Subject: Request for records from Dean Knudson, Wisconsin Elections Commission, Feb 11, 2020
Date: Thursday, February 13, 2020 at 10:31:41 AM Pacific Standard Time
From: Knudson, Dean - ELECTIONS
To: AO Records
Attachments: Records requested from Dean Knudson WEC 13Feb2020.zip

Austin R Evers
American Oversight

Mr. Evers- Records responsive to your request have been attached in pdf format within a zip file. The records include documents that were attachments to email correspondence with the individuals covered by your request.

Not included in this response are mass emails, such as legislator's newsletters, that were sent to many others as well as myself. These include mass communications from Speaker Vos, Majority Leader Steineke and Majority Leader Fitzgerald. These mass emails were sent to my personal email account as part of the legislators' routine communications with their constituents, and they were not specifically related to my office as Commissioner. Previous Attorney General guidance has indicated that such communications are not typically included in requests such as yours. If you would prefer to receive these documents please let me know and we can discuss the costs involved in producing those documents.

Thank you,

Dean Knudson

Legislative Fiscal Bureau
One East Main, Suite 301 • Madison, WI 53703 • (608) 266-3847 • Fax: (608) 267-6873
Email: fiscal.bureau@legis.wisconsin.gov • Website: http://legis.wisconsin.gov/lfb

May, 2019 | Joint Committee on Finance | Paper #280

Electronic Registration Information Center (Elections Commission)

[LFB 2019-21 Budget Summary: Page 118, #4]

CURRENT LAW

Under current law, the Elections Commission is required to become a member of the Electronic Registration Information Center (ERIC), an inter-state consortium formed to improve the accuracy of voter registration data and to assist voters with the registration process. Further, the Commission is required to comply with the terms of the ERIC membership agreement, signed on May 17, 2016. Base funding for the Commission does not include amounts for ERIC membership or participation.

GOVERNOR

Provide $81,300 GPR and $196,900 FED in 2019-20 and $174,400 FED in 2020-21 for: (a) ERIC membership dues ($33,100 FED annually); (b) mailings to potential eligible voters and voters who may no longer reside at their registered address, which is required by ERIC ($81,300 GPR in 2019-20 and $141,300 FED annually); and (c) one-time funding of $22,500 FED in 2019-20 for WisVote election administration system modifications.

DISCUSSION POINTS

1. The Electronic Registration Information Center is a consortium of 26 states that uses data matching to identify electors who may be eligible to vote but are not registered, voters who may have moved, and voters who may no longer be eligible and should be removed from the registration list. According to the Commission, the goal of ERIC is to encourage electors to register or update their information ahead of an election to manage the volume of registration activity near Election Day. Long-term participation is aimed at reducing costs, increasing accuracy, and improving efficiency in the registration process.

2. Under 2015 Act 261, the Elections Commission is required to enter into a membership agreement with ERIC. The agreement must: (a) safeguard the confidentiality of information or data in the registration list; (b) prohibit the sale or distribution of the information or data in the registration list to a third-party vendor and prohibit any other action not associated with administration of or compliance with the agreement; and (c) allow the state to make contact with electors by electronic mail, whenever possible. The agreement must not affect the exemption for Wisconsin under the National Voter Registration Act. Further, the Commission is required to comply with the terms of the membership agreement, including the transmission of information related to the registration of electors to ERIC for processing and sharing with other member states. Act 261 did not provide funding for ERIC membership or participation. The Commission signed a membership agreement with ERIC on May 17, 2016.

3. Upon execution of the membership agreement, the Commission was required to pay a one-time membership fee of $25,000, which the Commission paid by reallocating existing funds. After joining the consortium, each member state must pay annual dues between $25,000 and $50,000 per year, depending on the state's population. Current annual dues for Wisconsin are $33,100.

4. Under the membership agreement, Wisconsin is required to provide data every 60 days for all current driver's license and state identification card holders from the Division of Motor Vehicles (DMV) and all currently registered voters. The Center compares the data to similar files from participating states and national sources, such as the U.S. Postal Service's (USPS) National Change of Address service and death records from the Social Security Administration. After comparing the data, ERIC provides the state: (a) a list of electors who may be eligible to register to vote but are not currently registered; and (b) a list of currently registered electors who may no longer be eligible at their registered address because they may have moved or become deceased.

