any executive agency or other entity of the Federal Government, State or local government, the District of Columbia, Native American tribe, or any United States territory or possession.

Prior to Ms. Garibay's appointment to an "appointee" position, she served as an employee of the American Action Forum (AAF). According to its website, AAF is an independent, nonprofit 501(c)(3) organization, whose mission is "to educate the public about the complex policy choices the country faces, and to explain as cogently and influentially as possible why solutions grounded in the center-right values that have long guided the country still represent America's best way forward." Through June 2019, Ms. Garibay must recuse herself from participating in any particular matter involving specific parties (including any meeting or communication) if AAF is a party or represents a party to the matter.

CFPB Ethics Regulations

(b)(5); (b)(6) (b)(5); (b)(6) Thus, she may work on a particular matter involving specific parties wherein US Bank is a party to the matter, provided that:

(b)(5); (b)(6)

AMERICAN OVERSIGHT

(b)(5); (b)(6)

See 5 C.F.R. § 9401.109(b).

Please contact me if you have any questions.
Joanna

Joanna S. Abrahams
Senior Counsel | General Law and Ethics | Legal Division
Office: (b)(6) Mobile: (b)(6)

Bureau of Consumer Financial Protection
consumerfinance.gov

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AMERICAN OVERSIGHT

From: Turenne, Jeannine (CFPB)
Sent: 29 May 2019 15:48:31 +0000
To: Pahl, Tom (CFPB)
Subject: RE: Card Act Study Recusal?

No problem! Happy to help. I had to have a few consultations with the OGE attorneys about this, but in the end they agreed to the disclaiming of the (b)(6) (b)(5)

They did mention that the disclaiming had to be irrevocable, but I know it was irrevocable so I was not worried about that.

Regards,

Jeannine H. Turenne
Senior Ethics Counsel | Legal Division
Office: (b)(6) iPhone: (b)(6)

Consumer Financial Protection Bureau
consumerfinance.gov

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

From: Pahl, Tom (CFPB) (b)(6)
Sent: Wednesday, May 29, 2019 11:43 AM
To: Turenne, Jeannine (CFPB) (b)(6)
Subject: RE: Card Act Study Recusal?

Great. I will handle future reports consistent with the advice below.

Thanks for your help on my report, especially working with OGE on this issue.

Tom

From: Turenne, Jeannine (CFPB) (b)(6)
Sent: Wednesday, May 29, 2019 9:39 AM
To: Pahl, Tom (CFPB (b)(6)
Subject: RE: Card Act Study Recusal?

Good morning, Tom,

I have great news for you!!! The Office of Government Ethics (OGE) has accepted your disclaiming of the (b)(6)

AMERICAN OVERSIGHT

(b)(5); (b)(6)

Let me know if you have any questions. Have a great day!

Regards,

Jeannine H. Turenne
Senior Ethics Counsel | Legal Division
Office (b)(6) iPhone (b)(6)

Consumer Financial Protection Bureau
consumerfinance.gov

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

From: Pahl, Tom (CFPB) (b)(6)
Sent: Wednesday, May 29, 2019 8:44 AM
To: Turenne, Jeannine (CFPB) (b)(6)
Subject: Card Act Study Recusal?

Hi. While OGE reviews whether I have disclaimed any ownership interest I may have in (b)(5); (b)(6)
(b)(5)

Thanks for the thoughts,

Tom

AMERICAN OVERSIGHT

From: Turenne, Jeannine (CFPB)
Sent: 7 May 2019 11:46:18 +0000
To: Pahl, Tom (CFPB)
Subject: RE: Integrity.gov: Filing Reminder Assignment

Hi, Tom,

(b)(5) I can ask them about it but let's talk on the phone first.

Regards,

Jeannine H. Turenne
Senior Ethics Counsel | Legal Division
Office (b)(6) | iPhone: (b)(6)

Consumer Financial Protection Bureau
consumerfinance.gov

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

From: Pahl, Tom (CFPB) (b)(6)
Sent: Monday, May 06, 2019 9:35 PM
To: Turenne, Jeannine (CFPB) (b)(6)
Subject: RE: Integrity.gov: Filing Reminder Assignment

Hi. I will track last year's form because nothing has changed with regard to trust other than what I noted in my message.

(b)(5); (b)(6)

Thanks, Tom

Tom

AMERICAN OVERSIGHT

From: Turenne, Jeannine (CFPB) (b)(6)
Date: May 6, 2019 at 7:59:48 AM EDT
To: Pahl, Tom (CFPB) (b)(6)
Subject: RE: Integrity.gov: Filing Reminder Assignment

Good morning, Tom,

Attached is your 278e from last year. (b)(5)

(b)(5)

Thanks for your help.

Regards,

Jeannine H. Turenne
Senior Ethics Counsel | Legal Division
Office: (b)(6) iPhone (b)(6)

Consumer Financial Protection Bureau
consumerfinance.gov

Confidentiality Notice: If you received this email by mistake, you should notify the sender of the mistake and delete the e-mail and any attachments. An inadvertent disclosure is not intended to waive any privileges.

-----Original Message-----
From: Pahl, Tom (CFPB) (b)(6)
Sent: Sunday, May 05, 2019 2:47 PM
To: CFPB_IntegrityHelp (b)(6)
Cc: Turenne, Jeannine (CFPB) (b)(6)
Subject: RE: Integrity.gov: Filing Reminder Assignment

Hi. I had a question about filling out my 2019 Annual Report. (b)(5); (b)(6)

(b)(5); (b)(6)

AMERICAN OVERSIGHT

(b)(5); (b)(6)

Thanks, Tom

-----Original Message-----
From: Integrity.gov <notifications@integrity.gov>
Sent: Friday, May 03, 2019 4:37 PM
To: Pahl, Tom (CFPB) (b)(6)
Cc: CFPB_IntegrityHelp (b)(6) Turenne, Jeannine (CFPB)
(b)(6)
Subject: Integrity.gov: Filing Reminder Assignment

Your 2019 Annual report is due on 05/15/2019 in Integrity, https://integrity.gov. Click "Login to Integrity."

-Current MAX.gov user: enter your existing MAX.gov ID and password.

-Never used MAX.gov: above the password field, click on the "Forgot, set, or change your password?" link and enter your email address to activate your MAX.gov account and set your password.

Learn about Integrity here: https://community.max.gov/x/vQApLg. (MAX.gov ID and password required.)

AMERICAN OVERSIGHT

March 6, 2019

Sonya A. White
Designated Agency Ethics Official
Consumer Financial Protection Bureau
1700 G Street, NW
Washington, DC 20552

Dear Ms. White:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest while serving as the Assistant Director to the Chief Communications Officer and Spokesperson at the Bureau of Consumer Financial Protection. I recognize that Bureau employees strive to achieve a standard of exemplary integrity in all that they do and that service with integrity is a core value at the Bureau.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I understand that as an appointee I must continue to abide by the Ethics Pledge (Exec. Order No. 13770) that I previously signed and that I will be bound by the requirements and restrictions therein. Specifically, I will not for a period of 2 years from the date of my original appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer, American Action Forum

If I have any questions concerning the application of the ethics limitations described above, I will contact a Bureau Ethics Official.

Sincerely,

Manisol Garibay

AMERICAN OVERSIGHT

From: (b)(6) (CFPB)
Sent: 5 Nov 2019 14:52:59 +0000
To: Vail, Amber (CFPB)
Subject: Stock Act
Attachments: Scanned from a Xerox Multifunction Printer.pdf

Apologies for the bulk scan. I have ended discussion with Verdigris Holdings as of October 21st. Please let me know if you need anything else.

-----Original Message-----
From: (b)(6)
Sent: Tuesday, November 5, 2019 9:49 AM
To: (b)(6) (CFPB) (b)(6)
Subject: Scanned from a Xerox Multifunction Printer

Please open the attached document. It was scanned and sent to you using a Xerox Multifunction Printer.

Sent by: Guest (b)(6)
Attachment File Type: pdf, Multi-Page

For more information on Xerox products and solutions, please visit http://www.xerox.com

AMERICAN OVERSIGHT

cfpb Consumer Financial Protection Bureau

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires CFPB Executives to file a statement notifying the CFPB Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
CFPB Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	10/24/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	SumUp (b)(6) is out of the office and unable to sign and submit this form; however, he contacted the Ethics Office via telephone and sent an email. He will sign the form upon his return to the office. I advised this was permissible. ** A. Vail.

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Emp (b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**
AMBER VAIL Digitally signed by AMBER VAIL Date: 2019.10.24 14:43:04 -04'00'	10/24/19

PRIVACY ACT STATEMENT

Section 17 of the STOCK Act requires that certain Executive Branch employees who negotiate for employment with non-federal entities to provide notification of the negotiation and of any required recusal. The primary use of the information on the form is to provide a record of an employee's recusal. Additional disclosures of information may be made to a Federal, state, or local law enforcement agency when the CFPB becomes aware of a potential violation of civil or criminal law, or to a Federal agency when conducting an investigation for employment or security reasons.

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Dentons

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature	**Date Submitted**
(b)(6)	11/5/19
Bureau Ethics Official Signature	**Date Received**

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Jones Day

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Em (b)(6)	**Date Submitted**
	11/5/19
Bureau Ethics Official Signature	**Date Received**

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Gibson Dunn

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Employee Signature (b)(6)	**Date Submitted** 11/5/19
Bureau Ethics Official Signature	**Date Received**

AMERICAN OVERSIGHT

STOCK ACT NOTIFICATION
OF FUTURE EMPLOYMENT DISCUSSIONS OR AGREEMENT AND RECUSAL

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires BCFP Executives to file a statement notifying the BCFP Ethics Office of any negotiation for, or agreement of, future employment or compensation with a non-federal entity no later than three business days after commencement of the negotiation or agreement. Executives also must file a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the Executive obtains a written waiver. An Executive may elect to file this notice before commencing negotiations and before reaching an agreement of future employment or compensation.

Employee Name	(b)(6)
BCFP Office/Division	
Date Discussion, Negotiation, or Agreement Commenced	11/4/19
Name of Non-Federal Entity Disclose each non-federal entity with which you anticipate negotiating for, are negotiating for, or have an agreement of future employment or compensation.	Clark Hill

If, and for as long as, I am seeking, negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult a Bureau ethics official if I have questions regarding these recusal obligations.

Em (b)(6)	**Date Submitted**
	11/5/19
Bu	**Date Received**

AMERICAN OVERSIGHT

Standard Form 50
Rev. 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
BLANKENSTEIN, ERIC GABRIEL	(b)(6)	(b)(6)	06/22/19

FIRST ACTION

5-A. Code	5-B. Nature of Action
352	TERMINATION-APPT IN HU
5-C. Code	**5-D. Legal Authority**
DBM	5 CFR PT 715
5-E. Code	**5-F. Legal Authority**

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	**6-D. Legal Authority**
6-E. Code	**6-F. Legal Authority**

7. FROM: Position Title and Number
POLICY ASSOCIATE DIRECTOR, SEFL
66146614 18006

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
CN	0905	90	00	259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
259,500.00	.00	259,500.00	.00

14. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU
SUPERVSN, ENFRCMT, & FAIR LEND

15. TO: Position Title and Number

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary/Award	21. Pay Basis

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
	.00		.00

22. Name and Location of Position's Organization
HU
FR FT5000000000000000 PP 13 2019

EMPLOYEE DATA

23. Veterans Preference
(b)(6)
1 = None; 2 = 5-Point; 3 = 10-Point/Disability; 4 = 10-Point/Compensable; 5 = 10-Point/Other; 6 = 10-Point/Compensable/30%

24. Tenure
3
0 = None; 1 = Permanent; 2 = Conditional; 3 = Indefinite

25. Agency Use

26. Veterans Preference for RIF
(b)(6)

27. FEGLI
(b)(6)

28. Annuitant Indicator
9 NOT APPLICABLE

29. Pay Rate Determinant
0 NOT APPLICABLE

30. Retirement Plan
(b)(6)

31. Service Comp. Date (Leave)
(b)(6)

32. Work Schedule
F FULL TIME

33. Part-Time Hours Per Biweekly Pay Period

POSITION DATA

34. Position Occupied
2
1 = Competitive Service; 2 = Excepted Service; 3 = SES General; 4 = SES Career Reserved

35. FLSA Category
E
E = Exempt; N = Nonexempt

36. Appropriation Code

37. Bargaining Unit Status
8888

38. Duty Station Code
11-0010-001

39. Duty Station (City - County - State or Overseas Location)
WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
FORWARDING ADDRESS=(b)(6)

46. Employing Department or Agency
CONSUMER FINANCIAL PROTECTION BUR

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	06/24/19

50. Signature/Authentication and Title of Approving Official
ELECTRONICALLY SIGNED BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

5-Part 50-316

2 - OPF Copy - Long-Term Record - DO NOT DESTROY

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6238

AMERICAN OVERSIGHT

Althea Kireilis

(b)(6)

FEDERAL AND PRIVATE SECTOR EXPERIENCE

EXECUTIVE OFFICE OF THE PRESIDENT – OFFICE OF THE CHIEF FINANCIAL OFFICER – PROCUREMENT DIVISION
Supervisory Contract Specialist (GS-1102-15/10 (b)(6)
February 2007 – Present

Chief Procurement & Contract Management Officer, responsible for all operational contracting in support of the 11 EOP component organizations including The White House.

- Chief Procurement and Contract Management Officer and Senior Procurement Executive, with unlimited dollar authority to obligate the United States Government.
- Advise EOP political and career executives on every aspect of the Federal acquisition process.
- Develops overall acquisition strategies, policies and procedures for the procurement of supplies and services for all 11 EOP components, including The White House and the Office of Management and Budget.
- Supervise, mentor, and train contracting professionals who oversee over 200 EOP contracts
- Director of EOP's Small Disadvantaged Business Program, which consistently meets EOP's small business and socio economic goals
- Negotiated hundreds of dollars of savings by consolidating like requirements across EOP components. Recouped $1M from a non performing contractor
- Developed an EOP advanced acquisition planning system that is used as a road map for complex, highly political and sensitive procurements, thus ensuring efficient accurate procurements that are the best value for the US Government and taxpayers.
- Ensured through proper contract administration and coordination with the CFO staff that EOP received a clean audit opinion eight years in a row
- Chaired and lead the Small Agency Procurement Committee for 93 Federal Agencies
- Serve as subject matter expert to the General Services Administration and Commercial Law Development Program, and train international audiences including, but not limited to, government officials from Afghanistan, Dominican Republic, Kyrgyzstan, and Armenia
- Liaise with Federal Departments/Agencies that provide Interagency Support for construction, security/building leases, etc.
- Business Advisor to the 11 component budget officers on fiscal and procurement matters.

