



1700 G Street NW, Washington, D.C. 20552

RE: FOIA Request #CFPB-2020-0290-F

March 10, 2021

Mr. Austin Evers
American Oversight
1030 15th Street NW, Suite B255
Washington, DC 20005
Via email: foia@americanoversight.org

Dear Mr. Evers:

This letter is in final response to your Freedom of Information Act (FOIA) request dated July 20, 2020. Your request sought the following records with a date range of January 1, 2020 to July 21, 2020:

1. Copies of any SF-50 forms for any political appointees at the GS-13 level or higher at your agency reflecting a change to a permanent competitive, nonpolitical excepted service, or career SES position.
2. Records sufficient to identify any requests to OPM (including any approvals, rejections, or other responses from OPM) to appoint any current or former (within five years) Executive Branch political appointees* at the GS-13 level or higher to permanent competitive, non-political excepted service, or career SES position (including but not limited to any pre-appointment request checklist forms OPM 5000 or 5001, or other forms used for the requests, documentation submitted with the requests, and decisions conveyed in response to the request).
3. Records sufficient to identify any requests to OPM (including any approvals, rejections, or other responses from OPM) under 5 CFR § 3318(a), (c)(1), for approval to pass over a preference eligible or object to an eligible (including but not limited to any forms SF-62 or other forms used for the request, documentation submitted with the requests, and decisions conveyed in response to the request).

Your request further stipulated that any employee in the following positions should be considered a “political appointee”:

- a. Political appointees requiring Senate confirmation (PAS);
- b. Political appointees not requiring Senate confirmation (PA);
- c. Political appointees, as defined under 5 U.S.C. sections 5312 through 5316

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- (relating to the Executive Schedule);
- d. Political Schedule A;
 - e. Appointments made by the President without confirmation by the Senate [5 CFR § 213.3102(c)].
 - f. Assistants to top-level Federal officials if the position is being filled by a person designated by the President as a White House Fellow [5 CFR § 213.3102(z)].
 - g. Schedule C [5 CFR §§ 213.3301-3302];
 - h. Noncareer SES [5 U.S.C. § 3132(a)(7); and 5 CFR § 317, subpart F];
 - i. Limited Term SES [5 U.S.C. § 3132(a)(5); and 5 CFR § 317, subpart F];
 - j. Limited Emergency SES [5 U.S.C. § 3132(a)(6); and 5 CFR § 317, subpart F];
 - k. Appointees serving in a political capacity under agency-specific authority; and
 - l. Provisional Political Appointments [applicable to positions listed above].

On February 8, 2021, Dan McGrath, on behalf of American Oversight, agreed to limit the request to documents related only to successful candidates.

A search of our Office of Human Capital for documents responsive to your request produced a total of 37 pages. Of those pages, I have determined that 12 pages of the records are granted in full, 25 pages are granted in part, and zero pages are withheld in full pursuant to Title 5 U.S.C. § 552 (b)(6).

FOIA Exemption 6 exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy. This requires a balancing of the public's right to disclosure against the individual's right to privacy. The types of information that we have withheld may have included phone numbers, email addresses, physical addresses, and other information that is considered personal.

You may appeal any of the responses or decisions set forth above. If you choose to file an appeal, you must do so within 90 calendar days from the date of this letter. Your appeal must be in writing, signed by you or your representative, and should contain the rationale for the appeal. You may send your appeal via the mail (address below) or email (foia@consumerfinance.gov).

Your appeal should be addressed to:

Consumer Financial Protection Bureau
Chief FOIA Officer
Freedom of Information Appeal
1700 G Street, NW
Washington, DC 20552

Provisions of the FOIA allow us to recover part of the cost of complying with your request. In this instance, we have waived all fees related to the processing of your request. Therefore, your fee waiver is moot.

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For inquiries concerning your request, please reference your FOIA request number above and contact our FOIA Public Liaison via email at FOIA@consumerfinance.gov or by phone at 1-855-444-FOIA (3642).

Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, MD 20740; e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

Sincerely,



Danielle Duvall Adams
FOIA Manager
Office of the Chief Data Officer

consumerfinance.gov

CFPB - Consumer Financial Protection Bureau
All Applicant Data Report
Announcement Number: 19-CFPB-204-X
Position Title: Assistant Director, Enforcement
Staging Area Number: SA-CFPB-0001
Name: THOMAS WARD

Name: THOMAS G WARD
SSN: (b)(6)
MIDX: (b)(6)
Address1: (b)(6)
Address2:
City: (b)(6)
State:
Country: United States
Post Code: (b)(6)
Plus4:
Telephone 1: Mobile (b)(6)
Telephone 2: Evening Phone (b)(6)
Email: (b)(6)
United States Citizen: (b)(6)
Location(s) Applied to: Washington, DC(US)
Series Applied To: 0905

Doc #	Document Type	Description	Submission Type
1	Transcript.	No document submitted	
1	Appraisal	No document submitted	
2	Bar Membership	Virginia State Bar Membership	UPLOAD
3	Cover Letter	Thomas G Ward - CFPB Cover Letter - 9-4-2019	USAJOBS
4	DD214	No document submitted	
5	Personal Statement-Executive Competencies	No document submitted	
6	Personal Statement-Technical Qualifications	No document submitted	
7	SF15	No document submitted	
8	SF50	SF 50	UPLOAD
9	Schedule A documentation	No document submitted	
10	Transcript	Columbia Law School Transcript	UPLOAD
11	VA Letter	(b)(6)	

Applicant Level Assessments:

Eligibility Questions and Responses

1. Are you either a preference eligible OR a veteran who was separated from the armed forces under honorable conditions?

Answers:

2. (b)(6)

2. Are you eligible for, and interested in, a non competitive appointment under a Special Appointing Authority?

Answers:

2. (b)(6)

2.1. Indicate which authority you are eligible for and under which you wish to be considered.

Answers:

3. Are you now, or have you ever been, a permanent Federal employee?

Answers:

MULTI-CFPB-20-1796-A-000001

CFPB - Consumer Financial Protection Bureau
All Applicant Data Report
Name: THOMAS WARD

1. Yes

3.1. Are you a Federal retiree receiving annuity?

Answers:

2. No

3.2. Have you accepted a buyout from a Federal agency within the past 5 years?

Answers:

2. No

3.3. Are you a displaced employee?

Answers:

3. I am not a displaced employee from a Federal agency.

Vacancy Questions and Responses

What locations do you wish to apply to?

Washington, DC(US)

What Grades do you wish to apply to?

82

All Grades

1. Are you a current CFPB employee?

Answer (0.00 points):

2. No

2. How did you find out about this job?

Answer (0.00 points):

14. USAjobs

If you answered **Recruitment/Outreach Event** then answer the following questions.

2.1. Please provide the specific event of the information for this position

Answer (0.00 points):

If you answered **Contact by BCFP Recruiter** then answer the following questions.

2.1. Please provide the name of the recruiter that provided the information

Answer (0.00 points):

If you answered **BCFP Employee Referral** then answer the following questions.

2.1. Please provide the name of the referral that provided the information

Answer (0.00 points):

If you answered **BCFP Student Ambassador** then answer the following questions.

2.1. Please provide the name of the ambassador that provided the information

Answer (0.00 points):

If you answered **University job board/career center/faculty outreach** then answer the following questions.

2.1. Please provide the name of the school you attend.

Answer (0.00 points):

3. Are you currently serving or have served in the last five years in a Political Appointment in the Federal Government?

Answer (0.00 points):

1. Yes

CFPB - Consumer Financial Protection Bureau
All Applicant Data Report
Name: THOMAS WARD

If you answered **Yes** then answer the following questions.

3.1. Please list the title, agency, and dates of this appointment. Please note you will be required to meet OPM Approval of your appointment if selected.

Answer (0.00 points): Deputy Assistant Attorney General, U.S. Department of Justice, July 2017 to present.

4. Are you a current member of a bar with a valid license to practice law in a state, territory of the United States, District of Columbia, or Commonwealth of Puerto Rico?