5. The agreement requires that the Commission attempt to contact individuals identified as eligible but unregistered once every two years. In June, 2018, the Commission mailed 383,703 postcards to these individuals with instructions on how to register to vote. As of November, 2018, a total of 46,406 individuals from the report had registered to vote (12.1%). The total cost of the 2018 mailing was $102,400 ($21,100 for printing and $81,300 for postage and Department of Administration distribution service fees). The next mailing to potentially eligible but unregistered voters is scheduled for 2020. The Commission indicates that the Governor's recommendation is based on postage and distribution service costs incurred during the 2018 mailing.

6. The agreement requires that the Commission attempt once per year to contact individuals identified as having moved. The Commission mails electors a notice asking if they wish to remain registered at their current address. The notice directs electors to re-register if they have moved or to return a pre-paid postcard to keep their registration current. The notices are forwardable, so if the voter had provided a new address to USPS, the card would be forwarded to the new address. The Commission is only required to attempt to contact each elector once at the same address. In November, 2017, the Commission mailed postcards to 341,855 registered electors identified as having moved. By March, 2019, 160,863 electors had updated their registrations to reflect new addresses, 134,517 registrations were deactivated, and 46,475 registrations remained active at the original address. The total cost of the 2017 mailing was $141,300 (approximately $0.41 per person). The Commission plans to mail notices to voters identified as having moved in 2020 and 2021 and

indicates that the Governor's recommendation is based on costs incurred during the 2017 mailing.

7. The Commission indicates that it needs to modify the WisVote election administration system in order to receive reports from ERIC and update the records of electors identified through ERIC reports. For example, modifications would allow clerks to distinguish the records of voters identified by ERIC as having potentially moved from those identified by clerks as having moved and remove the records of deceased voters. The cost of one-time system updates is estimated to total $22,500 in 2019-20.

8. The administration recommends that ERIC expenses be partially funded by federal Help America Vote Act (HAVA) election security grant funds. In March, 2018, the Commission was awarded $6,978,300 FED for elections security. Under the grant agreement, which constitutes the audit standard for the award, the Commission is required to utilize the federal elections security grant to address security concerns.

9. On March 20, 2019, the U.S. Election Assistance Commission (EAC) issued a letter regarding the potential use of the HAVA security grant for ERIC requirements. The letter indicates that federal funds must be used in accordance with the 2018 grant agreement, which did not include an allocation of funds to fulfill ERIC requirements. To utilize the grant for ERIC, the Commission "would need to submit a new plan and budget for review and an amended grant award would need to be issued." The Commission would be required to demonstrate what "factors or other resources have been identified to ameliorate the security challenges" outlined in the original grant agreement. Further, the letter indicates that HAVA security funds must be utilized to "improve the administration of federal elections."

10. According to the EAC, the reallocation of funds to support ERIC requirements could be questioned in the next federal Inspector General audit. If the Commission were unable to demonstrate that "the switch from state to federal payment for ERIC membership and mailings would materially improve the administration of federal elections," the funds would have to be repaid to the federal government or restored to the state election fund.

11. In its 2019-21 agency budget request, the Commission requested $278,200 GPR in 2019-20 and $174,400 GPR in 2020-21 for ERIC-related expenses. Given that current law requires the Commission to participate in ERIC and that funding for this purpose has not yet been provided, the Committee could approve the agency's request to utilize GPR funds for ERIC requirements. [Alternative 1]

12. Alternatively, the Commission indicates that, if statutes regarding the use of revenues from voter data sales were modified, revenues could be used to cover a portion of ERIC-related expenses. The Commission currently sells voter registration data to various individuals and organizations and, under state statute, is required to use fee revenue to "cover both the cost of reproduction and the cost of maintaining the list." The Commission currently charges a $25 base fee plus $5 for up to 1,000 records, $5 for each additional 1,000 records, and a maximum of $12,500 for the sale of voter registration data. The Commission estimates that the sale of voter data will generate annual revenues of $182,200 during the 2019-21 biennium. Revenues are currently deposited to the Commission's federal appropriation for election administration and are therefore also subject to federal restrictions. However, the Commission estimates that its original, non-security federal HAVA

funding under the appropriation will be fully expended during 2018-19, after which federal restrictions relating to voter data sales will no longer apply.