GENERAL SERVICES ADMINISTRATION (GSA) OFFICE OF THE CHIEF ACQUISITION OFFICER
Held several positions from July/2000 – February/2007

Director of Acquisition Policy (GS 340-15/7)
May/2005-February/2007
Provided the overall management, supervision and direction for the Office of Acquisition Policy for major functional areas related to overall contract and program management policy.

- Provided overall management and direction to two divisions responsible for executing Government-wide contract policy and program management by way of the Civilian Agency Acquisition Council

AMERICAN OVERSIGHT

- Directs the planning, analysis and evaluation of complex acquisition issues affecting Government-wide procurement.
- Oversaw the development of acquisitions and procurement performance goals, measurement and standards.
- Managed strategic and program planning, corporate and other staff functions required for overall office operation and management.
- Managed organizational changes to ensure continuous effective and efficient mission performance.
- Represented the Chief and/or the Deputy Chief Acquisition Officer in conferences and meetings with high level-officials in other agencies, the private sector, and congress.
- Successfully revised Federal Acquisition Regulation (FAR) Part 18 (Emergency Acquisitions), providing an easy-to-use index of emergency authorities that can be used in a disaster or catastrophic event.
- Developed GSA's etool the Acquisition Planning (AP) Wizard used by all GSA staff to create their acquisition plans/strategy.
- Designed the AP Wizard to give Small Business Technical Advisors the ability to review all acquisitions over $100,000 thus ensuring that qualified small and socio economic business were included in the Government acquisition programs.
- Approved acquisition strategy and or pre award clearance for major construction projects over $50M.
- Developed and participated in compliance reviews to ensure procurements were legal and regulatory requirements.

Supervisory Contract Specialist (GS-1102-15/7)
July/2000-May/2005
Federal System Information Management (FEDSIM) Acquisition Center Director. Supervisory responsibility for procurement staff and the overall strategic and tactical direction and control of contracting center resources to ensure annual obligations of $1.5B.

- Director with the technical and administrative responsibility for training, mentoring, hiring, firing, rewarding, counseling and nurturing 33 subordinate staff (no staff turnover during my tenure).
- Developed the Strategic Plan to comply with FEDSIM's vision and mission.
- Investigated and/or resolved protests, disputes/claims and appeals.
- Investigated and resolved congressional and other executive agency inquiries.
- Established FEDSIM's performance based acquisition program and personally awarded over $1.58B dollars incentive contracts and saved millions of dollars by holding contractors accountable for their performance.
- Conducted Meyers-Briggs assessment of all acquisition staff to build high performing and flexible team to aid in their self-awareness, improve communication skills and accountability.
- Selected to attend Harvard University's Senior Executive Fellows Program.
- Developed FEDSIM' acquisition Standard Operating Procedures.

Calibrated Lifecycle Management Services, LLC: August 1998 –June 2000:

- Performed contract administration and acquisition management of cost reimbursable IT contracts valued in excess of $600 million.
- Developed five-year strategic plans for future acquisitions.
- Created databases to efficiently manage deliverables and contractual obligations and disbursements.
- Provided adhoc reports on financial status and trends.
- Recouped $100K plus of incorrect and/or contractor over billings, for re-use on other contractual requirements

FC Business Systems: May 1996-August 1998:

AMERICAN OVERSIGHT

- Supervised all contract support (26 personnel for a variety of functional specialties) on government site for multiple projects and customers
- Managed annual $3M plus budget for all technical support provided to the Government.
- Ensured on time delivery within cost and budget.
- Prepared procurement packages, technical statements of work for software development efforts and other information technology support services.
- Performed technical reviews of contractor proposals for IT.
- Performed fact-finding sessions and prepared Government negotiation objectives.
- Analyzed earned value information to predict overruns and schedule slippage.
- Implemented acquisition streamlining initiatives for cost savings and efficiency.

MILITARY EXPERIENCE

United States Army: June 1966-September 1974

Position Title: Procurement Officer (Major through Lieutenant Colonel)

- Numerous complex acquisition and contracting assignments in multiple locations throughout the Continental US and Overseas (Germany, Korea, Saudi Arabia, Kuwait, Bahrain) as a member of the armed forces. Contracted for all types of supplies, services, construction, and information technology.
- Contracting officer and lead negotiator in Saudi Arabia (May/1991- April/1992) for construction (refurbishment) to fix damages to the ports of Jubail and Dammam, for the repair of buildings used by coalition forces. These contracts were valued at $6M total.
- Shrewdly negotiated thousands of dollar savings
- Member of procurement compliance review teams for both the Army and the Department of Defense.

Contracting Officer for Kunsan Airbase, Korea (May/1988- June/1989) oversaw the construction of two buildings the Base and Wing Operations Centers.

- Negotiated change orders when it was discovered that the originally procured designs were flawed. The value of these change orders negotiated ranged $0 dollars (mistake was the contractors) and many for an estimated savings of up to $500K depending on the severity of the change.

Military Police (Private First Class through Captain)
Several Military Police positions Commander, Executive Officer, Military Police and Traffic Accident Investigations (September/1974 – December/1981)

CERTIFICATION

Federal Acquisition Certification- Contracting: Level III Certification
Department of Defense Contracting and Program Management: Level III Certification

EDUCATION

KELLER GRADUATE SCHOOL OF MANAGEMENT DeVRY UNIVERSITY
Oakbrook Terrace, Illinois
Masters of Information Management Systems, April 2003

HURON COLLEGE
Huron, South Dakota
Bachelor of Arts, May 1973

AMERICAN OVERSIGHT

ANDREW M. DUKE

(b)(6)

CHAIRMAN JEB HENSARLING (TX-5), Washington, DC

Chief of Staff *January 2011 – Present*

- Lead all Washington DC and Texas staff (14), specialized shared employees, and outside vendors
- Direct strategic planning and implementation of organizational goals and priorities
- Manage all operations
  - Administrative – personnel, member scheduling, compliance, and budget ($1.3 million annually)
  - Legislative – policy goals, priorities, strategy and implementation
  - Communications – earned media, digital media, and paid direct communications
  - District – casework, outreach, and coalitions
- House Republican Conference (2011-2012): communications, coalitions, and member services initiatives
- House Financial Services Committee (2013 – present): strategic council and engagement on tactical planning, administration, policy, communications, coalitions, and member services
- Oversee all political operations:
  - Fundraising (since 2011)
    - $11 million raised for re-elect committee and $4 million for leadership PAC from a comprehensive effort including district, state, and national donors
    - $4 million in direct support for Financial Services Committee members
    - $9.5 million raised for National Republican Congressional Committee
  - Communications – all communications from the campaign including press releases and responses to media inquiries; and all paid communications including persuasion mail and social media
  - Consultant Management –direct mail, digital outreach, fundraising, and compliance

CONGRESSMAN PHIL ROE (TN-1), Washington, DC

Chief of Staff *January 2009 – December 2010*

- Established all operations for new member office in Washington, D.C. and Tennessee
- Led all Washington DC and Tennessee staff (16) and outside vendors
- Directed retreats, strategic planning, and implementation of established goals and priorities
- Managed all Congressional office operations
  - Administrative – personnel and budget ($1.15 million annually)
  - Legislative – policy goals and priorities
  - Communications – earned media and paid communications
  - District – casework, outreach and coalitions
- Responsible for oversight of political operations, including fundraising, political, personnel, and communications

CONGRESSMAN ROBIN HAYES (NC-8), Washington, DC

Chief of Staff *November 2000 – December 2008*

- Led all Washington DC and North Carolina staff (15) and outside vendors
- Directed annual strategic planning and implementation of goals and priorities
- Managed all Congressional office operations
  - Administrative – personnel and budget ($1.05 million annually)
  - Legislative – policy goals and priorities
  - Communications – earned media and paid communications
  - District – casework, outreach and coalition building
- Oversaw political operation and organization
  - Fundraising – over $13 million raised since first election cycle from a comprehensive effort including district, state, and national donors
  - Political – comprehensive volunteer effort, and coalition building
  - Communications – all communications from the campaign including press releases and responses to media inquiries; and all paid communications including television, radio, and mail
  - Consultant Management – polling, media, direct mail, fundraising, and compliance

AMERICAN OVERSIGHT

Policy and Communications Director *November 1998 - November 2000*

- Responsible for planning and implementation of all facets of congressional communications effort in Washington and in the Congressional District

ROBIN HAYES FOR CONGRESS, Concord, NC

Campaign Manager *January 1998 - November 1998*

- Directed and oversaw all aspects of winning campaign including fundraising, communications and political organization
- Served as immediate communications director

RANDY POYNTER FOR LT. GOVERNOR, Conyers, GA

Campaign Manager *February 1997 - December 1997*

- Directed and oversaw all aspects of statewide campaign including fundraising, political organization, and communications

ROBIN HAYES FOR GOVERNOR, Concord, NC

Communications Director *January 1996 - November 1996*

- Directed earned media effort throughout North Carolina
- Directed issues and research development
- Assisted with paid media advertising and voter contact mail

NATIONAL REPUBLICAN SENATORIAL COMMITTEE, Washington DC

Senior Research Analyst *February 1993 - January 1996*

- Provided research and analysis for the 1996 Senate campaigns in the southeastern United States
- Provided research and analysis for the 1994 Virginia, Pennsylvania, Indiana and Florida Senate campaigns

BUSH/QUAYLE '92, Washington DC

Issues Analysis Staff Member *February 1992 - November 1992*

- Responded to inquiries from state and local field offices and briefed them on Bush Administration policies and proposals
- Produced position papers on various issues and assisted with research projects

EDUCATION/ORGANIZATIONS

Hampden-Sydney College, Hampden-Sydney, VA – Bachelor of Arts in Economics, May 1990
Legislative Studies Institute, Washington, DC – February 1994
(b)(6)

North Carolina State Society Board of Governors – 2004-2008
Hampden-Sydney College Wilson Center Board of Directors – 2005-2010
(b)(6)
Board Member, Commissioner and Coach, Fort Hunt Youth Athletic Association Lacrosse – 2008-2016
(b)(6)

References Available Upon Request

AMERICAN OVERSIGHT

Ann M. Conant

(b)(6)

Professional Experience:

Executive Assistant to the Deputy Director — January 2017- Present

The White House, Domestic Policy Council, Washington DC

- Manage Deputy Director's schedule, travel, and assist with tasks related to management of Domestic Policy Council's staff, such as overseeing staff meetings, managing workflow of projects, ensuring deadlines are met, and producing evening reports for the Chief of Staff
- Act as liaison to the Office of Administration, U.S. Secret Service, and Office of White House Personnel to process new hires, address office space needs, and ensure smooth entry for visitors
- Schedule and plan meetings with high level government officials, including Governors, Cabinet Secretaries, Deputy Secretaries, and Senior White House staff
- Produced content for whitehouse.gov to solicit public input on re-organizing the federal government, per Executive Order 13781 "Comprehensive Plan for Reorganizing the Executive Branch"

Executive Assistant to the Vice President — April 2015-January 2017

The Heritage Foundation, Institute for Economic Freedom and Opportunity, Washington DC

- Supported Vice President of Economic Policy and Director of Domestic Economic Policy with scheduling, expense reports, internal reports, and travel arrangements
- Oversaw logistics and production for several of the organization's most important and visible research products, including the *Mandate for Leadership: Blueprint for Balance*, and *Farms and Free Enterprise*
- Managed budget and expenses for two departments, and assisted Vice President with creating and overseeing four departmental budgets
- Interviewed and hired candidates for internship, managed intern's day-to-day work and oversaw their interactions with policy analysts

Assistant to the President — December 2012-April 2015

March for Life Education & Defense Fund, Washington, DC

- Supported President and Board of Directors with scheduling, meeting planning, correspondence, social media, and logistics and programing of the annual March for Life event
- Responsible for managing day-to-day finances including paying bills, making deposits, bookkeeping, and tracking donations. Interfaced with auditors to produce documentation necessary to complete clean annual audits
- Produced and distributed survey to thousands of event participants, and aggregated results to present to the President

Additional Experience, Skills, and Awards:

Forbes 30 Under 30 in Law and Policy; Presidential Transition, Fall 2016; Campaign and Grassroots Coordination, American Majority Action, Mequon, WI; June 2012; Green Bay WI, Fall 2012; The Five Choices to Extraordinary Productivity, FranklinCovey; Leading Effective Meetings, American Management Association; Project Management Essentials, FranklinCovey; Business Writing Workshop; Quickbooks

Education:

Bachelor of Arts in Political Science, 2012

Franciscan University of Steubenville, Steubenville, OH

AMERICAN OVERSIGHT

Anthony Welcher

(b)(6)

Experience

Rapid NRG International (and) Capital Investments *Seattle, WA*
Corporate Director of Communications and Regulatory Affairs *September 2007 – Present*

- Manage internal and external communication and regulatory strategies
- Project identification and development of large scale energy infrastructure projects
- Develop equity raises for large scale infrastructure and project development
- Founded and manage a fund that invests in coal permits/leases in the SE United States
- Acquire and renovate mid-century multi-family properties in the Seattle area for a personal portfolio
- Strategic investor in market driven renewable projects

21st Century Strategy Communications *Seattle, WA*
Co-Founder / Strategist *September 2007 – December 2012*

- Specialize in digital and social media strategy for political and non-profit campaigns.
- Devise and implement public affairs strategies to influence public opinion.
- Draft newspaper editorials and digital content for publishing. Manage bi-partisan client relationships before third party organizations, local and federal officials to influence and/or mitigate impact of public debate, regulatory action and legislation.

