



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

April 5, 2021

Mr. Dan McGrath
American Oversight
1030 15th Street NW
Suite B255
Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. **19-03035-FOIA**

Dear Mr. McGrath:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019. This letter also refers to our letter dated September 10, 2019, in which we granted your fee waiver request.

Column A: SEC Employees	Column B:
- Chairman Jay Clayton - Anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers - Chief of Staff Lucas Moskowitz - Any person serving in the capacity of White House Liaison - Commissioner Robert J. Jackson, Jr. - Commissioner Hester Peirce - Commissioner Elad L. Roisman - Commissioner Allison Herren Lee	- NCPS (@northcapital.com) - Former White House Deputy Assistant to the President and Strategist Ira Greenstein (including Mr. Greenstein's former official white house email address as well as: Ikegreen@yahoo.com, Ikegreen13@yahoo.com, Ikegreen@gmail.com, Ikegreen@aol.com, Ikegreen13@aol.com, Ikegreen13@comcast.net, Ikegreen@comcast.net, Ikegreen@stny.rr.com, and Ikegreen@twcny.rr.com - IDT Corporation (@idt.net) - Genie Energy (@genie.com) - NanoVibronix, Inc. (@nanovibronix.com) - Global Risk Advisors LLC (@globalriskadvisors.com) - Enerpulse Technologies (@enerpulse.com)

Since your request contains multiple subjects, we divided it into seven separate requests as follows:

- Chairman Jay Clayton and anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers [19-03034-FOIA];
- Chief of Staff Lucas Moskowitz [19-03035-FOIA];
- Any person serving in the capacity of White House Liaison [19-03036-FOIA];
- Commissioner Robert J. Jackson, Jr. [19-03038-FOIA];
- Commissioner Hester Pierce [19-03039-FOIA];
- Commissioner Elad L. Roisman [19-03040-FOIA]; and
- Commissioner Allison Herren Lee [19-03042-FOIA].

This letter only responds to Request No. 19-03035-FOIA, regarding Chief of Staff Lucas Moskowitz.

The search for responsive records has resulted in the retrieval of the enclosed 7 pages of records that may be responsive to your request. These records are being released to you with the exception of third-party and SEC staff names, email addresses, and telephone numbers. Under Exemption 6, 5 U.S.C. § 552(b)(6), the release of this information would constitute a clearly unwarranted invasion of personal privacy.

I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(b)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, please contact Sonja Osborne of my staff at osbornes@sec.gov or (202) 551-8371. You may also contact me at foiapa@sec.gov or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at foiapa@sec.gov

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or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink, appearing to read 'Carmen', followed by a horizontal line.

Carmen Mallon
FOIA Branch Chief

Enclosures

ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at ogis@nara.gov. Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

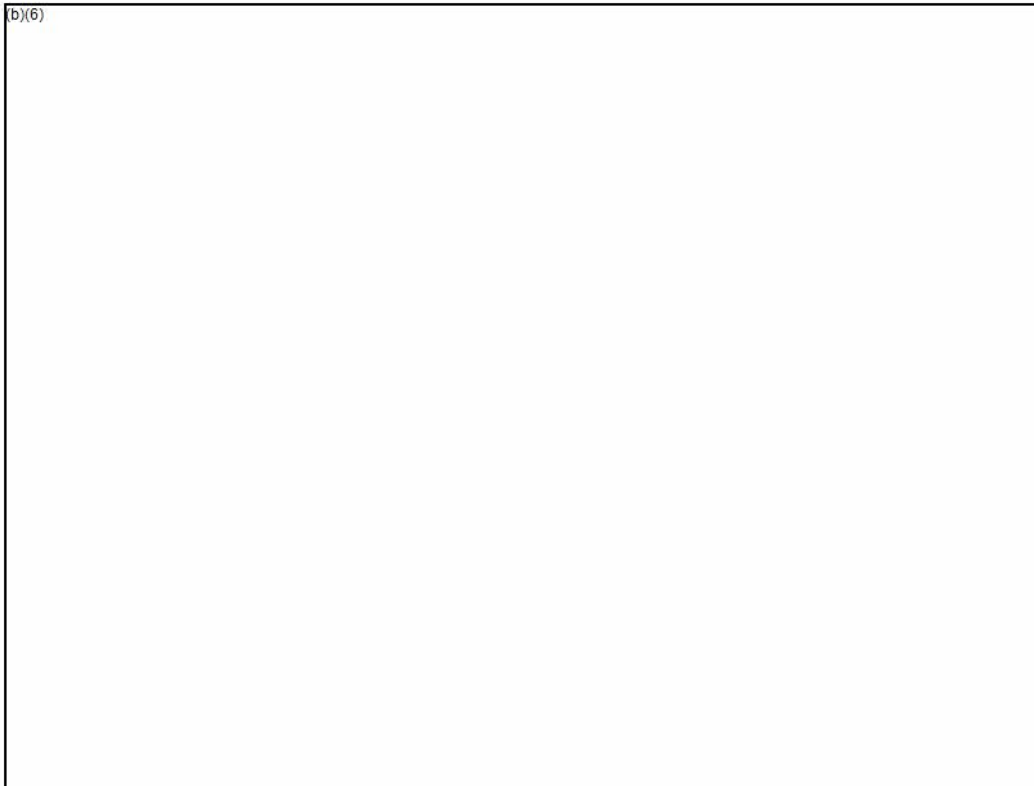
From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#); [Moskowitz, Lucas](#)
Subject: FW: WAVES APPOINTMENT STATUS (b)(6)
Date: Thursday, March 22, 2018 3:39:48 PM