13. To utilize PR funds for ERIC-related expenses, the Committee could: (a) modify statute to specify that revenue from voter data sales may be used for ERIC requirements; (b) create a biennial PR appropriation for voter data sales revenue receipts and authorized expenses of such revenue; (c) provide expenditure authority of $182,200 PR annually under the created appropriation; and (d) provide $88,200 GPR in 2019-20 to the Commission's general program operations appropriation (the difference between available PR funds over the biennium and total ERIC-related expenses). Creating a biennial PR appropriation, funded at $182,200 annually, would establish base funding in the second year in an amount equal to estimated annual revenue, and would permit the Commission to manage expenses between years. [Alternative 2] However, given that voter data sales revenue is currently used to offset the cost to maintain the voter registration system, the Commission cautions that this alternative could cause a shortfall in the funds necessary to maintain the voter registration system.

14. In a separate provision, the Governor recommends modifying expenditure authority for the Commission's materials and services appropriation from $1,700 PR to $1,000 PR annually due to declining activity under the appropriation. Revenues are from the sale of publications and copies of records, and are used to recover printing costs. The Commission indicates that requests for printed materials have decreased as publications have been made available online. Further, as a PR appropriation that receives deposits of revenue, unused funds remain in the appropriation and can be utilized in subsequent years, subject to expenditure authority and the available balance. Annual expenditures are not expected to exceed the proposed expenditure authority.

15. As of April 1, 2019, the balance of the materials and services appropriation is $11,300, due in part to a one-time transfer of accrued revenue from the Government Accountability Board. The opening balance for 2019-20 is estimated to be $10,700. It could be argued that the balance of the appropriation, less the amount budgeted for expenditures, could be transferred to the general fund so it can be utilized to offset election-related expenses. Therefore, the Committee could transfer the appropriation's balance, less the recommended expenditure limit of $1,000, to the general fund for the purpose of offsetting a portion of ERIC expenses. This alternative, to transfer $9,700 PR on a one-time basis, may be selected in addition to any other alternative. [Alternative 3]

16. If no funding is provided, the Commission would be required to reallocate existing resources to fund participation in ERIC. [Alternative 4] Under this alternative, the Commission indicates that it would pay for ERIC expenses in part by leaving vacant GPR positions unfilled (although, as of April 1, 2019, the Commission does not have any vacant GPR positions). Such a reallocation could have a limiting effect on the Commission's operations.

ALTERNATIVES

1. Provide $278,200 GPR in 2019-20 and $174,400 GPR in 2020-21 for: (a) ERIC membership dues ($33,100 GPR annually); (b) mailings to potential eligible voters and voters who may no longer reside at their registered address, which is required by ERIC ($222,600 GPR in 2019-20 and $141,300 GPR in 2020-21); and (c) one-time funding of $22,500 GPR in 2019-20 for WisVote election administration system modifications. This would be identical to the amounts and fund

sources designated in the Commission's 2019-21 budget request.

ALT 1	Change to	
	Base	**Bill**
GPR	$452,600	$371,300
FED	0	- 371,300
Total	$452,600	$0

2. Modify statute to specify that revenue from voter data sales may be utilized for ERIC requirements. Create a biennial PR appropriation for voter data sales revenue receipts and authorized expenses and provide expenditure authority of $182,200 PR annually. Further, provide $88,200 GPR to the Commission's general program operations appropriation in 2019-20 for ERIC expenses. Funds would be allocated to supplies and services in both appropriations for: (a) ERIC membership dues; (b) mailings to potential eligible voters and voters who may no longer reside at their registered address, which is required by ERIC; and (c) WisVote election administration system modifications.

ALT 2	Change to	
	Base	**Bill**
GPR	$88,200	$6,900
FED	0	- 371,300
PR	364,400	364,400
Total	$452,600	$0

3. Transfer $9,700 PR in 2019-20 from the Commission's materials and services appropriation to the general fund for the purpose of offsetting a portion of ERIC expenses. [This alternative may be selected in addition to any other alternative.]