United States Department of State Washington, DC
Director, Bureau of Public Affairs, Office of Intergovernmental Affairs *February 2006 – September 2007*

- Served as media/governmental spokesman on behalf of the State Department's Bureau of Public Affairs on business, trade, immigration and foreign policy and as policy director for all U.S. Territories.
- Spokesman and public affairs manager during implementation of the Western Hemisphere Travel Initiative.
- Created and managed coalition outreach program to ethnic and community leaders throughout the United States.
- Supervised office staff, contracts, consultants, and million-dollar budget; directed all U.S. State Dept. bi-partisan messaging and advocacy with U.S. governors, elected officials, staff, other critical stakeholders and state and local official associations including: NGA, RGA, DGA, NAAG, NSCL, ALEC; strategized positions and communicated with media, both domestic and international; represented State Dept. on corporate responsibility, energy, economic, trade, immigration and international development issues; and communicated with, NGO's, foreign governments, embassies, and congressional members/staff on policy issues pertaining to general foreign policy impacting North America.
- Organized international diplomatic missions and escorted twenty-one U.S. governors to Europe, Asia.

Export-Import Bank of the United States *Washington, D.C.*
Director of External Affairs, Congressional Affairs and White House Liaison *May 2001 – February 2006*

- Administration advocate and spokesman to communities on economic and community development.
- Worked closely with critical stakeholders, businesses, media, elected officials; built coalitions with associations; crafted message on behalf of $100 billion federal financial institution to both U.S. House and Senate regarding appropriations and policy/fiscal-regulatory proposals; and served as Bank representative on the President's Export Council and National Credit Union Administration's Community Economic Empowerment effort.
- Developed first state and local government marketing program in agency's 75-year history.

Office of Personnel Management and White House Transition *Washington, D.C.*
Various Positions *January 2001 – May 2001*

- Developed and implemented agency by agency tracking program of personnel and legal authority of White House to manage personnel decisions.
- Managed policy and human resource appointee issues and interfaced with White House, Chiefs of Staff, White House Liaisons and personnel at federal agencies and departments.

AMERICAN OVERSIGHT

Bush/Cheney 2000 and Committee on Arrangements *Washington, D.C.*
Various Positions *July 1999 – December 2000*

- Washington State 99/2000 political operation: Program coordinator for field portion of caucuses, primary and general election GOTV programs; at national convention managed effort organizing 55 meetings for delegations from all U.S. States and territories: coordinating press operations, press releases and general flow of information.

POLITICAL

- Committee on Arrangements, RNC, Director and Professional Volunteer, 2000, 2004, 2008, 2012, 2016
- WA State Republican Party State Committee, Elected Member, Bellevue, WA, 1996 – 1998, 2008 – 2015
- King County Republican Party Executive Committee, Elected Member, Bellevue, WA, 1996 – 1998, 2008 – 2015
- Romney Transition Personnel Volunteer, Washington, DC 2012
- RNC 72 Hour Program, Volunteer Team Leader, 2002 (MO), 2004 (WI), 2006 (OH), 2008 (OH)
- Dino Rossi for Governor, Finance Committee, Seattle, WA, 2008
- Rob McKenna For Governor, Finance Committee, Seattle, WA 2011 – 2012
- RGA Recount Coordinator, Dino Rossi for Governor, Seattle, WA, 2004

EDUCATION

- FBI Citizen's Academy, Graduate, Seattle, WA, 2010
- U.S. Foreign Service Institute Program Graduate, Washington, D.C., 2007
- Intercollegiate Studies Institute, Honors Fellow, Washington, D.C., 1996 – 1998
- University of Washington, B.A. Communications, Seattle, WA, 1994 – 1998

ACTIVITIES

- Overlake Hospital Foundation Board, Board Member, Bellevue, WA, 2017 - Present
- International Republican Institute (IRI), Volunteer Trainer, Various Nations, 2016 – Present
- The Rainier Club House and Finance Committee Member, Seattle, WA 2007 – Present
- Small Business Administration (SBA) Regulatory Affairs Board, Past Chairman, Region X, 2008 – 2017

(b)(6)

- The Rainier Club Board of Trustees, Member, Seattle, WA, 2009 – 2012
- The Washington Times, Guest Columnist, Washington, D.C., 2010 – 2013
- The EMP Museum, Founder's Award Gala, Co-Chair, Seattle, WA, 2010, 2011, 2013

REFERENCES

- Readily Available Depending on Position Requirements

AMERICAN OVERSIGHT

BRIAN JOHNSON

(b)(6)

EXPERIENCE:

House Committee on Financial Services, Washington, DC
Policy Director, August 2017 – present
Chief Financial Institutions Counsel, August 2016 – August 2017
Senior Counsel, February 2015 – August 2016
Counsel, May 2012 – February 2015

- Policy Director responsible for policy development for Chairman Jeb Hensarling.
- Managed a team of five staff members responsible for the legislative and hearing activities of the Subcommittee on Financial Institutions and Consumer Credit, including policy issues relating to consumer protection, arbitration, payday lending, credit reporting, fair lending, debt collection, real estate settlement procedures, truth in lending/truth in savings, ability-to-repay/qualified mortgage regulation, auto financing, bank and credit union capital and liquidity regulations, deposit insurance, cyber security and data security.
- Drafted and assembled several titles of the Financial CHOICE Act, including CFPB reforms, Article I reforms (e.g., Appropriations, REINS, cost-benefit analysis, and Chevron deference), and community financial institution regulatory relief.
- Developed Committee strategy, briefing materials, member questions and messaging for semi-annual CFPB hearings.
- Conducts oversight of the activities of the CFPB, FSOC, FDIC, OFR, OCC, Fed, and NCUA, including investigations into the CFPB's spending, personnel management, data collection, consumer complaint database, rulemaking and enforcement activities.
- Drafts legislation, speeches, op-eds, and committee and floor statements.
- Speaker at industry trade events.

Trump for America Presidential Transition Team, Washington, DC
Team Lead, Financial Services Policy Implementation, August 2016 – January 2017

- Recruited and led a 7-person team responsible for developing all financial services policy for the Trump Transition.
- Authored the Day 1/Day 100/Day 200 Financial Services Policy Implementation plan for use by the Trump Administration.
- Served on the Treasury Agency Action team as special assistant for the team leaders.

Office of the Attorney General of Ohio, Columbus, OH
Assistant Attorney General, Office of Legal Initiatives, 2011 – 2012

- Developed policy initiatives for Attorney General Mike DeWine and led an investigation and audit of state economic development programs.

Supreme Court of Ohio, Columbus, OH
Judicial Law Clerk for Justice Terrence O'Donnell, 2009 – 2010

- Drafted judicial opinions, analyzed pending motions, and authored bench memoranda.

The White House, Washington, D.C.
Staff Assistant, Executive Office of the President, Domestic Policy Council, 2005 – 2006

United States Senator Jon Kyl, Washington, D.C.
Legislative Correspondent for banking, finance, tax, trade, and budget issues, 2002 – 2004

AMERICAN OVERSIGHT

EDUCATION:

University of Virginia School of Law, Charlottesville, Virginia
Juris Doctor, 2009, active District of Columbia bar membership
Summer Clerkships: U.S. Department of Justice, Office of Legal Policy
U.S. Small Business Administration, Office of General Counsel

University of Virginia, Charlottesville, Virginia
Bachelor of Arts, Economics, 2002

AFFILIATIONS:

(b)(6)

AMERICAN OVERSIGHT

ERIC G. BLANKENSTEIN

(b)(6)

Experience

UNITED STATES TRADE REPRESENTATIVE, OFFICE OF GENERAL COUNSEL — **Washington, D.C.**
Assistant General Counsel — *September 2017–Present*
Represented United States in dispute settlement process before World Trade Organization; counseled US representatives in negotiation of various trade agreements; monitored US compliance with trade obligations.

WILLIAMS & CONNOLLY, LLP — **Washington, D.C.**
Associate — *July 2009–September 2017*
Engaged in all phases of litigation for both civil and criminal defendants encompassing: reviewing and analyzing applicable law and regulations, counseling clients on strategic decisions, conducting factual investigations, managing complex civil discovery, taking and defending depositions, assisting with the preparation of expert reports, drafting dispositive and non-dispositive briefing, making oral presentations to court, examining witnesses during trial, and negotiating and drafting settlement agreements.
Illustrative experience includes:

- Representing banks in regulatory investigations and litigation with OCC and CFPB alleging violations of various consumer laws, including the Consumer Financial Protection Act, Fair Credit Reporting Act and Electronic Fund Transfer Act
- Defending banks in securities litigation brought under the Securities Act and Securities Exchange Act, FIRREA, and state law, related to mortgage-backed securities, mortgage whole-loan sales, repurchase agreements, and other complex instruments and transactions
- Defending pharmaceutical and health care companies against alleged violations of federal and state False Claims Acts
- Representing a technology company in criminal investigation alleging contract and visa fraud, and export control violations
- Representing taxpayers protesting alleged federal tax liability related to status as Virgin Islands residents
- Defending oil field equipment manufacturer in suits related to the *Deepwater Horizon* incident
- Defending law firms accused of professional malpractice
- Representing pro bono clients in criminal cases, including co-first chair of three day jury trial and argument before Maryland Court of Special Appeals
- Representing an ex-wife against her ex-husband over property settlement that involved substantial undisclosed tax liability

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES — **Washington, D.C.**
Summer Clerk, Chambers of the Honorable Margaret A. Ryan — *Summer 2007*
Assisted with opinion editing, research, and review for various cases brought under UCMJ. Researched legal questions.

INFINITIVE, INC. — **Ashburn, VA**
Consultant — *2005-2006*
Managed several workstreams for College Board – SAT Scoring Operations directorate. Directly supported the Director of Scoring Operations in managing vendor performance, general scoring functions, system requirements development, and testing, and specific issue investigation.

IBM BUSINESS CONSULTING SERVICES (formerly PwC Consulting) — **Arlington, VA**
Consultant — *2001-2005*
Engaged in a number of Federal government related consulting projections, including supporting the Department of Agriculture, Department of Veterans Affairs, and the Department of Justice. Supported the leaders of the Department of Justice September 11th Victim Compensation Fund in various capacities, including the hearing and award appeals function.

Education

University of Virginia School of Law
Juris Doctor, May 2009

- Order of the Coif
- Federalist Society
- Managing Editor (2008-2009), Articles Review Committee (2007-2008), *Virginia Law and Business Review*

University of Virginia Graduate School of Arts and Sciences
Master of Arts, History, August 2009

University of Virginia, McIntire School of Commerce
Bachelor of Science in Commerce, *with distinction*, May 2001
Subject Concentrations: Finance and Management Information Systems

- Intermediate Honors, Fall 1999; Dean's List: Fall 1997, Fall 1998, Spring 1999, Spring 2000, Spring 2001;
- Member: Phi Eta Sigma, National Honor Fraternity; National Society of Collegiate Scholars; Golden Key Honor Society

AMERICAN OVERSIGHT

Evan Gillissie

(b)(6)

PROFESSIONAL EXPERIENCE

Bureau of Consumer Financial Protection, Washington, D.C. February 2018 - Present

Executive Assistant to the Chief of Staff, Office of the Director

- Manage complex schedule and travel arrangements for the chief of staff, as well as assist other members of the senior staff with administrative needs
- Prepare briefing materials and relevant documents for the chief of staff, which requires interagency coordination to ensure all pertinent information is promptly provided
- Assist the acting deputy director with managing his calendar, organizing internal and external meetings, and providing him with materials and briefing documents

Executive Office of the President, Washington, D.C. June 2017 - February 2018

Confidential Assistant to the General Counsel and Executive Secretariat, Office of Management and Budget

- Facilitated the clearance process of all documents requiring OMB approval leaving the West Wing, which entailed managing deadlines, coordinating internal staff edits, and providing a final review of the document
- Managed the calendar of two OMB principals, which required tracking all meetings, providing the relevant materials necessary, and supporting each principal with their needs on a daily basis
- Worked with the Executive Secretariat to successfully implement a clear process for tracking documents, clearing materials, and preparing memos for the nightly Director's Book

United States House of Representatives, Washington, D.C. February 2017 - May 2017

Scheduler, Honorable Mark Sanford

- Oversaw the scheduling operation of the member while in Washington D.C., including arranging meetings, booking travel, and staffing the congressman from start of day to close of business
- Drove the congressman to all events and meetings, ensuring that the member is adequately briefed and the schedule is adhered to, while also communicating daily updates to the rest of the office

Governor McCrory Legal Defense Fund, Raleigh, NC November 2016 - December 2016

Legal Defense Team

- Coordinated a legal defense strategy in all 100 counties, ensuring proper election law was followed
- Sought out potential irregularities that occurred either during absentee voting, early voting, or on Election Day by communicating with voters and attorneys present at the polling locations

National Republican Senatorial Committee, Raleigh, NC October 2016 - November 2016

North Carolina Deputy Director of Election Day Operations

- Assisted in managing the Election Day Operations for Republican candidates on the ballot in North Carolina
- Coordinated dozens of attorneys and assisted with legal support to issues that arose at polling locations

Allan Fung for Mayor, Cranston, RI May 2016 - September 2016

Campaign Manager

- Drafted, managed, and implemented a $150,000 budget, outlining fundraising goals, operational needs, and quarterly reports in compliance with State and Federal laws
- Constructed a media and advertising strategy targeted at meeting our goals for voter turnout on Election Day

Marco Rubio for President, Washington, D.C. October 2016 - March 2016

Ballot Access Department, Staffer

- Promoted from intern to paid staff, while balancing the course load of a full-time undergraduate student
- Assisted the National Ballot Access Director daily, and managed the ballot access initiative for Rhode Island

AMERICAN OVERSIGHT

City of Cranston, Cranston, RI May 2015 - August 2015
Aide to the Mayor/Grant Specialist

- Aided the Mayor at all official events and coordinated his schedule with those in the senior staff
- Drafted the application for an anti-tobacco initiative and upon it being awarded to the city, managed its intergovernmental/cross-agency implementation

Allan Fung for Governor, Cranston, RI June 2013 - November 2014
Deputy Communications Director

- Aided the candidate at all public events and provided logistical support while on the road to ensure proper briefings, materials, and external communications were delivered effectively
- Wrote and edited campaign speeches, talking points, and preparatory debate materials
- Managed the floor of the Rhode Island Republican Party Nominating ceremony, which included tracking and whipping all delegates and their votes

Vineyard Vines, Washington, D.C. March 2013 - June 2017
Sales Associate

- Provided an excellent customer relations experience to all store visitors
- Met strenuous sales goals by effectively and actively engaging with customers to create a positive and enjoyable transaction

EDUCATION

The Catholic University of America, Washington, DC
Bachelor's Degree in Politics; GPA (b)(6)

- Studied abroad in Cape Town, South Africa; *Institute for the International Education Students*

AMERICAN OVERSIGHT

HALLEE K. MORGAN

(b)(6)

EMPLOYMENT

FINANCIAL SERVICES COMMITTEE, U.S. HOUSE OF REPRESENTATIVES, Washington, D.C.
Counsel, Majority Staff, Financial Institutions and Consumer Credit — April 2016 - Present

- Developed financial services legal reforms aimed at providing regulatory relief and economic growth.
- Worked closely with legislative counsel to manage and draft key provisions of the Financial CHOICE Act, the Chairman's legislation to repeal and replace the Dodd-Frank Act.
- Drafted a staff report arguing that case law and the text of the Equal Credit Opportunity Act provided no legal basis for Consumer Financial Protection Bureau (CFPB) enforcement actions against auto financers.
- Drafted legal and policy memoranda analyzing subjects ranging from the prudential regulators' Civil Investigative Demand processes to federal and state data security law in the aftermath of the Equifax breach.
- Managed legislation on subjects including reforming stress testing, managing overlapping jurisdiction among financial regulators, expanding access to online lending, and other regulatory reforms.

