



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

April 5, 2021

Mr. Dan McGrath
American Oversight
1030 15th Street NW
Suite B255
Washington, DC 20005

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. **19-03034-FOIA**

Dear Mr. McGrath:

This letter is in response to your request, dated and received in this office on September 4, 2019, for "all email communications (including emails, email attachments, and calendar invitations) regardless of subject matter between (a) any of the employees listed in Column A below and (b) any of the individuals or entities listed in Column B below" from March 9, 2017 to March 30, 2019. This letter also refers to our letter dated September 10, 2019, in which we granted your fee waiver request.

Column A: SEC Employees	Column B:
- Chairman Jay Clayton - Anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers - Chief of Staff Lucas Moskowitz - Any person serving in the capacity of White House Liaison - Commissioner Robert J. Jackson, Jr. - Commissioner Hester Peirce - Commissioner Elad L. Roisman - Commissioner Allison Herren Lee	- NCPS (@northcapital.com) - Former White House Deputy Assistant to the President and Strategist Ira Greenstein (including Mr. Greenstein's former official white house email address as well as: Ikegreen@yahoo.com, Ikegreen13@yahoo.com, Ikegreen@gmail.com, Ikegreen@aol.com, Ikegreen13@aol.com, Ikegreen13@comcast.net, Ikegreen@comcast.net, Ikegreen@stny.rr.com, and Ikegreen@twcny.rr.com) - IDT Corporation (@idt.net) - Genie Energy (@genie.com) - NanoVibronix, Inc. (@nanovibronix.com) - Global Risk Advisors LLC (@globalriskadvisors.com) - Enerpulse Technologies (@enerpulse.com)

Mr. Dan McGrath

19-03034-FOIA

April 5, 2021

Page 2

Since your request contains multiple subjects, we divided it into seven separate requests as follows:

- Chairman Jay Clayton and anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers [19-03034-FOIA];
- Chief of Staff Lucas Moskowitz [19-03035-FOIA];
- Any person serving in the capacity of White House Liaison [19-03036-FOIA];
- Commissioner Robert J. Jackson, Jr. [19-03038-FOIA];
- Commissioner Hester Pierce [19-03039-FOIA];
- Commissioner Elad L. Roisman [19-03040-FOIA]; and
- Commissioner Allison Herren Lee [19-03042-FOIA].

This letter only responds to Request No. 19-03034-FOIA, regarding Chairman Jay Clayton and anyone communicating on behalf of Chairman Clayton including executive assistants, personal assistants, or schedulers.

The search for responsive records has resulted in the retrieval of the enclosed 17 pages of records that may be responsive to your request. These records are being released to you with the exception of certain information that is exempt from disclosure under 5 U.S.C. § 552(b)(5) and/or (6), for the following reasons:

- Portions of the records were prepared in anticipation of litigation, form an integral part of the pre-decisional process, and/or contain advice given to the Commission or senior staff by the Commission's attorneys. Therefore, these portions are protected from release by the attorney work-product, deliberative process and/or attorney-client privileges embodied in Exemption 5.
- Third-party and SEC staff names, email addresses, telephone numbers, and other personally identifiable information contained in the records has been withheld. Under Exemption 6 the release of this information would constitute a clearly unwarranted invasion of personal privacy.

I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(b)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety

Mr. Dan McGrath

19-03034-FOIA

April 5, 2021

Page 3

(90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, please contact Sonja Osborne of my staff at osbornes@sec.gov or (202) 551-8371. You may also contact me at foiapa@sec.gov or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at foiapa@sec.gov or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,



Carmen Mallon
FOIA Branch Chief

Enclosures

ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at ogis@nara.gov. Information concerning services offered by OGIS can be found at their website at [Archives.gov](https://www.archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#); [Moskowitz, Lucas](#)
Subject: FW: WAVES APPOINTMENT STATUS (b)(6)
Date: Thursday, March 22, 2018 3:39:48 PM