ALT 3	Change to	
	Base	**Bill**
GPR-REV	$9,700	$9,700

4. Take no action on funding for ERIC requirements.

ALT 4	Change to	
	Base	**Bill**
GPR	$0	- $81,300
FED	0	- 371,300
Total	$0	-$452,600

Prepared by: Angela Miller

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at www IRS gov/form990

OMB No 1545-0047

2015

Open to Public Inspection

A For the 2015 calendar year, or tax year beginning 07-01-2015 , and ending 06-30-2016

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
WHEATON FRANCISCAN INC

% ELIZABETH A RITTER

Doing business as

Number and street (or P O box if mail is not delivered to street address) | Room/suite
5000 WEST CHAMBERS STREET

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 53210

D Employer identification number
39-0816857

E Telephone number
(414) 447-2000

G Gross receipts $ 407,667,856

F Name and address of principal officer
BERNARD SHERRY SR VP
400 WEST RIVER WOODS PARKWAY
MILWAUKEE, WI 53212

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶ 0928

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ www wfhealthcare org

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1927

M State of legal domicile WI

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
TO PROVIDE INPATIENT AND OUTPATIENT HEALTHCARE SERVICES TO INDIVIDUALS LOCATED IN SOUTHEAST WISCONSIN

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	13
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	3
5	Total number of individuals employed in calendar year 2015 (Part V, line 2a)	5	3,250
6	Total number of volunteers (estimate if necessary)	6	209
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,500,588	1,581,316
	9	Program service revenue (Part VIII, line 2g)	416,855,156	401,778,099
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	147,008	-656,200
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	4,853,220	4,964,641
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	423,355,972	407,667,856
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	25,436	13,850
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	169,294,053	173,408,742
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶484,688		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	243,977,781	244,426,917
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	413,297,270	417,849,509
	19	Revenue less expenses Subtract line 18 from line 12	10,058,702	-10,181,653

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	320,107,194	211,552,226
	21	Total liabilities (Part X, line 26)	84,075,671	113,948,287
	22	Net assets or fund balances Subtract line 21 from line 20	236,031,523	97,603,939

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2017-05-15
Signature of officer Date

JONATHAN W SOHN CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶
Firm's address ▶ | Phone no

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

AS A MEMBER OF WHEATON FRANCISCAN HEALTHCARE, OUR AFFILIATES STRIVE TO LIVE OUT THE HEALING MINISTRY OF JESUS WHILE PROVIDING EXCEPTIONAL AND COMPASSIONATE HEALTHCARE SERVICES THAT PROMOTE THE DIGNITY AND WELL-BEING OF THE PATIENTS AND COMMUNITIES WE SERVE OUR VISION IS TO BE RECOGNIZED FOR SUPERIOR HEALTHCARE SERVICE, CLINICAL EXCELLENCE, AS THE HEALTHCARE EMPLOYER OF CHOICE, AND THE PREFERRED PARTNER OF PHYSICIANS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 356,380,933 including grants of $ 13,580) (Revenue $ 403,694,069)