Fellow, Majority Staff, Oversight and Investigations — April 2015 - April 2016

- Conducted rigorous oversight of the Obama administration through hearings and investigations.
- Led an investigation into the CFPB's enforcement actions against auto financers, showing the CFPB's actions were premised on weak legal grounds and faulty methodology that produced inflated injury calculations.
- Led investigations into subjects including CFIUS' approval of a sale of U.S. uranium-production capacity to a foreign government and the CFPB's data-security practices.
- Deposed key agency personnel; drafted deposition outlines, document requests, and interrogatories; cultivated whistleblowers; analyzed hundreds of whistleblower documents to make key findings.

CAUSE OF ACTION, Washington, D.C.
Counsel, Administrative and Regulatory Litigation — September 2012 - April 2015

- Litigated federal public-interest cases to constrain the administrative state and check regulatory overreach.
- Won *In the Matter of LabMD, Inc.* before a Federal Trade Commission ALJ, in an unprecedented Section 5 data-security case victory. Key trial team member. Cross-examined an expert witness with two days' notice, causing him to concede issues crucial to the ALJ's favorable ruling. Drafted the 60-page post-trial brief.
- Lead attorney in an Administrative Procedure Act challenge to a Food and Drug Administration regulation. Argued a dispositive motion before a federal court one month after assuming the lead.
- Analyzed the legal merits of a federal dormant Commerce Clause suit challenging state renewable energy laws. Drafted proposed settlement agreement and second-chaired settlement negotiations.
- Conducted and defended depositions; drafted dispositive motions, motions *in limine*, motions to strike, motions to admit, oppositions, interrogatories, requests for admission, and requests for production.
- Co-authored an amicus brief cited by the U.S. Supreme Court in *McCutcheon v. Federal Election Commission*.

INSTITUTE FOR JUSTICE, Arlington, VA | *Law Clerk*, Litigation — Summer 2011

BRACEWELL & GIULIANI, LLP, Washington, D.C. | *Bracewell & Giuliani Fellow*, Litigation — Summer 2010

EDUCATION

UNIVERSITY OF VIRGINIA SCHOOL OF LAW, Charlottesville, VA | J.D. — May 2012

- *Advocacy Award*: Bracewell & Giuliani Oral Advocacy Award.
- *Litigation, First Amendment Clinic*: Won a case in U.S. District Court for the District of Columbia.
- *Activities:* Virginia Journal of Social Policy, Sr. Ed. Board; Extramural Moot Court; Lile Moot Court Board.

UNIVERSITY OF EXETER, Exeter, United Kingdom | M.A., *with Merit*, Literature — November 2008

- *Honors*: Dissertation earned Distinction.

UNIVERSITY OF VIRGINIA, Charlottesville, VA | B.A., *with Distinction*, Literature — May 2007

- *Honors*: Dean's List, Distinguished Majors Program.

BAR ADMISSIONS & PROFESSIONAL AFFILIATIONS

- *Member*: Republican National Lawyers Association, Federalist Society for Law and Public Policy Studies.
- *Admitted to Practice Before:* Virginia State Bar, Washington D.C. Bar, U.S. Court of Appeals for the District of Columbia Circuit, U.S. District Court for the District of Columbia.

AMERICAN OVERSIGHT

JOHN S. CZWARTACKI

(b)(6)

CORPORATE COMMUNICATIONS EXECUTIVE

Strategic and results-oriented Communications Executive with extensive experience identifying creative opportunities to build brand visibility, increase market-share presence, and foster strategic relationships in order to drive revenue growth and strengthen communications in large-scale corporate environments. Proven track record of incorporating emerging digital technologies and platforms to engage consumer and market trends. Leverages international media-relations and public-policy expertise to design key strategies that conceptualize public-affairs activities across multiple digital streams. Committed manager with sterling history of delivering vital communications for governmental and national-security agencies across roster of positions representing senior elected officials.

Areas of Expertise

Business Development – Product Marketing– Social Media – Branding – Consumer Trends – Government Relations - Market Insight & Analysis – Digital Media – Executive Presentations & Negotiations – Strategic Partnerships – Public Policy - Regulatory Affairs – Crisis Management – Change Management– Internal/External Communications

PROFESSIONAL EXPERIENCE

OFFICE OF MANAGEMENT AND BUDGET – WASHINGTON, DC 2016 TO PRESENT

Federal government's primary administrator of all rulemaking, management, policymaking, and its $4 trillion-plus federal budget.

DIRECTOR OF COMMUNICATIONS

Develops and oversees all internal and external communications on behalf of OMB Director Mick Mulvaney and the White House budget office.

NEXT LEVEL STRATEGIES - WASHINGTON, DC/MINNEAPOLIS, MN 2012 TO 2016

Consultancy and advisory positions on behalf of various policymaking and private sector clients.

PRINCIPAL

Provides strategic advice, crisis communications, government relations, and advocacy assistance to a cross-section of clients, strategic partnerships, and in-house. Clients included: Delaware North, Blueprint Communications, the Committee for a Responsible Federal Budget, the Minnesota Jobs Coalition, Scott Honour for Governor.

VERIZON COMMUNICATIONS, INC. – Washington, D.C. 2003 TO 2012

Global leader in communications, IT solutions, information, and entertainment services.

EXECUTIVE DIRECTOR OF EXTERNAL COMMUNICATIONS

Led, with progressively increasing responsibility and roles, efforts to drive the company's overall public affairs/social media strategy and assisting all global business units with their own communication executions, and for building Verizon's digital platform and social media engagement strategy.

ADDITIONAL NOTEWORTHY EXPERIENCE

DIRECTOR OF PUBLIC AFFAIRS – – FEDERAL EMERGENCY MANAGEMENT AGENCY

PARTNER – GREENER AND HOOK

PRESS SECRETARY – US SENATE MAJORITY LEADER, SEN. TRENT LOTT [MS]

PRESS SECRETARY – U.S. HOUSE OF REPRESENTATIVES, CONGRESSMAN JOHN BOEHNER [OH]

EDUCATION

BACHELOR OF ARTS IN POLITICAL COMMUNICATIONS – GEORGE WASHINGTON UNIVERSITY – Washington, D.C.

AMERICAN OVERSIGHT

AWARDS/ ACHIEVEMENTS

Adjunct Professor- George Washington University, School of Media and Public Affairs

Named a Top 50 Staffer by leading Capitol Hill publication

Recognized as IABC's Capitol Hill Communicator of the Year

Recognized with the highest internal accolade, Verizon Excellence Award

Arthur Page Society Member

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AMERICAN OVERSIGHT

Karla G. Carnemark

(b)(6)

Karla Carnemark is a results-driven PMP certified highly skilled professional with over 20 years of experience in project management, government affairs and executive support. She has worked with senior-level government executives from the U.S. Department of Defense, U.S. Department of Commerce, U.S. Department of Transportation, U.S. Department of Veterans Affairs, Congresswoman Deborah Pryce, and New York Mayor Rudolph Giuliani. Ms. Carnemark possesses excellent oral and written communications skills. She has an exemplary reputation based on her superior interpersonal abilities and a career of working with small and large teams from a diverse set of Government Organizations. She has proven project management experience in developing and implementing both short-term objectives and long-range strategic goals. Ms. Carnemark is adept at multi-tasking and making sound decisions under pressure.

Work Experience

Axiom Resource Management, Inc.

April 2008 – Present

In Support of the Military Health System (MHS), U.S. Department of Defense

In support of the Department of Defense Military Health System (MHS) for the past 10 years as: Director of Administration for the MHS Governance Integration Office, Senior Congressional Analyst for the DoD/VA Inter-Agency Program Office (IPO), supported the MHS Deployment Operations Office as well as the TRICARE Health Plan Policy Office. Within this 10 years of support has produced Congressional testimony, talking points, briefings and summaries for the Principal Deputy Assistant Secretary of Defense for Health Affairs. Excuted and organized weekly meetings with senior Department of Defense civilian and military attendees which included the Surgeon Generals of the Army, Navy and Air Force as well as Department of Defense (DoD) Health Affairs Executives. These weekly meetings included preparing meeting materials, recording final decisions and tracking due outs. Tracked the National Defense Authorization Act (NDAA) and provided updates and summaries. Advised leadership on overall program management and acquisition oversight. Tracked and monitored vendor contract deliverables. Drafted and tracked the Final Rule publication within OMB standards. Analyzed the use of HIPAA standards in the MHS business processes and drafted system requirements, business rules, policies and educational materials to ensure DoD compliance. Drafted formal responses to U.S. Government Accountability Office (GAO) inquiries, as well as Reports to Congress.

U.S. Department of Commerce

October 2005 – April 2008

Deputy Director, Office of the Secretary Executive Secretariat

Prepared daily briefing books, correspondence and memoranda for the U.S. Department of Commerce Secretary and Deputy Secretary. Supervised a team of 15 senior staff. Drafted time sensitive correspondence pertaining to pending legislation in coordination with other Cabinet Secretaries. Assisted the White House staff in planning and coordinating meetings with various businesses. Created a new system to organize the department's weekly White House Reports. Facilitated principal meetings, clearance of interagency documents, and tracking of all Congressional correspondence. Assisted the Director in personnel management, budget implementation, long-term planning and strategic vision for the office. Collaborated with General Counsel and senior staff on the Freedom of Information Act (FOIA) requests.

AMERICAN OVERSIGHT

U.S. Department of Transportation

October 2001 – October 2005

Special Assistant to Secretary

Served as the liaison to the White House Office of Cabinet Affairs. Oversaw daily communications with Cabinet Secretaries, Member of Congress, government officials, Fortune 500 executives, and other business and private sector leaders. Maintained the Secretary's schedule, briefed the Secretary on upcoming meetings, special events, testimonies, and speeches, as well as traveled with him and his senior team to coordinate meetings on both domestic and foreign trips. Streamlined communications between the Secretary and his senior staff, and drafted personal correspondence on behalf of the Secretary. Served as Acting Director of Scheduling and Advance while continuing to serve as Special Assistant. Worked directly with the Secretary and other senior staff to ensure the department's goals were met.

U.S. Department of Transportation

April 2001 - October 2001

Deputy Director of Scheduling and Advance

Managed the Secretary's schedule—including planning and coordinating domestic and foreign travel itineraries, completing required documentation and providing necessary background information, and briefings for the Secretary and senior staff. Served as the Secretary's representative with foreign government officials, Members of Congress, business leaders, and the public. Worked directly with the Secretary and staff to coordinate scheduling and advance for all meetings and travel with Congressional and foreign officials. Led trip meetings to brief the Secretary and senior staff on all aspects of their official travel.

U.S. Congresswoman Deborah Pryce (OH)

July 1998 – April 2001

Scheduler

Managed the Congresswoman's official schedule for both the Washington and Ohio district offices. Maintained all aspects of the office budget, including travel, expenses, and general office management. Assisted in supervising the Washington office staff and interns. Prepared quarterly reports on the status of the office for the Congresswoman and Chief of Staff. Prepared meeting briefing materials. Planned, executed and organized the annual staff retreat in Columbus, OH.

Mayor Rudolph W. Giuliani, Washington Office

June 1997 – June 1998

Executive Assistant to the Director

Education

Lynchburg College - Bachelors of Arts, Political Science, 1997

Project Management Professional (PMP) certified

Other Information

(b)(6)

United States Citizen

AMERICAN OVERSIGHT

Kirsten Sutton Mork

(b)(6)

PROFESSIONAL SUMMARY

Seasoned professional staffer with extensive relationships in the House, Senate, Executive Branch, and financial services industry. Ability to develop strong relationships quickly. Deep understanding of financial policy, the legislative process, and demonstrated success advancing support for legislation and executing strategic initiatives. Ability to prioritize, multi-task, and remain calm in high stress situations. Excellent interpersonal and communication skills. Particularly effective in developing and maintaining strong interpersonal working relationships even when professional goals may be in conflict.

PROFESSIONAL EXPERIENCE

Staff Director, *Chairman Jeb Hensarling, House Financial Services Committee, 2017- Present*

- Lead team of 46 professional staffers by creating a collaborative and inclusive environment to develop a strong team mentality and shared goals.
- Administer $16 million dollar budget including allocations for personnel, equipment and travel.
- Represent Chairman by serving as his primary liaison to the Executive Branch, Regulatory Agencies, House Leadership, Senate Banking Committee and Senate Leadership.
- Maintain role as primary staff liaison to all 60 Members of the House Financial Services Committee and develop relationships with key Members of the minority to promote the Chairman's goals.
- Establish and maintain strong relationships with Members of Congress, on and off the Financial Services Committee, in key positions to advance the Chairman's agenda.
- Ensure effective internal communication and coordination at both the staff and Member levels so that all team members possess the information they need when they need it to maximize operational output and efficiency.