Jay and Lucas,
For some reason (b)(6) is not going through. It is possible they are looking for a full first name.
Let's try reentering his info on the new link below.
Thanks,
Ira

<https://events.whitehouse.gov/?rid=6YY6QDMRM4>

From: (b)(6)
Sent: Thursday, March 22, 2018 3:19 PM
To: Greenstein, Ira A. EOP/WHO (b)(6)@who.eop.gov>
Subject: WAVES APPOINTMENT STATUS (b)(6)

WAVES APPOINTMENT STATUS



Please log-in to the WAVES Request System (WRS) to view the visitor details.

This is an automated email and is not monitored. Please do not respond to this email address. Contact the WAVES Center with any appointment questions.

All e-mail to/from this account is subject to official review and is for official use only. Action may be taken in response to any inappropriate use of the Secret Service's e-mail system. This e-mail may contain information that is privileged, law enforcement sensitive, or subject to other disclosure limitations. Such information is loaned to you and should not be further disseminated without the permission of the Secret Service. If you have received this e-mail in error, do not keep, use, disclose, or copy it; notify the sender immediately and delete it.

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Moskowitz, Lucas](#)
Subject: Re: RE:
Date: Thursday, March 08, 2018 12:19:12 PM

Perfect

=====
Ira A. Greenstein
(b)(6) [@who.eop.gov](#)

(b)(6)

On Mar 8, 2018, at 11:15 AM, Moskowitz, Lucas <(b)(6) [@SEC.GOV](#)> wrote:

Hi Ira – we'll give you a call around 12:30-12:45 if that works for you. Thanks.

Best,
Lucas

From: Greenstein, Ira A. EOP/WHO [[mailto:\(b\)\(6\)@who.eop.gov](mailto:(b)(6)@who.eop.gov)]
Sent: Thursday, March 08, 2018 9:17 AM
To: Moskowitz, Lucas
Subject:

Hi Lucas,
Good morning. Can I call you to follow up?
Thanks.
Ira

=====
Ira A. Greenstein
(b)(6) [@who.eop.gov](#)
(b)(6)

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Moskowitz, Lucas](#)
Date: Thursday, March 08, 2018 9:23:45 AM

Hi Lucas,
Good morning. Can I call you to follow up?
Thanks.
Ira

=====
Ira A. Greenstein

(b)(6) [@who.eop.gov](#)

(b)(6)

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Moskowitz, Lucas](#)
Subject: WAVES link-Please fill in Bill's info
Date: Wednesday, December 13, 2017 1:02:22 PM

<https://events.whitehouse.gov/?rid=Y4C4Q949Q3>

From: [Moskowitz, Lucas](#)
To: [Greenstein, Ira A. EOP/WHO](#)
Subject: RE:
Date: Thursday, March 08, 2018 11:10:35 AM

Hi Ira – we'll give you a call around 12:30-12:45 if that works for you. Thanks.

Best,
Lucas

From: Greenstein, Ira A. EOP/WHO [mailto:[\(b\)\(6\)@who.eop.gov](#)]
Sent: Thursday, March 08, 2018 9:17 AM
To: Moskowitz, Lucas
Subject:

Hi Lucas,
Good morning. Can I call you to follow up?
Thanks.
Ira

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Ira A. Greenstein
[\(b\)\(6\)@who.eop.gov](#)

[\(b\)\(6\)](#)

From: [Moskowitz, Lucas](#)
To: [Greenstein, Ira A. EOP/WHO](#)
Subject: RE: WAVES link-Please fill in Bill's info
Date: Wednesday, December 13, 2017 1:48:06 PM

Thanks, Ira. Bill Hinman (Director of Corporation Finance) will attend.

Best,
Lucas

Lucas R. Moskowitz
Chief of Staff
Office of Chairman Jay Clayton
U.S. Securities and Exchange Commission
100 F Street, NE
Washington, D.C. 20549

From: Greenstein, Ira A. EOP/WHO [mailto:[\(b\)\(6\)@who.eop.gov](mailto:(b)(6)@who.eop.gov)]
Sent: Wednesday, December 13, 2017 1:02 PM
To: Moskowitz, Lucas
Subject: WAVES link-Please fill in Bill's info

<https://events.whitehouse.gov/?rid=Y4C4Q949Q3>