WHEATON FRANCISCAN HEALTHCARE IN SOUTHEAST WISCONSIN Wheaton Franciscan Healthcares service to Southeast Wisconsin dates back to 1879 when the Franciscan Sisters began operating a health care ministry out of a small house Five years later they opened their first hospital in Milwaukee St Joseph Over the next 100 years, the Sisters known as the Wheaton Franciscans after 1947 expanded their Milwaukee health care ministry and, in 1993, partnered with the Felician Sisters Through the union of numerous separate but common functions including Boards of Directors, medical groups, and corporate services, Wheaton Franciscan Healthcare has created a fully integrated region that allows it to share best practices and create cost efficiencies WHEATON FRANCISCAN HEALTHCARE IN SOUTHEAST WISCONSIN LIST OF ENTITIES Hospitals Wheaton Franciscan, Inc (Elmbrook Memorial, Midwest Spine and Orthopedic Hospital and Wisconsin Heart Hospital, and St Joseph Campuses) Wheaton Franciscan Healthcare - All Saints (Spring Street and Wisconsin Ave Campuses) Wheaton Franciscan Healthcare - St Francis Wheaton Franciscan Healthcare Franklin Midwest Orthopedic Specialty Hospital (joint venture) Wheaton Franciscan Medical Group More than 300 physicians in 50 specialty and primary care locations across SE Wisconsin Transitional & Extended Care Wheaton Franciscan Healthcare - Franciscan Woods Wheaton Franciscan Healthcare - Lakeshore Manor Wheaton Franciscan Healthcare - The Terrace at St Francis Outpatient Centers Wheaton Franciscan Brown Deer Campus Wheaton Franciscan Wauwatosa Campus Wheaton Franciscan Healthcare - St Francis Medical Arts Pavilion Wheaton Franciscan Healthcare St Francis Outpatient Center Service Lines Wheaton Franciscan Cancer Care Wheaton Franciscan Women and Infants Wheaton Franciscan Diabetes Care Wheaton Franciscan Heart Care Wheaton Franciscan Mental Health and Addiction Care Wheaton Franciscan Orthopedic Care Wheaton Franciscan Rehabilitation Services Wheaton Franciscan Senior Care Midwest Orthopedic Specialty Services Restore Integrated Work Injury Solutions Home Health & Hospice Wheaton Franciscan Home Health Wheaton Franciscan Hospice Wheaton Franciscan Medical Equipment Team Pharmacy Wheaton Franciscan Pharmacy (multiple locations) Laboratory Wheaton Franciscan Laboratory Philanthropic Foundations Wheaton Franciscan Healthcare - All Saints Foundation Wheaton Franciscan Healthcare - Circle of Life Foundation Wheaton Franciscan Healthcare - Foundation for St Francis and Franklin Wheaton Franciscan - Elmbrook Memorial Foundation Wheaton Franciscan - St Joseph Foundation WHEATON FRANCISCAN HEALTHCARE COMMUNITY IMPACT IN SOUTHEAST WISCONSIN / 2016 Charity Care $17,397,237 Charity Care is defined as free or discounted health services provided to those who cannot afford to pay and who meet all criteria for financial assistance Charity care is based on actual costs, not charges, and does not include bad debt Unreimbursed Cost of Public Programs $46,504,102 Unreimbursed cost of public programs is defined as the shortfall experienced when payments received are below the cost of treating public beneficiaries through Medicaid and other local public programs Community Health Improvement Services $1,049,972 Community Health Improvement Services are defined as clinical and non-clinical services designed to improve community health, which are provided to the community for free or for fees that did not cover costs Financial Contributions $1,467,639 Financial contributions are defined as contributions, including cash, non-cash items such as food, furniture, equipment, supplies, and loaned staff for volunteer and charitable purposes, made to individuals, community groups, or nonprofit organizations for charitable purposes Health Professions Education $10,392,557 Health professions education is defined as direct costs incurred for accredited training and education programs for physicians, nurses, allied health professionals and technicians (does not include ongoing education for staff) Community Building Activities $98,698 Community building activities are defined as programs that, while not directly related to health care, provide opportunities to address the root causes of health problems, such as poverty, homelessness, and environmental issues Costs for these activities include cash and in-kind donations Community Benefit Operations $31,963 Community benefit operations are defined as costs associated with dedicated staff and community health needs and/or assets assessment, as well as other costs associated with community benefit strategy and operations Research $74,940 Research is defined as costs incurred for health-related research, such as medical equipment testing, controlled studies of therapeutic protocols, and studies of health care delivery methods Subsidized Health Services $2,716,513 Subsidized health services are defined as the negative margin for clinical services that are provided despite a financial loss because of an identified community need that would need to be met by the government or another not-for-profit if it was not offered The financial losses are so significant that negative margins remain after removing the effects of charity care, bad debt, and Medicaid shortfalls TOTAL BENEFIT TO THE COMMUNITY IN SOUTHEAST WISCONSIN FOR 2016 $79,733,621

4b (Code) (Expenses $ including grants of $) (Revenue $)

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses ▶** 356,380,933

Part IV **Checklist of Required Schedules**

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f		No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16	Yes	
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a	Yes	
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	Yes	

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	Yes	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . ☑