Deputy Staff Director, *Chairman Jeb Hensarling, House Financial Services Committee, 2013 - 2016*

- Served as primary staff liaison to the 33 Republican Members of the Committee and their staffs, in addition to the Speaker, Leader, and Whip offices on policy issues under the Chairman's purview.
- Developed an understanding of the political dynamics impacting each of the 60 Members sitting on the Committee and advised the Chairman regarding how those dynamics could influence his strategic initiatives.
- Recommended to the Chairman which Members should carry priority legislation, amendments, letters, etc. and maintained database tracking all Member Services initiatives.
- Acted as principle spokesperson representing the Chairman to stakeholder groups including financial services industry representatives and conservative organizations both in coalition meetings and speaking engagements.
- Collaborated with industry and key stakeholders to identify shared goals and harness outside initiatives to advance the Chairman's objectives.
- Generated strategies for Chairman related to advancing legislation, relationship development, and brand management.
- Assisted the Committee's Staff Director with managing committee staff comprised of 44 personnel.

Legislative Director, *Congressman Jeb Hensarling, Chairman of the Republican Conference and Vice Chairman of the Financial Services Committee, 2010 - 2013*

- Worked closely with Financial Services Committee staff and Republican leadership staff to develop strategy for key policy initiatives.
- Initiated marketing and outreach strategy to inform third parties of the Congressman's work on financial services issues and further develop the Hensarling brand.

AMERICAN OVERSIGHT

- Supervised legislative team to successfully increase targeted communication with Congressman's constituents.

Financial Services Policy Advisor, *Congressman Jeb Hensarling, Ranking Member on the Financial Services Subcommittee on Financial Institutions and Consumer Credit, 2009 - 2010*

- Staffed the Congressman during his work on the conference committee for the landmark Dodd-Frank financial restructuring legislation by preparing amendments, talking points and report language for the bill.
- Assisted the Legislative Director with the Financial Institutions and Consumer Credit portfolio, specifically with regard to the development of the Consumer Financial Protection Bureau established by Dodd-Frank as the legislation moved through the Committee and the House.
- Managed the Congressman's Committee work related to capital markets, insurance, and monetary policy.
- Drafted legislation, amendments, letters, opinion editorials, floor speeches and speeches related to the Congressman's work on the Committee.

Legislative Assistant, *Congressman Tom Price, former Republican Study Committee Chairman, 2008 - 2009*

- Identified strategic opportunities for the Member to engage in Committee work that maximized his visibility while minimizing his output.
- Initiated a working group of conservative staffers that ultimately played an integral role in developing the Republican alternative to the Dodd-Frank Act.
- Administered Financial Services Committee work by writing hearing memos, drafting amendments, preparing talking points and statements during the government's intervention in Bear Stearns, Treasury's initiation of the conservatorship of Fannie Mae and Freddie Mac, and Congress's passage of the Troubled Asset Relief Program.

Legislative Analyst, *Federal Strategy Group, 2007 - 2008*

- Developed lobbying strategies for clients by identifying target Members of Congress of both parties and crafting strategic initiatives and persuasive messaging themes for client meetings on Capitol Hill.
- Conducted crucial research for client development portfolios, legislative tracking and requests for proposals.
- Provided assistance to both Republican and Democrat partners in client pitches.

Legislative Assistant, *Congressman Peter Roskam, 2007*

- Handled Financial Services Committee work, focusing on banking issues and the Domestic and International Monetary Policy Subcommittee.
- Researched and drafted legislation, vote recommendations, talking points, press releases and constituent letters relating to commerce, defense, foreign affairs, homeland security, judiciary, and veterans' affairs.

Finance Assistant, *Roskam for Congress, 2006*

- Raised $3.1 million with Finance Director in one of the most expensive and high profile Congressional races of 2006.
- Increased return through programs targeting major donors, direct mail pieces, and issue-specific voter outreach.

EDUCATION

Wheaton College (Wheaton, IL), *B.A. Interpersonal Communication, Minor in Political Science, May 2006*

AMERICAN OVERSIGHT

Marisol Garibay

(b)(6)

HIGHLIGHTS OF QUALIFICATIONS

- Extensive knowledge of domestic policy issues, including economic, tax, financial services, sustainability, and business issues.
- Key contacts with reporters and editorial writers at national news outlets, including: The Wall Street Journal, The New York Times, CNBC, Fox Business, Financial Times, and the Washington Post.
- Experience developing and executing strategic media and messaging plans for the leadership of the Office of Management and Budget, the Department of the Treasury, the Financial Services Committee and a leading think tank.
- Successful use of social media to expand reach of communications message to new audiences.
- Outstanding leadership and communication skills.
- Strong research and writing skills.

RELEVANT WORK EXPERIENCE

Office of Management and Budget (OMB) Washington, DC

Senior Advisor and Acting Communications Director, June 2018-Present

- Responsible for coordinating with all executive branch agencies before a policy rollout to ensure consistency in policy and messaging.
- Develop press plan and briefings to counter negative stories on OMB reviewing tax regulations. This strategy successfully led to the placement of stories outlining OMB's positive contributions to improving tax regulations.
- Lead and inspire a team of four press staffers to develop their skills and interactions with national reporters.
- Review speeches by high-level ranking officials at all cabinet agencies.
- Build and maintain relationships with reporters that cover domestic finance, and tax policy issues.
- Coordinate with White House press office ahead of major announcements and identify opportunities for presidential events.
- Build message and strategy on the president's plan to reorganize the Federal government, the FY2020 budget, and major regulations that undergo OMB review. Additionally, working to modernize various Federal website, including regInfo.org

United States Department of the Treasury Washington, DC

Deputy Assistant Secretary for Public Affairs, June 2017-June 2018

- Implemented strategic press plans to garner positive earned media on Treasury's main priorities. These plans included tax reform and passage of Tax Cuts and Jobs Act, the wind-down of the myRA program, and recommendations for financial regulatory reform.
- Reviewed, edited, and approved talking points and speeches by high-level ranking officials at the Department.
- Built and maintained relationships with reporters that cover domestic finance and tax policy issues.
- Worked with FSOC Member agencies to ensure a coordinated message is attained before and after an action is taken.
- Led press conferences and press briefings related to Treasury bond auctions, debt limit, Dodd-Frank reform, tax reform, health care penalties, Social Security and Medicare, and economic policy.
- Reviewed all regulatory guidance and actions to ensure it is consistent with the Secretary's priorities.

American Action Forum Washington, DC

Communications Director, June 2015-Present

Deputy Communications Director, July 2013-June 2015

- Designed and executed press plans for various domestic policy issues, including: regulations, budget, taxes, financial services, energy, education, immigration, and housing.
- Identified research topics for policy experts to conduct on domestic and defense policy.
- Edited all products released by AAF.
- Managed press inquiries for the think tank's studies, experts, and president Douglas Holtz-Eakin.
- Led our rapid response efforts by working with AAF's policy staff to ensure timely and useful products on the domestic issues driving the day.
- Built relationships with national reporters and editorial writers in order to increase coverage of AAF's brand and products.
- Elevated AAF's brand on the hill and with outside stakeholders to maximize use of our research products.

The Organization for International Investment Washington, DC

Communications Director, December 2012-July 2013

- Directed public affairs campaigns for the trade association and its 150 global member companies.

AMERICAN OVERSIGHT

- Planned various roundtables for member companies to enhance communications with reporters and policymakers regarding important issues, such as: sustainability, manufacturing, and benefits of foreign direct investment.
- Wrote speeches and op-eds for the president of the association, and the chief executive officers of member companies.
- Revamped OFII's website to integrate social media, promote our Member companies, and simplify usage of the website.

House Committee on Financial Services Washington, DC

Communications Director, June 2008-November 2012

- Prepared then-Chairman Spencer Bachus for key national interviews related to all issues under Committee jurisdiction.
- Maintained essential contacts with national reporters and editorial writers that cover financial services issues.
- Developed strategic communications plan for the Committee's legislative initiatives.
- Responded to international, national, and local media inquiries.
- Wrote talking points, speeches, and quotes for the Chairman and Members of the Committee.
- Developed and executed messaging on Committee related issues for House Republicans to use in Committee hearings, legislative debates, and media interviews.
- Utilized new media, including YouTube, Facebook, Twitter, and blogs to increase the reach of our message in an understandable and eye-catching way.
- Identified new ways to enhance the Committee's website to provide a more interactive experience for viewers to communicate with members.

United States Department of the Treasury Washington, DC

Office of Legislative Affairs

Special Assistant (Banking and Finance), August 2007-June 2008

- Maintained liaison with House and Senate staffers for Members of the Senate Banking Committee and House Financial Services Committee.
- Assisted in preparing Treasury witnesses to testify before congressional committees.
- Prepared documents with potential questions Members may ask Treasury witness ahead of hearings and worked with policy staff to develop appropriate response.
- Attended and monitored congressional hearings, reporting significant events to relevant policy staff.
- Responded to congressional inquiries.

House Committee on Financial Services Washington, DC

Assistant Communications Director, January 2005-August 2007

- Drafted press releases regarding Committee activity and legislation, including: credit rating agencies, Sarbanes-Oxley, government-sponsored enterprises, market structure, insurance, and domestic and international monetary policy.
- Wrote talking points, speeches, statements and quotes on Committee issues for the Chairman.
- Communicated effectively with reporters on legislation and Committee action.
- Translated press releases into Spanish.
- Compiled daily press clips relevant to Committee's jurisdiction.

EDUCATION

The University of Minnesota Minneapolis, MN

Bachelor of Arts in Political Science and Sociology, May 2004

AMERICAN OVERSIGHT

Olivia Edwards

(b)(6)

WORK EXPERIENCE

Congressman Mark Sanford **March 2017 - Present**

Executive Assistant

Manage all aspects of the Member's life

- Responsible for scheduling and addressing all meeting requests for DC and both district offices
- Book all travel arrangements and manage changes as needed
- Write and edit public correspondence

Caseworker **September 2016 - Present**

Problem Solving for all imaginable issues for constituents

- Facilitate and build relationships with liaisons of various agencies and community service programs
- Assist constituents with federal, state, and local issues
- Work with staff members, including those in DC, to ensure all constituent requests are addressed timely

Rosemary Amusements **August 2015 - September 2016**

Executive Assistant

- Managed daily schedule and calls for owner/president
- Reconciled balance sheets
- Developed Excel spreadsheets to maintain organization for company

EDUCATION

The University of South Carolina, Columbia, SC

Bachelor of Science in Criminal Justice

Minor in Child Advocacy

SKILLS

- Excellent Communicator
- Typing - 110 words per minute
- Proficient with Microsoft Office Suites, Google Docs, IQ, and Fireside
- Ability to meet deadlines
- Organization
- Attention to Detail

VOLUNTEER SERVICE

(b)(6)

AMERICAN OVERSIGHT

PAUL WATKINS

(b)(6)

PROFESSIONAL EXPERIENCE

ARIZONA OFFICE OF THE ATTORNEY GENERAL — **PHOENIX, AZ**
Chief Counsel, Civil Litigation Division — *January 2015 – July 2018*

- Lead 150-person division responsible for civil rights, consumer protection, antitrust, environmental, federalism, government accountability, bankruptcy, collections, and general civil litigation.
- Created government accountability unit and installed new leadership teams in consumer protection, collections, and civil rights.
- Drafted legislation creating the first FinTech regulatory sandbox in the United States.
- Drafted crowdfunding/initial coin offering legislation making the Arizona House of Representatives the second elected body in the world to recognize a distinction between a utility and security token.
- Led more than 10 state coalitions opposing class action settlements pursuant to the Class Action Fairness Act in a legal strategy later adopted by the United States Department of Justice.
- Negotiated $40 million settlement with Volkswagen and $7.2 million settlement with General Motors, which were the only Attorney General settlements at the time against either company with consumer restitution.
- Negotiated $4.8 million settlement with Theranos, providing full refunds for all Arizona consumers.
- Successfully argued in state Supreme Court to defend a novel law that removed shared revenue from political subdivisions for enacting ordinances conflicting with state law on matters of statewide concern.
- Sued state-wide elected official for violating conflict of interest law, causing official's resignation.
- Intervened in, consolidated, and successfully dismissed over 1,000 frivolous ADA lawsuits.

ALLIANCE DEFENDING FREEDOM — **SCOTTSDALE, AZ**
Senior Legal Counsel — *2012 – 2015*

PEPPERDINE UNIVERSITY SCHOOL OF LAW — **MALIBU, CA**
Nootbaar Research Fellow — *2011 – 2012*

COVINGTON & BURLING LLP — **SAN FRANCISCO, CA**
Securities Litigation Associate — *2008 – 2011*

JUDGE DENNIS W. SHEDD, FOURTH CIRCUIT COURT OF APPEALS — **COLUMBIA, SC**
Clerk — *2007 – 2008*

SIMPSON THACHER & BARTLETT LLP — **PALO ALTO, CA**
Corporate Associate — *2006 – 2007*

EDUCATION

HARVARD LAW SCHOOL — **CAMBRIDGE, MA**
J.D. — *2006*

HILLSDALE COLLEGE — **HILLSDALE, MI**
B.A. in Political Philosophy, *valedictorian* — *2003*

AMERICAN OVERSIGHT

Sheila McNamara Greenwood

(b)(6)

Professional Experience

United States Department of Housing and Urban Development January 2017 – present
Senior Executive Service – Political Appointee
Chief of Staff

- Principal advisor to the Secretary on matters including policy development, budget, public affairs, Capitol Hill, scheduling, staff selection and management.
- Primary point of contact between the Agency and the White House on all Agency matters.
- Develop and maintain Agency priorities, including prioritizing, timing, and messaging around same.