Jay and Lucas,
For some reason (b)(6) is not going through. It is possible they are looking for a full first name.
Let's try reentering his info on the new link below.
Thanks,
Ira

<https://events.whitehouse.gov/?rid=6YY6QDMRM4>

From: (b)(6)
Sent: Thursday, March 22, 2018 3:19 PM
To: Greenstein, Ira A. EOP/WHO <(b)(6)@who.eop.gov>
Subject: WAVES APPOINTMENT STATUS (b)(6)

WAVES APPOINTMENT STATUS



Please log-in to the WAVES Request System (WRS) to view the visitor details.

This is an automated email and is not monitored. Please do not respond to this email address. Contact the WAVES Center with any appointment questions.

All e-mail to/from this account is subject to official review and is for official use only. Action may be taken in response to any inappropriate use of the Secret Service's e-mail system. This e-mail may contain information that is privileged, law enforcement sensitive, or subject to other disclosure limitations. Such information is loaned to you and should not be further disseminated without the permission of the Secret Service. If you have received this e-mail in error, do not keep, use, disclose, or copy it; notify the sender immediately and delete it.

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: Fwd: WAVES APPOINTMENT STATUS (b)(6)
Date: Thursday, March 22, 2018 1:58:39 PM

=====
Ira A. Greenstein

(b)(6) [@who.eop.gov](#)
(b)(6)

Begin forwarded message:

From: (b)(6)
Date: March 22, 2018 at 1:56:01 PM EDT
To: (b)(6) [@who.eop.gov](#)
Subject: WAVES APPOINTMENT STATUS (b)(6)

WAVES APPOINTMENT STATUS

(b)(6)

Please log-in to the WAVES Request System (WRS) to view the visitor details.

This is an automated email and is not monitored. Please do not respond to this email address. Contact the WAVES Center with any appointment questions.

All e-mail to/from this account is subject to official review and is for official use only. Action may be taken in response to any inappropriate use of the Secret Service's e-mail system. This e-mail may contain information that is privileged, law enforcement sensitive, or subject to other disclosure limitations. Such information is loaned to you and should not be further disseminated without the permission of the Secret Service. If you have received this e-mail in error, do not keep, use, disclose, or copy it; notify the sender immediately and delete it.

From: [Greenstein, Ira A. EOP/WHO](#)
To: rick@csoadvisors.com; [Clayton, Jay](#)
Subject: Rick Orloff
Date: Thursday, March 22, 2018 8:46:52 AM

Per our recent correspondence, Rick e-meet Jay. I leave it to the two of you to save America.

=====

Ira A. Greenstein
(b)(6) [@who.eop.gov](mailto:who.eop.gov)

(b)(6)

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: Rick Orloff
Date: Thursday, March 22, 2018 3:25:20 AM

Jay,

Rick is interested. He is currently in NY. His email is: rick@csoadvisors.com

Mr. Rick Orloff serves as Chief Security Officer at Code 42 Software, Inc. Mr. Orloff has more than 20 years of deep information security experience. He served as Vice President and Chief Information Security Officer at eBay, led and built a variety of global security programs at Apple (APPL), and directed global security at Lam Research (LRCX). He is an active member of several advisory boards focused on new and emerging security technology companies. Throughout his career, he has driven meaningful and actionable results across a range of security areas, including global threat management, cyber intelligence, geospatial correlation of data and security operations centers.

=====
Ira A. Greenstein

(b)(6) [@who.eop.gov](mailto:(b)(6)@who.eop.gov)

(b)(6)

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: WAVES Link for March 27 Visit
Date: Wednesday, March 21, 2018 11:01:36 AM

Jay,

Please have your guests complete the WAVES link for March 27 visit.