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	**1a**	0			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	**1b**	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			**1c**		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	**2a**	3,250			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			**2b**	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year? . . .			**3a**		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* . . .			**3b**		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . .			**4a**		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .			**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			**5b**		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			**6a**		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			**7a**		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			**7b**		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			**7c**		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			**7e**		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .			**7f**		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			**7g**		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			**7h**		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			**8**		
9a	Did the sponsoring organization make any taxable distributions under section 4966? . . .			**9a**		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . .			**9b**		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	**10a**				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	**11a**				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	**11b**				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	**12b**				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			**13a**		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**				
c	Enter the amount of reserves on hand	**13c**				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			**14a**		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .			**14b**		

Part VI Governance, Management, and Disclosure
For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O	**1a** 13			
b	Enter the number of voting members included in line 1a, above, who are independent	**1b** 3			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		**4**	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		**5**		No
6	Did the organization have members or stockholders?		**6**	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		**7a**	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		**7b**	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		**9**		No

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**		No
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶ WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records
▶ELIZABETH A RITTER 400 WEST RIVER WOODS PARKWAY MILWAUKEE, WI 53212 (414) 465-3542

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☑

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) Saleem Aman MD Secretary / Treasurer - Direct	1 0 40 0	X		X				0	525,252	47,536
(2) Susan Boland President Designee - Director	1 0 40 0	X		X				0	655,311	78,551
(3) Manfred Chiang MD Director	1 0 40 0	X						0	624,969	47,304
(4) Kumari Chintamaneni MD Director	1 0 40 0	X						0	421,979	42,172
(5) Patrick Diem Director	1 0 1 0	X						0	0	0
(6) Sr M Ramona Dombrowski Director	1 0 1 0	X						0	0	0
(7) Beth Griffin MD Director	1 0 1 0	X						0	0	0
(8) Jerry Hardacre MD Director	1 0 40 0	X						0	412,845	59,985
(9) Thomas Mahn MD Secretary / Treasurer - Direct	1 0 40 0	X		X				0	405,744	52,973
(10) James Mazzulla MD Director	1 0 40 0	X						0	37,316	4,454
(11) Kevin Roche Director	1 0 1 0	X						0	0	0
(12) Brian Smigelski Director	1 0 1 0	X						0	0	0
(13) Debra Standridge Vice Chairperson/President - D	1 0 40 0	X		X				0	729,651	92,815
(14) Sharon Baughman CNO Sr VP Patient Services	40 0 1 0				X			334,375	0	67,947

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(15) Eric Christianson MD VP Medical Affairs North Marke	40 0 1 0				X			403,974	0	51,066
(16) Lynn Grudzielanek Sr VP Operations North Market	40 0 1 0				X			294,298	0	33,652
(17) Lijian Cai Pharmacy Director	40 0 1 0					X		183,783	0	42,958
(18) Susan Jassak Admin Director - Cardio Srvcs	40 0 1 0					X		184,435	0	63,114
(19) Mary Jo Kohout VP Patient Care Services	40 0 1 0					X		223,309	0	49,824
(20) Robert Stern MD VP Medical Affairs	40 0 1 0					X		436,301	0	45,543
(21) Bryan Tollenaar Medical Physicist	40 0 1 0					X		206,976	0	36,864
(22) Rita Hanson-Melzer MD Svp/Chief Medical Officer	1 0 40 0						X	0	679,313	89,637
(23) Tod Poremski Physician Employee	1 0 40 0						X	0	175,143	30,151
(24) Donald Roach MD Secretary/Treasuer - Director	1 0 40 0						X	0	208,439	40,960

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ▶			
c	**Total from continuation sheets to Part VII, Section A** . . . . ▶			
d	**Total (add lines 1b and 1c)** . . . . ▶	2,267,451	4,875,962	977,506

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 110

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
MEDICAL COLLEGE OF WISCONSIN, 8701 WATERTOWN PLANK MILWAUKEE, WI 53226	PHYSICIANS RESIDENTS	6,614,147
JP CULLEN AND SONS INC, PO BOX 5957 JANESVILLE, WI 53547	CONSTRUCTION	4,495,957
EMERGENCY MEDICINE SPECIALIST, 3237 S 16TH STREET MILWAUKEE, WI 53215	ER MANAGEMENT SERVIC	3,188,893
SODEXHO INC AND AFFILIATES, 4880 PAYSPHERE CIRCLE CHICAGO, IL 60674	MAINTENANCE SERVICES	2,851,999
ALL ABOUT STAFFFING, 1000 SAWGRASS CORP PARKWAY 6TH FLOO SUN RISE, FL 33323	TEMPORARY STAFFING	1,863,902