FIRST DATA CORPORATION, GLOBAL GOVERNMENT AFFAIRS 2015 – 2016

- Consultant to First Data's Senior Vice President of Global Government and Public Affairs, former HUD Secretary Alphonso Jackson.
- Project included complete revision of office structure, including new staff roles and responsibilities, internal reporting structures, development of a PAC, and establishment of policy positions.
- Designed and executed an updated political presence for First Data and Secretary Jackson; including press strategy, procurement and management of outside consulting firms, and corporate branding on Capitol Hill and with relevant trade associations.

PRUDENTIAL FINANCIAL, FEDERAL GOVERNMENT RELATIONS 2011 – 2015
Vice President, Regulatory Affairs

- Principal consultant and strategist to senior executives and various business units on the internal structure, jurisdictional scope, and political environment at various federal agencies and the White House.
- Developed and implemented appropriate regulatory and political strategies on the company's behalf.
- Significant work around the Dodd-Frank Act; specifically, the non-bank Systemically Important Financial Institution (SIFI) designations, the Financial Stability Oversight Council (FSOC) actions, and the increasing role of both Treasury and the Federal Reserve on their design of regulations and capital requirements for insurers.
- Represented Prudential in the industry community, including personal volunteerism, corporate sponsorship, trade association involvement, and fundraising.

FEDERAL EXECUTIVE BRANCH 2003 – 2009

United States Department of Housing and Urban Development
Presidential Appointee, U.S. Senate Confirmed
Assistant Secretary for Congressional and Intergovernmental Relations (CIR)

- Principal advisor to the Secretary on legislative affairs, Congressional relations, and policy matters affecting federal, state, and local governments. Legislative and regulatory issues included public housing, fair housing, national mortgage industry, the role of GSE's, FHA Modernization, RESPA, and HERA.
- Developed the Department's position on relevant legislative and regulatory matters with the White House, federal agencies, and HUD's Office of General Counsel and program offices.
- Directed and managed the CIR staff of more than 25 professional and administrative employees and developed and operated CIR's annual $2.7M budget.
- Member of the ten-person Senior Advisors group to the Secretary.

Senior Executive Service – Political Appointee
Deputy Chief of Staff

- Senior Advisor to the Secretary, overseeing policy development on all aspects of the national housing market including public housing, fair housing, the national mortgage industry, rebuilding of the Gulf Coast's post-Katrina housing stock, GSE reform, and HUD's annual budget.
- Created and directed the successful effort to redevelop the New Orleans public housing stock and its local housing authority post-Katrina.
- Regularly represented HUD's policy positions to the White House, executive branch agencies, Members of Congress, local elected officials, and interested stakeholders. Significant work with outside private interest groups.
- Developed testimony, correspondence, written press statements, and speeches for the Secretary.

AMERICAN OVERSIGHT

- Selected by the White House to spearhead HUD's efforts on the successful nomination and Senate confirmation of Secretary Steve Preston.

United States Department of Homeland Security
Schedule C – Political Appointee
Director of Legislative Affairs, Office of the Federal Coordinator for Gulf Coast Rebuilding (OGCR)

- Primary liaison to federal, state, and local elected officials regarding the Administration's rebuilding efforts after Hurricanes Katrina and Rita in August 2005.
- Senior Advisor to the Federal Coordinator for Gulf Coast Rebuilding, Donald Powell.
- Oversaw the development and implementation of the Administration's various legislative and regulatory efforts and coordinated those efforts among Cabinet agencies, including HUD, the U.S. Army Corps of Engineers, Commerce, HHS, Labor, Treasury, Interior, as well as with White House Legislative Affairs, the Office of Management and Budget, the Homeland Security Council, the National Economic Council, and Council of Environmental Quality.
- Significant work with the Office of Management and Budget, including the development, introduction, and successful implementation of the President's supplemental budget requests to fund Gulf Coast rebuilding activities.
- Responsible for all aspects of preparing Chairman Powell for his multiple appearances as a witness before Congressional committees, including background information, writing testimony, and witness preparation.

United States Department of Labor
Schedule C – Political Appointee
Senior Legislative Officer, Office of Congressional and Intergovernmental Affairs (OCIA)

- OCIA representative to Capitol Hill for the Employment Standards Administration (ESA), the largest agency within DOL. The ESA jurisdiction included laws and regulations governing employment standards and practices, including workers' compensation programs, wages and working conditions, and worker's rights as union members.
- Legislative lead on Capitol Hill for the DOL rule that successfully updated the Fair Labor Standards Act's (FLSA) regulations providing exemptions from overtime for executive, administrative, and professional employees; otherwise known as "FLSA Part 541."
- Prepared talking points, briefing books, status memos, and position papers for use by the Secretary of Labor and the White House.

CITIGROUP 2001 – 2003
Vice President and Director of Political Relations, Federal Government Relations Division

- Directed, managed, and budgeted approximately $1M in annual combined political giving.
- Responsible for Citigroup's political outreach to Members of Congress, political and campaign committees, and other financial services related companies and trade associations.
- Legislative outreach to Capitol Hill on Sarbanes-Oxley legislation, corporate governance, and consumer banking.

NATIONAL ASSOCIATION OF PROFESSIONAL INSURANCE AGENTS 1997-2001
Assistant Vice President of Government Affairs

- Developed and implemented legislative policy and Hill strategy representing over 15,000 independent insurance agents nationwide.
- Managed Government Affairs Department including staff, department budget, national grassroots program, PAC functions, and outside counsel.

Senior Government Affairs Representative

- Lead federal lobbyist on insurance issues like Gramm-Leach-Bliley Act, crop insurance, optional federal charter.

AMERICAN WIND ENERGY ASSOCIATION 1996 – 1997
Assistant Director, Government and Public Affairs

- Principal lobbyist to House, Senate, and executive branch for energy trade association.

INDEPENDENT PETROLEUM ASSOCIATION OF AMERICA 1992 – 1995
Legislative Assistant, Government Affairs Department

- Lobbying responsibilities included public lands, offshore drilling, and regulatory affairs issues.

Education

University of Louisiana at Lafayette
B.A. in English with a Minor in History

AMERICAN OVERSIGHT

Thomas Becket Pahl, Esq.

(b)(6)

WORK EXPERIENCE

FEDERAL TRADE COMMISSION
Washington, D.C.

Bureau of Consumer Protection, Acting Director. 2017 to present
Leader of more than 400 employees in Washington, D.C. and seven regional offices working on a wide range of consumer protection law enforcement, rulemaking, guidance, legislative, and policy initiatives.

Bureau of Consumer Protection, Division of Financial Practices, Assistant Director. 2007 to 2013
Manager in division responsible for law enforcement, rulemaking, and policy development activities related to financial services, focusing on debt collection, payday lending, mortgage lending, and debt relief services.

Bureau of Consumer Protection, Division of Advertising Practices Assistant Director. 2002 to 2007
Manager in division responsible for law enforcement, rulemaking, and policy development activities related to national advertising.

Hon. Orson Swindle, III, Attorney Advisor. 1998 to 2002
(Republican Commissioner) (President Clinton appointee)
Advised Commissioner on consumer protection and antitrust law and policy matters.

Hon. Mary L. Azcuenaga, Attorney Advisor. 1993 to 1996
(Independent Commissioner) (President Reagan and Bush appointee)
Advised Commissioner on consumer protection law and policy matters.

Office of the General Counsel, Staff Attorney 1990 to 1993

AMERICAN OVERSIGHT

ARNALL, GOLDEN, GREGORY, LLP 2016 to 2017
Washington, D.C.

Partner in Privacy and Consumer Regulatory Group.
Provided counseling and advice to debt collectors, consumer reporting agencies, creditors, and others in privacy and consumer financial services matters.

CONSUMER FINANCIAL PROTECTION BUREAU 2013 to 2016
Washington, D.C.

Managing Counsel in Office of Regulations

Manager in office with responsibility for rulemaking, policy development, and regulatory guidance to implement the Fair Debt Collection Practices Act, Fair Credit Reporting Act, Gramm-Leach-Bliley Act, and Dodd-Frank Act.

GEORGE MASON UNIVERSITY SCHOOL OF LAW 2008 to 2012
Fairfax, Virginia

Adjunct Professor of Law. Teaching consumer protection, trademark, and unfair competition law course.

U.S. SENATE COMMITTEE ON THE JUDICIARY, Counsel 2001
(Republican Staff on detail from the FTC)
Washington, D.C.

GADSBY & HANNAH, LLP, Trade Regulation Associate 1996 to 1998
Washington, D.C.

AMERICAN BAR ASSOCIATION 1992 to 1993
CENTRAL AND EASTERN EUROPEAN LAW INITIATIVE
Pro Bono Rule of Law Liaison
(Leave without pay from the FTC)
Bucharest, Romania

FAEGRE & BENSON, Litigation Associate 1989 to 1990
Minneapolis, Minnesota

HON. D.D. WOZNIAK, Judicial Clerk 1988 to 1989
Chief Judge, Minnesota Court of Appeals
St. Paul, Minnesota

AMERICAN OVERSIGHT

EDUCATION

NORTHWESTERN UNIVERSITY SCHOOL OF LAW
Chicago, Illinois
J.D., *cum laude*, 1988

COLLEGE OF ST. THOMAS
St. Paul, Minnesota
B.A., Economics, *summa cum laude*, 1985

PROFESSIONAL ACTIVITIES AND HONORS

Editor, Editorial Review Board for Debt Collectors Compliance Professionals Forum. 2016 to 2017.

Co-Vice-Chairman, American Bar Association, Section of Business Law, Consumer Financial Affairs Committee, Federal and State Trade Practices Subcommittee. 2009 to 2012.

Federal Trade Commission, Janet D. Steiger Team Award for Mortgage Assistance Relief Services Projects. 2011.

Federal Trade Commission, Janet D. Steiger Team Award for Financial Regulatory Reform Projects. 2010.

Federal Trade Commission, Janet D. Steiger Team Award for Spyware Projects. 2006.

Federal Trade Commission Chairman's Award for Excellence in Supervision. 2005.

Federal Trade Commission Bureau Director's Award for Outstanding Performance. 2004.

Federalist Society. 1995 to 2018.

International Election Observer, Romanian National Elections. 1992.

AMERICAN OVERSIGHT

BAR MEMBERSHIPS

District of Columbia Bar

United States District Court for the District of Columbia

American Bar Association

PUBLICATIONS

Thomas B. Pahl and Matthew Wilshire, *Why States Should Have Primary Oversight of Attorney Activities in Debt Collection Litigation,* Inside ARM (Dec. 14, 2017).

Thomas B. Pahl, *The Future of Financial Services Enforcement at the FTC*, Business Law Today (Sept. 16, 2017).

Thomas B. Pahl, *The Tortoise, Not the Hare Will Win the Deregulatory Race*, The Hill (Feb. 2, 2017).

Thomas B. Pahl, *Contact Caps and the Application of Regulatory Humility*, InsideARM (Jan. 30, 2017).

Thomas B. Pahl, *Trump Makes a Wise Choice for the FTC*, The Hill (Jan. 26, 2017).

Thomas B. Pahl, *How Washington Will Decide the Consumer Watchdog's Fate*, The Hill (Jan. 26, 2017).

Thomas B. Pahl, *The FTC and CFPB Should Divide Up Debt Collection Enforcement*, InsideARM (Jan. 9, 2016).

Thomas B. Pahl, *The CFPB is a Sleeping Giant on Data Security. Let's Not Wake It,* The Hill (Dec. 28, 2016).

Thomas B. Pahl, *The CFPB Should Not Single Out Collectors for Non-English Disclosure Requirements*, InsideARM (Dec. 13, 2016).

Thomas B. Pahl, *Consumer Financial Protection under President-elect Trump*, The Hill (Dec. 13, 2016).

Thomas B. Pahl and Sean Sullivan, *CFPB Examination of Auto Repossession Firms: An Unpleasant Surprise!* AGG Client Alert (Dec, 5, 2016).

Thomas B. Pahl and David A. Felt, *DC Circuit Rules CFPB Structure Unconstitutional and Overturns $106 Million Fine*, AGG Client Alert (Oct. 16, 2016).

AMERICAN OVERSIGHT

Thomas B. Pahl and Evan R. Zullow, *Update on Federal Regulation of Attorneys Under Financial Services Law*, 67 Bus. Lawyer 617 (Feb. 2012).

Phyllis B. Marcus and Thomas B. Pahl, *Mother, May I (open a MySpace account)? The Children's Online Privacy Protection Act and Social Networking Sites: The FTC's Role*, American Bar Association, Antitrust Law Section, Privacy and Information Security Committee, Vol. 2 No. 1 The Secure Times (Fall/Winter 2006).

Thomas B. Pahl, *FTC Takes Aim at Spyware*, Vol. 10, Issue 3 Fraud Busters (Summer 2006).

Thomas B. Pahl and Maureen K. Ohlhausen, *FTC Bans on Charitable Solicitation Are Unconstitutional,* Vol. 3 No. 2 Federalist Society Free Speech and Election Law Magazine 13 (Winter 2000).

James M. Spears, Thomas B. Pahl, and Peter Bernstein, *New Guidance for Merger Efficiencies*, Gadsby & Hannah Legal Notes (Spring 1998).

James M. Spears and Thomas B. Pahl, *The Enforceability of Foreign Arbitration Awards in U.S. Courts: A Recent Decision In Re the Arbitration of Certain Controversies Between Chromalloy Aeroservices and the Arab Republic of Egypt*, Vol.2 No.3 Canadian International Lawyer 106 (Summer 1997).

Thomas B. Pahl, Tish Eggleston Pahl, and Sorin David, Contracte Tip Pentru Intreprinzatorii Particulari (1st ed. Editura Tehnica 1993) (book discussing basic contract principles and including simple contract forms in English and Romanian).

REFERENCES

Available upon request.