Answer (0.00 points):

1. Yes

5. Are you a graduate of an accredited law school with an LL.B., J.D. or equivalent.

Answer (0.00 points):

1. Yes

6. Do you meet the substantial specialized experience as listed in the vacancy announcement.

Answer (0.00 points):

1. Yes

CFPB - Consumer Financial Protection Bureau
All Applicant Data Report
Name: THOMAS WARD

Application Tags

Grade: 82

N/A

Application Notes

N/A

Announcement Number: 19-CFPB-204-X

Document Name: Transcript.

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document Transcript. was not submitted

Announcement Number: 19-CFPB-204-X

Document Name: Bar Membership

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019



Virginia State Bar

An agency of the Supreme Court of Virginia

Member Area

Current Information of Record

Thomas George Ward

Bar Number: 90416

Membership Class: Active (More Info...)

Member Status: In Good Standing

NOTICE

Each active member who certified to the bar that such member is covered by professional liability insurance shall notify the bar in writing within thirty (30) days if the insurance policy providing coverage lapses, is no longer in effect or terminates for any reason, unless the policy is replaced with another policy and no lapse in coverage occurs.

Official Address of Record

Address:

(b)(6)

Phone Number:

Mobile Number:

Email Address:

(b)(6)

Official Alternate Address of Record

Address:

Department of Justice
950 Pennsylvania Avenue, NW
Washington, DC 20530-0001

Phone Number:

(b)(6)

Mobile Number:

Email Address:

(b)(6)

© 1996 - 2019 Virginia State Bar | Privacy Policy

1111 East Main Street, Suite 700 | Richmond, VA 23219-0026

(804)775-0500 | TDD/Voice Line (Hearing-Impaired): 804-775-0502

Office Hours: Mon.-Fri. 8:15 a.m. to 4:45 p.m. (excluding holidays)

The Clerk's Office does not accept filings after 4:45 p.m.

Announcement Number: 19-CFPB-204-X

Document Name: VA Letter

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document VA Letter

(b)(6)

Announcement Number: 19-CFPB-204-X

Document Name: USJOBSResume

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

THOMAS G. WARD

(b)(6)

(b)(6)

(b)(6)

(b)(6)

PROFESSIONAL EXPERIENCE

UNITED STATES DEPARTMENT OF JUSTICE

July 2017 – Present

Deputy Assistant Attorney General, Civil Division

Washington, DC

Chief counsel and litigator in charge of the Torts Branch of the U.S. Department of Justice, responsible for representing the United States and its officials, including the President and the Attorney General, in all tort actions in federal courts nationwide. The Torts Branch represents the United States in complex civil cases involving the highest claimed damages asserted against the federal government and in affirmative litigation in which recoveries can reach hundreds of millions of dollars annually. It includes 380 attorneys and staff divided among the Constitutional & Specialized Tort Litigation Section, the Environmental Tort Litigation Section, the Federal Tort Claims Act Litigation Section, and the Aviation, Space & Admiralty Section.

Responsibilities

- Reporting to and advising the Attorney General, Deputy Attorney General, Associate Attorney General, and Assistant Attorney General; ensuring that the United States is represented aggressively, ethically, and efficiently; managing and mentoring hundreds of attorneys and staff; vigilantly protecting the public fisc and taxpayer dollars in lawsuits and settlements; overseeing more than 1,000 pending lawsuits and 15,000 administrative claims.
- Lead counsel in the most sensitive and high-profile cases of the Torts Branch, as well as some of the most significant matters of the Consumer Protection, Commercial Litigation, and Federal Programs Branches, and the Appellate Staff. In Consumer Protection matters, serving in the role of a federal prosecutor enforcing the criminal laws of the United States.
- Representing all federal agencies in litigation, including the White House, the Federal Bureau of Investigation, and the U.S. Armed Forces, which requires extensive coordination with agency counsel and leadership, including cabinet members, as well as a mastery of diverse legal doctrines, novel fact

patterns, and sensitivity to agency missions and political priorities.