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 67

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts						
1a	Federated campaigns . . . 1a					
b	Membership dues 1b					
c	Fundraising events 1c					
d	Related organizations . . . 1d	1,581,316				
e	Government grants (contributions) 1e					
f	All other contributions, gifts, grants, and similar amounts not included above 1f					
g	Noncash contributions included in lines 1a-1f $					
h	**Total.** Add lines 1a-1f . . . . ▶		1,581,316			
Program Service Revenue		Business Code				
2a	PATIENT REVENUES	900099	184,762,619	184,762,619		
b	MEDICARE MEDICAID PAYMENTS	900099	217,015,480	217,015,480		
c						
d						
e						
f	All other program service revenue					
g	**Total.** Add lines 2a–2f . . . . ▶		401,778,099			
Other Revenue						
3	Investment income (including dividends, interest, and other similar amounts) . . . . ▶		91,299			91,299
4	Income from investment of tax-exempt bond proceeds . . ▶		0			
5	Royalties . . . . ▶		0			

		(i) Real	(ii) Personal
6a	Gross rents	1,200,901	
b	Less rental expenses		
c	Rental income or (loss)	1,200,901	0

		(A) Total revenue	(B)	(C)	(D)
6d	Net rental income or (loss) . . . . ▶	1,200,901			1,200,901

		(i) Securities	(ii) Other
7a	Gross amount from sales of assets other than inventory		
b	Less cost or other basis and sales expenses		
c	Gain or (loss)		-747,499

			(A) Total revenue	(B)	(C)	(D)
7d	Net gain or (loss) . . . . ▶		-747,499			-747,499
8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . a					
b	Less direct expenses . . . . b					
c	Net income or (loss) from fundraising events . . ▶		0			
9a	Gross income from gaming activities See Part IV, line 19 . . . a					
b	Less direct expenses . . . . b					
c	Net income or (loss) from gaming activities . . . ▶		0			
10a	Gross sales of inventory, less returns and allowances . a					
b	Less cost of goods sold . . . b					
c	Net income or (loss) from sales of inventory . . ▶		0			
	Miscellaneous Revenue	Business Code				
11a	EHR REVENUE	900099	445,116	445,116		
b	CAFETERIA REVENUE	722514	1,847,770			1,847,770
c	OTHER OPERATING REVENUE	900099	1,470,854	1,470,854		
d	All other revenue					
e	**Total.** Add lines 11a–11d . . . . ▶		3,763,740			
12	**Total revenue.** See Instructions . . . . . . ▶		407,667,856	403,694,069		2,392,471

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21	0			
2 Grants and other assistance to domestic individuals See Part IV, line 22	0			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16	13,850	13,850		
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	1,185,312	402,322	782,990	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	48,230	48,230		
7 Other salaries and wages	135,754,930	135,358,335		396,595
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	5,706,457	5,632,946	73,511	
9 Other employee benefits	20,677,901	20,593,989	83,912	
10 Payroll taxes	10,035,912	10,035,912		
11 Fees for services (non-employees)				
a Management	0			
b Legal	0			
c Accounting	0			
d Lobbying	25,046	25,046		
e Professional fundraising services See Part IV, line 17	0			
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	28,540,877	28,537,591		3,286
12 Advertising and promotion	81,903	65,656		16,247
13 Office expenses	16,129,422	16,105,984		23,438
14 Information technology	0			
15 Royalties	0			
16 Occupancy	7,257,478	7,257,478		
17 Travel	330,390	326,954		3,436
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	46,970	46,970		
20 Interest	1,977,192		1,977,192	
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	20,707,311	20,707,311		
23 Insurance	138,375	138,375		
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a MANAGEMENT FEES	77,463,396	19,355,427	58,066,283	41,686
b BAD DEBT	10,022,667	10,022,667		
c MEDICAL SUPPLIES	52,420,285	52,420,285		
d HOSPITAL ASSESSMENT	12,621,460	12,621,460		
e All other expenses	16,664,145	16,664,145		
25 **Total functional expenses.** Add lines 1 through 24e	417,849,509	356,380,933	60,983,888	484,688
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		176,762	2	103,199
	3	Pledges and grants receivable, net		0	3	0
	4	Accounts receivable, net		57,751,843	4	60,199,512
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		705,217	7	423,678
	8	Inventories for sale or use		6,998,251	8	6,643,346
	9	Prepaid expenses and deferred charges		1,456,855	9	1,103,778
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 146,882,710			
	b	Less accumulated depreciation	10b 4,448,185	247,017,944	10c	142,434,525
	11	Investments—publicly traded securities		0	11	0
	12	Investments—other securities See Part IV, line 11		0	12	0
	13	Investments—program-related See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		6,000,322	15	644,188
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		320,107,194	16	211,552,226
Liabilities	17	Accounts payable and accrued expenses		24,451,325	17	25,704,143
	18	Grants payable		0	18	0
	19	Deferred revenue		284,895	19	188,265
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		59,339,451	25	88,055,879
	26	**Total liabilities.** Add lines 17 through 25		84,075,671	26	113,948,287
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		235,400,470	27	96,964,725
	28	Temporarily restricted net assets		631,053	28	639,214
	29	Permanently restricted net assets		0	29	0
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		236,031,523	33	97,603,939
	34	Total liabilities and net assets/fund balances		320,107,194	34	211,552,226