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
BLANKENSTEIN, ERIC GABRIEL	(b)(6)	(b)(6)	02-18-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
170	EXC APPT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number

15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR, SEFL
66135498 18006

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0905	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU
DIRECTOR
OFFICE OF THE DIRECTOR
FR FT1010000000000000 PP 04 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
APPOINTMENT AFFIDAVIT EXECUTED 2/20/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	02-20-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
BLANKENSTEIN, ERIC GABRIEL	(b)(6)	(b)(6)	04-01-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 721	5-B. Nature of Action: REASSIGNMENT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 33XX	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR, SEFL 66135498 18006	POLICY ASSOCIATE DIRECTOR, SEFL 66146614 18006

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00		CN	0905	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00	$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU SUPERVSN, ENFRCMT, & FAIR LEND FR FT5000000000000000 PP 07 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	04-03-2018	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CARNEMARK, KARLA GANSWINDT	(b)(6)	(b)(6)	05-26-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
170	EXC APPT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number

15. TO: Position Title and Number
DEPUTY CHIEF OF STAFF 66243599 180021

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	82	00	$239,595.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$203,047.00	$36,548.00	$239,595.00	.00

14. Name and Location of Position's Organization

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 11 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 5/28/2019.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
FROZEN SERVICE: 00YRS. 00MOS.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)
(b)(6).

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	05-28-2019

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev. 7/91
U.S. Office of Personnel Management
FPM Supp. 296–33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CONANT, ANN MARTHA	(b)(6)	(b)(6)	01/07/18

FIRST ACTION

5–A. Code	5–B. Nature of Action
170	EXC APPT
5–C. Code	**5–D. Legal Authority**
Y7M	SCH C 213 3301
5–E. Code	**5–F. Legal Authority**

SECOND ACTION

6–A. Code	6–B. Nature of Action
6–C. Code	**6–D. Legal Authority**
6–E. Code	**6–F. Legal Authority**

7. FROM: Position Title and Number

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
	.00		.00

14. Name and Location of Position's Organization

15. TO: Position Title and Number
EXECUTIVE ASSISTANT TO THE DIRECTOR
66121929 180140

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary/Award	21. Pay Basis
CN	0303	43	00	75,000.00	PA

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
63,559.00	11,441.00	75,000.00	.00

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU
DIRECTOR
OFFICE OF THE DIRECTOR

FR FT1010000000000000 PP 01 2018

EMPLOYEE DATA

23. Veterans Preference
(b)(6)
1 – None; 2 – 5–Point; 3 – 10–Point/Disability; 4 – 10–Point/Compensable; 5 – 10–Point/Other; 6 – 10–Point/Compensable/30%

24. Tenure
3
0 – None; 1 – Permanent; 2 – Conditional; 3 – Indefinite

25. Agency Use

26. Veterans Preference for RIF
(b)(6)

27. FEGLI
(b)(6)

28. Annuitant Indicator
9 NOT APPLICABLE

29. Pay Rate Determinant
0 NOT APPLICABLE

30. Retirement Plan
(b)(6)

31. Service Comp. Date (Leave)
(b)(6)

32. Work Schedule
F FULL TIME

33. Part–Time Hours Per Biweekly Pay Period

POSITION DATA

34. Position Occupied
2
1 – Competitive Service; 2 – Excepted Service; 3 – SES General; 4 – SES Career Reserved

35. FLSA Category
E
E – Exempt; N – Nonexempt

36. Appropriation Code

37. Bargaining Unit Status
8888

38. Duty Station Code
11-0010-001

39. Duty Station (City – County – State or Overseas Location)
WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
(b)(6)
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 1/8/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG WV 26106.
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency
CONSUMER FINANCIAL PROTECTION BUR

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	01/09/18

50. Signature/Authentication and Title of Approving Official
ELECTRONICALLY SIGNED BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

5–Part 50–316

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NSN 7540–01–333–6238

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CONANT, ANN MARTHA	(b)(6)	(b)(6)	08-27-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 352	5-B. Nature of Action: TERMINATION-APPT IN BO	6-A. Code:	6-B. Nature of Action:
5-C. Code: DBM	5-D. Legal Authority: 5 CFR PT 715	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
EXECUTIVE ASSISTANT TO THE DIRECTOR 66121929 180140	

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
CN	0303	43	00	$75,000.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00	

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$63,559.00	$11,441.00	$75,000.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	FR FT1010000000000000 PP 17 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
FORWARDING ADDRESS=(b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	08-29-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CZWARTACKI, JOHN S	(b)(6)	(b)(6)	04-29-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 002	5-B. Nature of Action: CORRECTION	6-A. Code: 170	6-B. Nature of Action: EXC APPT
5-C. Code:	5-D. Legal Authority:	6-C. Code: Y7M	6-D. Legal Authority: SCH C 213 3301SCH C
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	CHIEF COMM OFFICER & SPOKESPERSON 66151451 18010

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00		CN	1035	82	00	$239,595.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00	$203,047.00	$36,548.00	$239,595.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 18 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
CORRECTS ITEM 27 FROM (b)(
ALSO CORRECTS THE SAME ITEM ON ALL PREVIOUS PERSONNEL ACTIONS FROM
2/21/17 TO 4/28/18

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	05-01-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CZWARTACKI, JOHN S	(b)(6)	(b)(6)	08-04-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
790	REALIGNMENT
5-C. Code	5-D. Legal Authority
UNM	MEMO DTD 05/27/2019
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
	CHIEF COMM OFFICER & SPOKESPERSON 66151451 18010

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	1035	82	00	$239,595.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$203,047.00	$36,548.00	$239,595.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR COMMUNICATIONS	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 16 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	08-06-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
CZWARTACKI, JOHN S	(b)(6)	(b)(6)	01-20-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
N2M	PL111 203
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
	CHIEF COMM OFFICER & SPOKESPERSON 66151451 18010

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	1035	82	00	$239,595.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$203,047.00	$36,548.00	$239,595.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR COMMUNICATIONS FR FT1070000000000000 PP 02 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	01-20-2019

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
DUKE, ANDREW MAURICE	(b)(6)	(b)(6)	02-03-2019

FIRST ACTION		SECOND ACTION	
5-A. Code: 170	5-B. Nature of Action: EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 33XX	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66214649 180150

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 03 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 02/04/2019.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE 200 THIRD STREET
PARKERSBURG, WV 26101.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	01-30-2019	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
DUKE, ANDREW MAURICE	(b)(6)	(b)(6)	03-17-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 33XX
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66214649 180150	POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66228465 180150

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU EXTERNAL AFFAIRS DIVISION FR FT7000000000000000 PP 06 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	03-19-2019

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
EDWARDS, OLIVIA KATHLEEN	(b)(6)	(b)(6)	12-17-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 170	5-B. Nature of Action: EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	EXEC ASST TO THE CHIEF OF STAFF 66208013 180230

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0303	41	00	$60,416.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$51,200.00	$9,216.00	$60,416.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 25 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
(b)(6)
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 12/17/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	12-19-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev. 7/91
U.S. Office of Personnel Management
FPM Supp. 296–33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GARIBAY, MARISOL	(b)(6)	(b)(6)	01/17/19

FIRST ACTION

5–A. Code	5–B. Nature of Action
170	EXC APPT
5–C. Code	**5–D. Legal Authority**
Y7M	SCH C 213 3301
5–E. Code	**5–F. Legal Authority**

SECOND ACTION

6–A. Code	6–B. Nature of Action
6–C. Code	**6–D. Legal Authority**
6–E. Code	**6–F. Legal Authority**

7. FROM: Position Title and Number

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
	.00		.00

14. Name and Location of Position's Organization

15. TO: Position Title and Number
ASST DIR CHIEF COM OFFICER & SPOKESPER
66210536 18020

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary/Award	21. Pay Basis
CN	0301	71	00	185,615.00	PA

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
157,301.00	28,314.00	185,615.00	.00

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU
DIRECTOR
OFFICE OF THE DIRECTOR

FR FT1010000000000000 PP 01 2019

EMPLOYEE DATA

23. Veterans Preference
(b)(6)
1 – None; 2 – 5–Point; 3 – 10–Point/Disability; 4 – 10–Point/Compensable; 5 – 10–Point/Other; 6 – 10–Point/Compensable/30%

24. Tenure
3
0 – None; 1 – Permanent; 2 – Conditional; 3 – Indefinite

25. Agency Use

26. Veterans Preference for RIF
(b)(6)

27. FEGLI
(b)(6)

28. Annuitant Indicator
9 NOT APPLICABLE

29. Pay Rate Determinant
0 NOT APPLICABLE

30. Retirement Plan
(b)(6)

31. Service Comp. Date (Leave)
(b)(6)

32. Work Schedule
F FULL TIME

33. Part–Time Hours Per Biweekly Pay Period

POSITION DATA

34. Position Occupied
2
1 – Competitive Service; 2 – Excepted Service; 3 – SES General; 4 – SES Career Reserved

35. FLSA Category
E
E – Exempt; N – Nonexempt

36. Appropriation Code

37. Bargaining Unit Status
8888

38. Duty Station Code
11-0010-001

39. Duty Station (City – County – State or Overseas Location)
WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
(b)(6)
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 1/17/2019.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency
CONSUMER FINANCIAL PROTECTION BUR

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	01/19/19

50. Signature/Authentication and Title of Approving Official
ELECTRONICALLY SIGNED BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GARIBAY, MARISOL	(b)(6)	(b)(6)	08-04-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
790	REALIGNMENT
5-C. Code	5-D. Legal Authority
UNM	MEMO DTD 05/27/2019
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
	ASST DIRECTOR, OFFICE OF COMMUNICATION 66246047 18022

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	1035	81	00	$219,042.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$185,629.00	$33,413.00	$219,042.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR COMMUNICATIONS	CONSUMER FINANCIAL PROTECT BUREAU EXTERNAL AFFAIRS DIVISION OFF OF COMMUNICATIONS FR FT7010000000000000 PP 16 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	08-06-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GARIBAY, MARISOL	(b)(6)	(b)(6)	02-03-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
ASST DIR CHIEF COM OFFICER & SPOKESPER 66210536 18020	ASST DIR CHIEF COM OFFICER & SPOKESPER 66215686 18020

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	71	00	$185,615.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$157,301.00	$28,314.00	$185,615.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR COMMUNICATIONS FR FT1070000000000000 PP 03 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	02-05-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GILLISSIE, EVAN MATTHEW	(b)(6)	(b)(6)	11-11-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 570	5-B. Nature of Action: CONV TO EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
EXEC ASST TO THE CHIEF OF STAFF 66130607 180230	EXECUTIVE ASSISTANT TO THE DIRECTOR 66198306 180140

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
		41		$59,000.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0303	43	00	$74,384.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$50,000.00	$9,000.00	$59,000.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$63,037.00	$11,347.00	$74,384.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 23 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	11-13-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GILLISSIE, EVAN MATTHEW	(b)(6)	(b)(6)	02-11-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
170	EXC APPT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number

15. TO: Position Title and Number
EXEC ASST TO THE CHIEF OF STAFF 66130607 180230

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0303	41	00	$59,000.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$50,000.00	$9,000.00	$59,000.00	.00

14. Name and Location of Position's Organization

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 03 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 2/12/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	02-13-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GILLISSIE, EVAN MATTHEW	(b)(6)	(b)(6)	09-28-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
317	RESIGNATION
5-C. Code	5-D. Legal Authority
RPM	REG 715.202
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
EXECUTIVE ASSISTANT TO THE DIRECTOR 66198306 180140	

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
CN	0303	43	00	$75,800.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00	

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$64,237.00	$11,563.00	$75,800.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	FR FT1010000000000000 PP 19 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

FORWARDING ADDRESS=(b)(6)
LUMP-SUM PAYMENT TO BE MADE FOR ANY UNUSED ANNUAL LEAVE.
REASON FOR RESIGNATION: "ACCEPTED A POSITION OUTSIDE OF THE FEDERAL GOVERNMENT".

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	09-30-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GREENWOOD, SHEILA MCNAMARA	(b)(6)	(b)(6)	03-04-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
170	EXC APPT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number

15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR, CEE 66140256 18008

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 05 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 3/5/2018.
OPF MAINTAINED BY BUREA OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	03-06-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GREENWOOD, SHEILA MCNAMARA	(b)(6)	(b)(6)	04-27-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
352	TERMINATION-APPT IN HF
5-C. Code	5-D. Legal Authority
DBM	5 CFR PT 715
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR, CEE 66228470 18008	

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
CN	0301	90	00	$259,500.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00	

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$259,500.00	.00	$259,500.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU CONSUMER ED & ENGAGEMENT DIV	FR FT3000000000000000 PP 08 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
FORWARDING ADDRESS=(b)(6)

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	04-29-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
GREENWOOD, SHEILA MCNAMARA	(b)(6)	(b)(6)	03-17-2019

FIRST ACTION		SECOND ACTION	
5-A. Code: 721	5-B. Nature of Action: REASSIGNMENT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
POLICY ASSOCIATE DIRECTOR, CEE 66140256 18008	POLICY ASSOCIATE DIRECTOR, CEE 66228470 18008

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU CONSUMER ED & ENGAGEMENT DIV FR FT3000000000000000 PP 06 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	03-19-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
JOHNSON, BRIAN CRAIG	(b)(6)	(b)(6)	04-23-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 570	5-B. Nature of Action: CONV TO EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
SENIOR ADVISOR TO THE DIRECTOR 66117124 180090	PRINCIPAL POLICY DIRECTOR 66150335 18012

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
		82		$239,595.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$203,047.00	$36,548.00	$239,595.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 08 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
TRIAL PERIOD COMPLETED.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	04-25-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
JOHNSON, BRIAN CRAIG	(b)(6)	(b)(6)	05-26-2019

FIRST ACTION		SECOND ACTION	
5-A. Code: 570	5-B. Nature of Action: CONV TO EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
PRINCIPAL POLICY DIRECTOR 66150335 18012	DEPUTY DIRECTOR 66246577 18009

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00		CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00	$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 11 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	05-28-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
JOHNSON, BRIAN CRAIG	(b)(6)	(b)(6)	01-07-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
570	CONV TO EXC APPT
5-C. Code	**5-D. Legal Authority**
Y7M	SCH C 213 3301
5-E. Code	**5-F. Legal Authority**

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	**6-D. Legal Authority**
6-E. Code	**6-F. Legal Authority**