- Establishing priorities and best practices for defending and settling civil litigation in federal courts nationwide in consultation with the U.S. Attorney Civil Chiefs. Supervising and advising on litigation conducted by U.S. Attorneys' offices in delegated civil actions.
- Advising the White House, Congress, and federal agencies on executive orders and policies, legislation, agency programs, and international treaties and covenants. Preparing U.S. Department of Justice attorneys to provide testimony to Congress.
- Responsible for the litigation and operations of the multi-billion-dollar September 11th Victim Compensation Fund, the National Vaccine Injury Compensation Program, and the Radiation Exposure Compensation Act Program.

Representative Matters

- Counsel in litigation arising from the Puerto Rico debt crisis, the most severe financial crisis faced by a single state or territory in United States history. Responsibilities include defending the constitutionality of the Puerto Rico Oversight, Management, and Economic Stability Act (PROMESA), a federal law that provides Puerto Rico the ability to restructure its debt in bankruptcy and allows for expedited approval of critical infrastructure projects.
- Counsel in litigation arising from the Flint, Michigan lead-in-water health crisis, which garnered world-wide attention due to the exposure of Flint residents to unsafe levels of lead and other contaminants in the water supply. Defending the United States against lawsuits totaling more than \$10 billion dollars in claimed damages.
- Counsel in litigation arising from the collisions between the U.S. Navy destroyers USS McCain and USS Fitzgerald and private vessels in 2017, which resulted in Navy casualties and more than \$500 million dollars in damage. Responsible for affirmative litigation against the private vessels in the United States and Japan. Lead negotiator with international legal counsel and liaison to foreign ministries of justice on behalf of the United States.
- Counsel in criminal investigations and affirmative civil litigation against major opioid manufacturers in furtherance of combating the national opioid health crisis. Lead U.S. Department of Justice lawyer on cases pursued jointly by the

Consumer Protection and Commercial Litigation Branches in coordination with U.S. Attorneys' offices. Cases resulted in the criminal indictment of a major opioid manufacturer and a \$1.4 billion settlement with a multinational consumer goods conglomerate.

WILLIAMS & CONNOLLY LLP
1998 – July 2017
Litigation Partner (from 2004)

November

Washington, DC

Represented clients in complex commercial litigation, investigations, and criminal proceedings, with a focus on financial and securities litigation, international litigation and investigations, professional liability defense, and bankruptcy litigation. Extensive experience in litigation and investigations arising from sophisticated financial and accounting frauds, including in matters involving the U.S. Department of Justice, SEC, CFTC, FDIC, and PCAOB. Lead counsel for international financial institutions and investment banks, multiple Am Law 100 law firms, the World Bank, and major global corporations.

Representative Matters

- Lead plaintiff's counsel for a major international investment bank in litigation and negotiations arising from the collapse of the nation's largest independent mortgage originator, which imploded following the disclosure of a complex financial fraud. The fraud resulted in numerous interrelated civil actions, a high-profile criminal trial, multiple felony convictions, SEC investigations and enforcement actions, multi-billion-dollar bankruptcies, and an FDIC receivership. Client ultimately recovered more than \$500 million dollars from litigation, settlements with potential defendants, and bankruptcy estates – the largest affirmative recovery in Williams & Connolly history.
- Lead defense counsel for a premier global law firm in litigation and investigations arising from the bankruptcy of the world's largest independent derivatives execution and clearing firm, which failed following the disclosure of an elaborate, long-running financial fraud. Represented client in multi-district federal court litigation, two federal criminal trials, concurrent U.S. Attorney, SEC, and CFTC investigations, and SEC enforcement actions.

- Counsel for the World Bank in connection with fraud and corruption investigations into World Bank-financed projects in Africa, Sri Lanka, Trinidad and Tobago, and the Philippines. The investigations uncovered pervasive fraud, resulting in debarments, the termination of World Bank employees, domestic and international criminal referrals, and guilty pleas by World Bank employees to Foreign Corrupt Practices Act violations.
- Lead counsel defending concurrent litigation in the District of Columbia, Virginia, and the U.S. Government Accountability Office on behalf of an international security services firm accused of stealing a competitor's trade secrets in connection with a multi-billion-dollar U.S. Department of State contract to protect American interests in dangerous locations worldwide.
- Counsel for a leading international law firm defending against a major Japanese manufacturer, which sued after losing an anti-dumping trial that forced the manufacturer to pay millions in damages and resulted in its exclusion from the American market. Representation required analyzing years of customs and trade advice in Japanese and cross-examining the company's CEO, CFO, and other officers in weeks of depositions in Japan.
- Counsel for a prominent global law firm defending against multiple coordinated securities fraud and professional liability actions brought by some of the world's most sophisticated institutional investors. The plaintiffs purchased bonds issued by the law firm's former client, a seemingly-profitable debt collection agency that collapsed following the disclosure of an intricate financial fraud, resulting in criminal convictions and an SEC investigation.