<https://events.whitehouse.gov/?rid=7MG3W3TCCR>

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: FW: White House Tour – REQUEST SUBMITTED
Date: Friday, March 02, 2018 10:28:36 AM

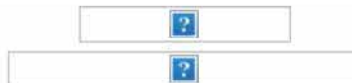
Please complete

From: White House Tours - No Reply [mailto:noreplytours@who.eop.gov]

Sent: Wednesday, February 28, 2018 1:13 PM

To: Greenstein, Ira A. EOP/WHO <[b\)\(6\)](#)>

Subject: White House Tour – REQUEST SUBMITTED

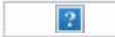


Hello,

A self-guided tour of the White House has been requested for the **Jay Clayton** group, (CS-1367761), for **6** guests on **3/28/2018** at **10:00 AM**.

Register your guests [HERE](#) by 3/3/2018 1:12 PM

What's Next?

 Invite Your Guests! Register	 Track Responses Guest List	 Print Boarding Pass Boarding Pass
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Sincerely,

White House Visitors Office



The White House • 1600 Pennsylvania Avenue, N.W. • Washington, D.C. 20500

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: WAVES links
Date: Tuesday, February 20, 2018 9:17:25 PM

WAVES link for tomorrow

<https://events.whitehouse.gov/?rid=DRC32KX4GY>

WAVES link for March 28 (fill out at your convenience)

<https://events.whitehouse.gov/?rid=VW33KBX7QB>

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: Fwd: [Accepted] Your White House Navy Mess Reservation
Date: Tuesday, January 09, 2018 12:10:56 PM

7:45 OK?

=====
Ira A. Greenstein
Deputy Assistant to the President & Strategist
(b)(6) [@who.eop.gov](#)
(b)(6)

Begin forwarded message:

From: White House Navy Mess Reservations
(b)(6) [@who.eop.gov](#)
Date: January 8, 2018 at 8:36:07 AM EST
To: (b)(6) [@who.eop.gov](#), (b)(6) [@who.eop.gov](#),
(b)(6) [@oa.eop.gov](#)
Subject: [Accepted] Your White House Navy Mess Reservation

Dear ira,

We would like to inform you that the reservation displayed below has been **accepted**.

Your Telephone Number	(b)(6)
Your Email Address	(b)(6) @who.eop.gov
Reservation Date	(b)(6)
Reservation Time	
Dining Room	
Party Size	
Press Members?	
Foreign Nationals?	
Special Instructions	

Please note that reservations may be canceled at any time, up to and including the time of your reservation time. We look forward to seeing you soon.

Sincerely,
White House Navy Mess

Visit the White House Navy Mess Reservation System. [EOP users](#) • [WHMO users](#)

From: [Greenstein, Ira A. EOP/WHO](#)
To: [Clayton, Jay](#)
Subject: Hayman Capital Meeting
Date: Wednesday, December 13, 2017 1:13:39 PM

Jay,

(b)(5)

Regards,

Ira

=====
Ira A. Greenstein
Deputy Assistant to the President & Strategist

(b)(6) [@who.eop.gov](#)

(b)(6)

From: [Clayton, Jay](#)
To: [Rick Orloff](#)
Cc: [Greenstein, Ira A. EOP/WHO](#)
Subject: Re: Rick Orloff
Date: Thursday, March 22, 2018 2:55:27 PM

Rick,

Very nice to meet you. My chief of staff Lucas Moskowitz (cc'd) will be reaching out to you today to try and set up a meeting.

Jay

Sent from my iPhone

On Mar 22, 2018, at 10:57 AM, Rick Orloff <rick@csoadvisors.com> wrote:

Thank you, Ira.

I appreciate your confidence in me :-)

Mr. Clayton:

Pleased to be introduced to you. I would like to learn more about your objectives and contribute to your ongoing success.

I'm boarding a flight in 30 minutes so my responses may be delayed.