7. FROM: Position Title and Number	15. TO: Position Title and Number
	SENIOR ADVISOR TO THE DIRECTOR 66117124 180090

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				$220,000.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	82	00	$239,595.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$186,441.00	$33,559.00	$220,000.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$203,047.00	$36,548.00	$239,595.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 01 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
TRIAL PERIOD COMPLETED.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE: YRS. MOS.
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	01-09-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
JOHNSON, BRIAN CRAIG	(b)(6)	(b)(6)	12-01-2017

FIRST ACTION		SECOND ACTION	
5-A. Code: 002	5-B. Nature of Action: CORRECTION	6-A. Code: 190	6-B. Nature of Action: PROVISIONAL APPT NTE 03/30/18
5-C. Code:	5-D. Legal Authority:	6-C. Code: Y9K	6-D. Legal Authority: SCH C 213 3302A 1
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	SENIOR ADVISOR TO THE DIRECTOR 66117124 180090

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	82	00	$220,000.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$186,441.00	$33,559.00	$220,000.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 01 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) (1 – None, 2 – 5 Point, 3 – 10 Point/Disability, 4 – 10 Point/Compensable, 5 – 10 Point/Other, 6 – 10 Point/Compensable/30%)	0 (0 – None, 1 – Permanent, 2 - Conditional, 3 - Indefinite)		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 (1 – Competitive Service, 2 – Excepted Service, 3 – SES General, 4 – SES Career Reserved)	E (E – Exempt, N - Nonexempt)		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
CORRECTS ITEM 27 FROM (b)(
CORRECTS ITEM 31 FROM (b)(6)
CORRECTS ITEM 45 TO READ: (b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	12-03-2017

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
KIREILIS, ALTHEA ANTOINETTE	(b)(6)	(b)(6)	05-21-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
170	EXC APPT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number

15. TO: Position Title and Number
ASSOCIATE DIRECTOR, OEOF
66154685 18016

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization

22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU
DIRECTOR
OFFICE OF THE DIRECTOR
FR FT1010000000000000 PP 10 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) (1 – None, 2 – 5 Point, 3 – 10 Point/Disability, 4 – 10 Point/Compensable, 5 – 10 Point/Other, 6 – 10 Point/Compensable/30%)	3 (0 – None, 1 – Permanent, 2 - Conditional, 3 - Indefinite)		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	2 RETO	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 (1 – Competitive Service, 2 – Excepted Service, 3 – SES General, 4 – SES Career Reserved)	E (E – Exempt, N - Nonexempt)		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 5/21/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	05-23-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

1 - Employee Copy - Keep for Future Reference

AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
KIREILIS, ALTHEA ANTOINETTE	(b)(6)	(b)(6)	03-31-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
ASSOCIATE DIRECTOR, OEOF 66154685 18016	ASSOCIATE DIRECTOR, OEOF 66230824 18016

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFF OF EQU OPP & FAIRNESS FR FT1050000000000000 PP 07 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	2 RETO	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	04-02-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
KIREILIS, ALTHEA ANTOINETTE	(b)(6)	(b)(6)	03-31-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
002	CORRECTION
5-C. Code	5-D. Legal Authority
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
721	REASSIGNMENT
6-C. Code	6-D. Legal Authority
Y7M	SCH C 213 3301
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
ASSOCIATE DIRECTOR, OEOF 66154685 18016	ASSOCIATE DIRECTOR, OEOF 66230824 18016

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFF OF EQU OPP & FAIRNESS FR FT1050000000000000 PP 08 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	2 RETO	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
CORRECTS ITEM 31 FROM (b)(6)
ALSO CORRECTS SAME ITEM(S) ON PERSONNEL ACTION 170 DATED 05/21/18.

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	04-02-2019

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
KRANINGER, KATHLEEN LAURA	(b)(6)	(b)(6)	12-11-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
002	CORRECTION
5-C. Code	5-D. Legal Authority
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
170	EXC APPT
6-C. Code	6-D. Legal Authority
ZNM	PRES/SEN APPT LAW EO
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
	DIRECTOR CONSUMER FINANCIAL PROTECTION 66208261 CFPB01

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				$189,600.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
EX	0301	02	00	$179,700.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$189,600.00	.00	$189,600.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$179,700.00	.00	$179,700.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 26 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	0 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	T

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

CORRECTS ITEM 22 FROM FR FT 1000000000000000
CORRECTS ITEMS 20, 20A AND 20C FROM 189,600.00
CORRECTS ITEM 45 TO READ:
THE PAY RATE OF AN EMPLOYEE OCCUPYING A POSITION SUBJECT TO THE PAY FREEZE FOR CERTAIN SENIOR POLITICAL OFFICIALS SHALL BE BASED ON THE RATE OF PAY AND APPLICABLE PAY LIMITATIONS IN EFFECT ON DECEMBER 31, 2013.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	12-12-2018

Editions Prior to 7/91 Are Not Usable After 6/30/93
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Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
MORGAN, HALLEE KATHERINE	(b)(6)	(b)(6)	02-04-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 002	5-B. Nature of Action: CORRECTION	6-A. Code: 170	6-B. Nature of Action: EXC APPT
5-C. Code:	5-D. Legal Authority:	6-C. Code: Y7M	6-D. Legal Authority: SCH C 213 3301
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	ATTORNEY ADVISOR 66130605 180190

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00		CN	0905	60	00	$124,654.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00	$105,639.00	$19,015.00	$124,654.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 05 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

CORRECTS ITEM 45 TO READ:
(b)(6)
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 2/5/2018.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	02-06-2018	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

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NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
MORGAN, HALLEE KATHERINE	(b)(6)	(b)(6)	06-22-2019

FIRST ACTION		SECOND ACTION	
5-A. Code: 352	5-B. Nature of Action: TERMINATION-APPT IN BO	6-A. Code:	6-B. Nature of Action:
5-C. Code: DBM	5-D. Legal Authority: 5 CFR PT 715	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
ATTORNEY ADVISOR 66130605 180190	

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
CN	0905	60	00	$127,645.00	PA

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00	

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$108,174.00	$19,471.00	$127,645.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	FR FT1010000000000000 PP 12 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
FORWARDING ADDRESS=(b)(6)

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	06-24-2019

Editions Prior to 7/91 Are Not Usable After 6/30/93
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Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
MORK, KIRSTEN JOY	(b)(6)	(b)(6)	02-04-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 002	5-B. Nature of Action: CORRECTION	6-A. Code: 170	6-B. Nature of Action: EXC APPT
5-C. Code:	5-D. Legal Authority:	6-C. Code: Y7M	6-D. Legal Authority: SCH C 213 3301
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	CHIEF OF STAFF AND SPECIAL ASSISTANT T 66130604 180180

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 05 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

CORRECTS ITEM 45 TO READ:
(b)(6)
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 2/5/2016.
OPF MAINTAINED BY THE BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	02-06-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
PAHL, THOMAS BECKET	(b)(6)	(b)(6)	04-23-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 170	5-B. Nature of Action: EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	POLICY ASSOCIATE DIRECTOR 66150324 18011

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU RESEARCH, MARKETS, & REG DIV FR FT4000000000000000 PP 08 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None; 2 – 5 Point; 3 – 10 Point/Disability; 4 – 10 Point/Compensable; 5 – 10 Point/Other; 6 – 10 Point/Compensable/30%	3 0 – None; 1 – Permanent; 2 - Conditional; 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service; 2 – Excepted Service; 3 – SES General; 4 – SES Career Reserved	E E – Exempt; N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 4/23/2018
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE(b)(6)
CREDITABLE MILITARY SERVICE:(b)(6)
PREVIOUS RETIREMENT COVERAGE:(b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	04-25-2018	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
WATKINS, PAUL NATHAN	(b)(6)	(b)(6)	07-16-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 002	5-B. Nature of Action: CORRECTION	6-A. Code: 170	6-B. Nature of Action: EXC APPT
5-C. Code:	5-D. Legal Authority:	6-C. Code: Y7M	6-D. Legal Authority: SCH C 213 3301
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	ASST DIRECTOR, OFFICE OF INNOVATIONS 66168230 18019

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
				.00		CN	0301	81	00	$219,042.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00	$185,629.00	$33,413.00	$219,042.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 20 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
CORRECTS ITEM 30 FROM (b)(6)
CORRECTS ITEM 45 TO READ:
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 07/16/2018.
OPF MAINTAINED BY: THER BUREAU OF THE FISCAL SERVICE
200 THIRD STREET PARKERSBURG, WV 26106.
CREDITABLE MILITARY SERVICE: (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	07-18-2018	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
WATKINS, PAUL NATHAN	(b)(6)	(b)(6)	11-11-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
ASST DIRECTOR, OFFICE OF INNOVATIONS 66168230 18019	ASST DIRECTOR, OFFICE OF INNOVATIONS 66197400 18019

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	81	00	$219,042.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$185,629.00	$33,413.00	$219,042.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF INNOVATION FR FT1060000000000000 PP 23 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	11-13-2018

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
WELCHER, ANTHONY	(b)(6)	(b)(6)	01-28-2018

FIRST ACTION		SECOND ACTION	
5-A. Code: 170	5-B. Nature of Action: EXC APPT	6-A. Code:	6-B. Nature of Action:
5-C. Code: Y7M	5-D. Legal Authority: SCH C 213 3301	6-C. Code:	6-D. Legal Authority:
5-E. Code:	5-F. Legal Authority:	6-E. Code:	6-F. Legal Authority:

7. FROM: Position Title and Number	15. TO: Position Title and Number
	POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66123514 180150

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$249,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$249,500.00	.00	$249,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
	CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR FR FT1010000000000000 PP 02 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
APPOINTMENT IS INDEFINITE.
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.
APPOINTMENT AFFIDAVIT EXECUTED 1/29/2018.
OPF MAINTAINED BY BUREAU OF THE FISCAL SERVICE, 200 THIRD STREET,
PARKERSBURG, WV 26106.
FROZEN SERVICE: (b)(6)
CREDITABLE MILITARY SERVICE (b)(6)
PREVIOUS RETIREMENT COVERAGE: (b)(6)
(b)(6)

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	01-30-2018

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG
CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
WELCHER, ANTHONY	(b)(6)	(b)(6)	03-18-2018

FIRST ACTION

5-A. Code	5-B. Nature of Action
721	REASSIGNMENT
5-C. Code	5-D. Legal Authority
Y7M	SCH C 213 3301
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	15. TO: Position Title and Number
	POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66123514 180150

8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
				.00	

16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
.00	.00	.00	.00

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization	22. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU DIRECTOR OFFICE OF THE DIRECTOR	CONSUMER FINANCIAL PROTECT BUREAU EXTERNAL AFFAIRS DIVISION FR FT7000000000000000 PP 06 2018

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks
POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND.

46. Employing Department or Agency	50. Signature/Authentication and Title of Approving Official
CONSUMER FINANCIAL PROTECTION BUR	E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

47. Agency Code	48. Personnel Office ID	49. Approval Date
FR FT	2731	03-20-2018

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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AMERICAN OVERSIGHT

Standard Form 50
Rev 7/91
U.S. Office of Personnel Management
FPM Supp. 296-33, Subch. 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle)	2. Social Security Number	3. Date of Birth	4. Effective Date
WELCHER, ANTHONY	(b)(6)	(b)(6)	03-01-2019

FIRST ACTION

5-A. Code	5-B. Nature of Action
317	RESIGNATION
5-C. Code	5-D. Legal Authority
RPM	REG 715.202
5-E. Code	5-F. Legal Authority

SECOND ACTION

6-A. Code	6-B. Nature of Action
6-C. Code	6-D. Legal Authority
6-E. Code	6-F. Legal Authority

7. FROM: Position Title and Number	8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis
POLICY ASSOCIATE DIRECTOR (EXTERNAL AF 66123514 180150	CN	0301	90	00	$259,500.00	PA

12A. Basic Pay	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay
$259,500.00	.00	$259,500.00	.00

14. Name and Location of Position's Organization
CONSUMER FINANCIAL PROTECT BUREAU EXTERNAL AFFAIRS DIVISION

15. TO: Position Title and Number	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary	21. Pay Basis
					.00	

20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay
.00	.00	.00	.00

22. Name and Location of Position's Organization
FR FT7000000000000000 PP 04 2019

EMPLOYEE DATA

23. Veterans Preference	24. Tenure	25. Agency Use	26. Veterans Preference for RIF
(b)(6) 1 – None 3 – 10 Point/Disability 5 – 10 Point/Other 2 – 5 Point 4 – 10 Point/Compensable 6 – 10 Point/Compensable/30%	3 0 – None 2 - Conditional 1 – Permanent 3 - Indefinite		(b)(6)

27. FEGLI	28. Annuitant Indicator	29. Pay Rate Determinant
(b)(6)	9 NOT APPLICABLE	0

30. Retirement Plan	31. Service Comp. Date (Leave)	32. Work Schedule	33. Part-Time Hours Per Biweekly Pay Period
(b)(6)	(b)(6)	F FULL TIME	

POSITION DATA

34. Position Occupied	35. FLSA Category	36. Appropriation Code	37. Bargaining Unit Status
2 1 – Competitive Service 3 – SES General 2 – Excepted Service 4 – SES Career Reserved	E E – Exempt N - Nonexempt		8888

38. Duty Station Code	39. Duty Station (City-County-State or Overseas Location)
11-0010-001	WASHINGTON DIST OF COLUMBIA DC

40. Agency Data	41.	42.	43.	44.

45. Remarks

(b)(6)

FORWARDING ADDRESS=(b)(6)
LUMP-SUM PAYMENT TO BE MADE FOR ANY UNUSED ANNUAL LEAVE.
EMPLOYEE GAVE NO REASON FOR RESIGNATION.

46. Employing Department or Agency	47. Agency Code	48. Personnel Office ID	49. Approval Date
CONSUMER FINANCIAL PROTECTION BUR	FR FT	2731	03-03-2019

50. Signature/Authentication and Title of Approving Official
E/S BY: JEFFREY SUMBERG CHIEF HUMAN CAPITAL OFFICER

Editions Prior to 7/91 Are Not Usable After 6/30/93
NSN 7540-01-333-6236

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