SULLIVAN & CROMWELL LLP

September 1996 – June 1997

Corporate Associate

New

York, NY

Represented clients in public and private corporate finance transactions and mergers and acquisitions. Represented the nation's largest savings and loan institution in a heavily-contested hostile takeover battle. Negotiated and drafted agreements, prospectuses, private placement memoranda, proxy statements, and corporate disclosure documents filed with the SEC.

CLERKSHIPS & EXTERNSHIPS

U.S. COURT OF APPEALS, NINTH CIRCUIT

June 1997 – August 1998

Hon. Diarmuid F. O'Scannlain

Portland, OR

Law Clerk

U.S. DISTRICT COURT, SOUTHERN DISTRICT OF NEW YORK

1995 – 1996

Hon. John G. Koeltl

York, NY

Judicial Extern

New

EDUCATION

COLUMBIA LAW SCHOOL

1996

Juris Doctor

York, NY

New

Harlan Fiske Stone Scholar, 1993–94, 1994–95, 1995–96

Managing Editor, *Columbia Journal of Transnational Law*

Research Assistant, Professor Harvey J. Goldschmid, SEC

Commissioner & General Counsel

DREW UNIVERSITY

1993

Bachelor of Arts, *summa cum laude*

Madison, NJ

Pi Sigma Alpha, National Political Science Honor Society

BAR ADMISSIONS

District of Columbia, New York, Virginia, and Massachusetts

SECURITY CLEARANCE

(b)(6)

PUBLICATIONS

Thomas G. Ward, Ellen Oberwetter & Craig D. Singer, *Defending Malpractice Claims Against a Trustee or Receiver*, Law360, November 25, 2015

Thomas G. Ward, *An Introduction to the World Bank Sanctions Regime*, Law360, October 26, 2015

Thomas G. Ward & Daniel M. Dockery, *How the Indirect Holding System Affects Investor Suits*, Law360, September 29, 2015

REFERENCES

(b)(6), Williams & Connolly LLP

(b)(6) Walmart, Executive Vice President, Global Governance and Corporate Secretary; U.S. Department of Justice, Associate Attorney General (former)

Announcement Number: 19-CFPB-204-X

Document Name: SF15

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document SF15

(b)(6)

Announcement Number: 19-CFPB-204-X

Document Name: Transcript

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

NAME: Thomas George Ward
SSN#: (b)(6)
SCHOOL: SCHOOL OF LAW

DEGREE(S) AWARDED: DATE AWARDED: PROGRAM: LAW
Juris Doctor (Doctor of Law) May 15, 1996

SUBJECT	COURSE NUMBER	TITLE	POINTS	GRADE	SUBJECT	COURSE NUMBER	TITLE	POINTS	GRADE
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(b)(6)

This official transcript was produced on
JANUARY 18, 1997 and released to:

THOMAS G. WARD

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•
•

USA



LEGAL SEAL

Joseph P. Capobianco

Joseph P. Capobianco
University Registrar

AMERICAN
OVERSIGHT

MULTI-CFPB-20-1796-A-000021

TO BE OFFICIAL THE REVERSE SIDE OF THIS DOCUMENT MUST HAVE A LIGHT BLUE BACKGROUND

Announcement Number: 19-CFPB-204-X

Document Name: DD214

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document DD214

(b)(6)