All the Best,

Rick

Rick Orloff
CEO

CSO Advisors, Inc.
Converting strategy to actionable intelligence™

(b)(6)

rick@csoadvisors.com
<http://www.linkedin.com/in/rickorloff>

Assess the spoken words and measure the behaviors.

This Electronic Mail (e-mail) contains confidential and privileged information intended only for the use of the individual or entity to which it is sent. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivery to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is STRICTLY PROHIBITED. If you have received this communication in error, please immediately notify the sender by reply e-mail or telephone.

On Mar 22, 2018, at 8:46 AM, Greenstein, Ira A. EOP/WHO <(b)(6)@who.eop.gov> wrote:

Per our recent correspondence, Rick e-meet Jay. I leave it to the two of you to save America.

=====
Ira A. Greenstein
(b)(6)@who.eop.gov
(b)(6)

From: [Clayton, Jay](#)
To: [Greenstein, Ira A. EOP/WHO](#)
Subject: Re: White House Tour – REQUEST SUBMITTED
Date: Friday, March 02, 2018 10:53:02 AM

Will do. Thank you.

Sent from my iPhone

On Mar 2, 2018, at 10:28 AM, Greenstein, Ira A. EOP/WHO <[\(b\)\(6\)@who.eop.gov](#)> wrote:

Please complete

From: White House Tours - No Reply [<mailto:noreplytours@who.eop.gov>]

Sent: Wednesday, February 28, 2018 1:13 PM

To: Greenstein, Ira A. EOP/WHO <[\(b\)\(6\)@who.eop.gov](#)>; [\(b\)\(6\)](#)

Subject: White House Tour – REQUEST SUBMITTED



Hello,

A self-guided tour of the White House has been requested for the **Jay Clayton** group, (CS-1367761), for **6** guests on **3/28/2018** at **10:00 AM**.

Register your guests [HERE](#) by 3/3/2018 1:12 PM

What's Next?

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Sincerely,

White House Visitors Office



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From: [Clayton, Jay](#)
To: [Greenstein, Ira A. EOP/WHO](#)
Subject: Re: [Accepted] Your White House Navy Mess Reservation
Date: Tuesday, January 09, 2018 2:45:10 PM

Yes. I'm looking forward to it.

On Jan 9, 2018, at 6:10 PM, Greenstein, Ira A. EOP/WHO <(b)(6)@who.eop.gov> wrote:

7:45 OK?

=====
Ira A. Greenstein
Deputy Assistant to the President & Strategist
(b)(6)@who.eop.gov
(b)(6)

Begin forwarded message:

From: White House Navy Mess Reservations
(b)(6)@who.eop.gov
Date: January 8, 2018 at 8:36:07 AM EST
To: (b)(6)@who.eop.gov, (b)(6)@who.eop.gov,
(b)(6)@oa.eop.gov
Subject: [Accepted] Your White House Navy Mess Reservation

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Your Email Address	(b)(6)@who.eop.gov
Reservation Date	(b)(6)
Reservation Time	
Dining Room	
Party Size	
Press Members?	
Foreign Nationals?	
Special Instructions	

Please note that reservations may be canceled at any time, up to and including the time of your reservation time. We look forward to

seeing you soon.

Sincerely,
White House Navy Mess

Visit the White House Navy Mess Reservation System. [EOP users](#) •
[WHMO users](#)

From: [Clayton, Jay](#)
To: [Greenstein, Ira A. EOP/WHO](#)
Subject: Re: Hayman Capital Meeting
Date: Wednesday, December 13, 2017 1:23:45 PM

We would like to send someone. I am on the road but will have a name soon. Thank you.

Sent from my iPhone

On Dec 13, 2017, at 1:13 PM, Greenstein, Ira A. EOP/WHO <(b)(6)@who.eop.gov> wrote:

Jay,

(b)(5)

[Redacted]

Ira

=====

Ira A. Greenstein
Deputy Assistant to the President & Strategist

(b)(6)@who.eop.gov

(b)(6)

[Redacted]