Announcement Number: 19-CFPB-204-X

Document Name: SF50

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) WARD, THOMAS G					2. Social Security Number [REDACTED]		3. Date of Birth (b)(6)		4. Effective Date 07/17/17							
FIRST ACTION					SECOND ACTION											
5-A. Code 146		5-B. Nature of Action SES NONCAREER APPT			6-A. Code		6-B. Nature of Action									
5-C. Code V4L		5-D. Legal Authority 5 USC 3394(A)			6-C. Code		6-D. Legal Authority									
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652			6-E. Code		6-F. Legal Authority									
7. FROM: Position Title and Number					15. TO: Position Title and Number DEPUTY ASSISTANT ATTORNEY GENERAL 10009751 CV902P											
8. Pay Plan		9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary		13. Pay Basis		16. Pay Plan ES		17. Occ. Code 0905	18. Grade or Level 00	19. Step or Rate 00	20. Total Salary/Award 175,500.00		21. Pay Basis PA
12A. Basic Pay		12B. Locality Adj. .00		12C. Adj. Basic Pay		12D. Other Pay .00		20A. Basic Pay 175,500.00		20B. Locality Adj. .00		20C. Adj. Basic Pay 175,500.00		20D. Other Pay .00		
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization CIVIL DIVISION OFC OF THE ASST ATTN Y GENERAL DJ HC1520000000000000 PP 14 2017											
EMPLOYEE DATA																
23. Veterans Preference (b)(6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30%					24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF (b)(6)						
27. FEGLI (b)(6)					28. Annuitant Indicator 9 NOT APPLICABLE					29. Pay Rate Determinant 0 NOT APPLICABLE						
30. Retirement Plan (b)(6)					31. Service Comp. Date (Leave)		32. Work Schedule F FULL TIME					33. Part-Time Hours Per Biweekly Pay Period				
POSITION DATA																
34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888						
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON DIST OF COLUMBIA DC												
40. Agency Data		41. SEX: M		42. CITZ: 1		43. VET STAT: (b)(6)		44. ED LV:15 YR:96 INST PRG:220101								
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 07/17/2017. FROZEN SERVICE: 00 YRS. 00 MOS. CREDITABLE MILITARY SERVICE: (b)(6) PREVIOUS RETIREMENT COVERAGE: (b)(6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. VETERAN PREFERENCE IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. TENURE AS USED FOR 5 USC 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PROBATIONARY PERIOD FOR SES POSITION IS NOT REQUIRED. WELCOME TO THE DEPARTMENT OF JUSTICE APPOINTMENT IS INDEFINITE.																
46. Employing Department or Agency U.S. DEPARTMENT OF JUSTICE/HC								50. Signature/Authentication and Title of Approving Official ELECTRONICALLY SIGNED BY: VICTORIA JONES SUPERVISORY HR SPECIALIST								
47. Agency Code DJ HC		48. Personnel Office ID 1845		49. Approval Date 07/20/17												

Announcement Number: 19-CFPB-204-X

Document Name: Personal Statement-Executive Competencies

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document Personal Statement-Executive Competencies was not submitted

Announcement Number: 19-CFPB-204-X

Document Name: Schedule A documentation

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document Schedule A documentation

(b)(6)

Announcement Number: 19-CFPB-204-X

Document Name: Appraisal

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document Appraisal was not submitted

Announcement Number: 19-CFPB-204-X

Document Name: Personal Statement-Technical Qualifications

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

Document Personal Statement-Technical Qualifications was not submitted

Announcement Number: 19-CFPB-204-X

Document Name: Cover Letter

Name: WARD, THOMAS

AIDX: (b)(6)

Telephone 1: Mobile - (b)(6)

Telephone 2: Evening Phone - (b)(6)

Email: (b)(6)

December 4, 2019

THOMAS G. WARD

(b)(6)

(b)(6)

(b)(6)

(b)(6)

September 4, 2019

Consumer Financial Protection Bureau

To Whom It May Concern:

I am writing to apply for the position of Assistant Director, Enforcement, at the Consumer Financial Protection Bureau (CFPB). The announcement number is 19-CFPB-204-X. The control number is 543475200.

I am applying for the position of Assistant Director, Enforcement, because I believe in the CFPB's mission to make the consumer financial markets work for consumers, responsible providers, and the economy as a whole. I further believe that the CFPB's Office of Enforcement is vital to protecting consumers from unfair, deceptive, and abusive practices and to holding financial services providers accountable when they break the federal consumer financial laws.

I currently serve as Deputy Assistant Attorney General of the Torts Branch in the Civil Division at the U.S. Department of Justice. The Torts Branch represents the United States in complex civil cases involving the highest claimed damages asserted against the federal government, routinely running from tens of millions to billions of dollars. It also represents the United States in affirmative litigation in which recoveries can reach hundreds of millions of dollars annually.

As Deputy Assistant Attorney General, I oversee approximately 380 attorneys and staff divided among the Constitutional & Specialized Tort Litigation Section, the Environmental Tort Litigation Section, the Federal Tort Claims Act Litigation Section, and the Aviation, Space & Admiralty Section. I am also responsible for the litigation and operations of the multi-billion-dollar September 11th Victim Compensation Fund, the National Vaccine Injury Compensation Program, and the Radiation Exposure Compensation Act Program.

The Torts Branch represents every federal agency and branch of the U.S. Armed Forces and works closely with U.S. Attorneys' offices nationwide. Torts Branch cases are often lengthy,

complex, and contentious, involving thousands to millions of documents, dozens of fact witnesses, expert reports and depositions, high-profile alleged injuries, and regularly proceed to summary judgment and trial. As Deputy Assistant Attorney General, I am responsible for managing the Torts Branch and its more than 1,000 pending matters and for personally serving as counsel on behalf of the United States in the Branch's highest-profile, highest-value affirmative and defensive cases, in which role I direct litigation strategy, argue important motions and appeals, depose and defend key fact and expert witnesses, and serve as trial counsel.

Additionally, at the request of U.S. Department of Justice leadership, I have served as Deputy Assistant Attorney General in key matters for the Civil Division's Consumer Protection, Commercial Litigation, and Federal Programs Branches, and the Appellate Staff. With respect to the Consumer Protection Branch, I have served as Deputy Assistant Attorney General in numerous criminal investigations involving prominent financial institutions, technology companies, transportation providers, and consumer goods multinationals, and in the recent criminal indictment of a pharmaceutical manufacturer. Also, given my background in complex financial litigation, I have been asked to advise the Commercial Litigation Branch on its most significant civil and criminal actions against major financial institutions, including those involving the Financial Institutions Reform, Recovery, and Enforcement Act (also known as FIRREA), many of which have recently been resolved in multi-billion-dollar settlements.

Prior to joining the U.S. Department of Justice, I was a litigation partner at Williams & Connolly in Washington, DC. I joined Williams & Connolly in 1998 and was elected unanimously to the partnership in 2004. I represented clients in a broad array of commercial and criminal matters, with a focus on financial and securities litigation, international litigation and investigations, professional liability defense, and bankruptcy litigation. I have extensive experience in litigation and investigations arising from sophisticated financial and accounting frauds, including in matters involving the U.S. Department of Justice, SEC, CFTC, FDIC, and PCAOB. Unique at Williams & Connolly, I served as lead counsel on both the plaintiff and defense side for financial institutions and investment banks, multiple Am Law 100 law firms, the World Bank, and major global corporations. At the firm, I prosecuted cases and claims against financial institutions, including serving as

lead counsel in a series of interrelated civil, criminal, and bankruptcy matters that resulted in the largest affirmative recovery in Williams & Connolly history.

I have spent my career in private practice as a partner at one of the nation's premier trial law firms and am now privileged to serve in leadership at the U.S. Department of Justice. I would like to continue my public service at the CFPB, leading its Office of Enforcement and ensuring that the consumer financial markets work for everyone. I am confident that, with my extensive experience in financial, securities, and complex commercial and criminal litigation as a partner at Williams & Connolly and as a Deputy Assistant Attorney General at the U.S. Department of Justice, I would make both an immediate and a lasting contribution to the CFPB in this exceptionally important role.

I have attached my resume, which details my professional experience. If there is any additional information that you require, I would be glad to provide it. Thank you for your consideration.

Sincerely,

Thomas G. Ward