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	407,667,856
2	Total expenses (must equal Part IX, column (A), line 25)	2	417,849,509
3	Revenue less expenses Subtract line 2 from line 1	3	-10,181,653
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	236,031,523
5	Net unrealized gains (losses) on investments	5	-28,753
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-128,217,178
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	97,603,939

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☑

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).**(Attach Schedule E (Form 990 or 990-EZ))

3 ☑ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state ____________

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See**section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f Enter the number of supported organizations . . . . ____________

g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii)EIN	(iii) Type of organization (described on lines 1- 9 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	(iv) No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

WI-20-0154-A-000018

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Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants)						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
11 **Total support.** Add lines 7 through 10						

12 Gross receipts from related activities, etc (see instructions) | **12** |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) | **14** |

15 Public support percentage for 2014 Schedule A, Part II, line 14 | **15** |

16a **33 1/3% support test—2015.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support test—2014.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2014.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	
16	Public support percentage from 2014 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2015** (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from **2014** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2015.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2014.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I If you checked 11a of Part I, complete Sections A and B If you checked 11b of Part I, complete Sections A and C If you checked 11c of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A and D, and complete Part V)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in **Part VI** how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain*	**1**		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2)*	**2**		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below*	**3a**		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in **Part VI** when and how the organization made the determination*	**3b**		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use*	**3c**		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below*	**4a**		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations*	**4b**		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes*	**4c**		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)*	**5a**		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	**5b**		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	**5c**		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in **Part VI.***	**6**		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990)*	**7**		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part II of Schedule L (Form 990)*	**8**		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509 (a)(1) or (2))? *If "Yes," provide detail in **Part VI.***	**9a**		
b	Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in **Part VI.***	**9b**		
c	Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in **Part VI.***	**9c**		
10a	Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer b below*	**10a**		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)*	**10b**		
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI*	**11c**		

Part IV Supporting Organizations (continued)

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year*	1		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization*	2		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)*	1		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s)*	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard*	3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**

a ☐ The organization satisfied the Activities Test Complete **line 2** below

b ☐ The organization is the parent of each of its supported organizations Complete **line 3** below

c ☐ The organization supported a governmental entity Describe in Part VI how you supported a government entity (see instructions)

			Yes	No
2	Activities Test **Answer (a) and (b) below.**			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities*	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement*	2b		
3	Parent of Supported Organizations **Answer (a) and (b) below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI*	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard*	3b		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E ☐

	Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	**Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

	Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	**Total** (add lines 1a, 1b, and 1c)	1d		
e	**Discount** claimed for blockage or other factors (explain in detail in Part VI) ________			
2	Acquisition indebtedness applicable to non-exempt use assets	2		
3	Subtract line 2 from line 1d	3		
4	Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 035	6		
7	Recoveries of prior-year distributions	7		
8	**Minimum Asset Amount** (add line 7 to line 6)	8		

	Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3	4		
5	Income tax imposed in prior year	5		
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) ☐

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 **Total annual distributions.** Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2015			
a			
b			
c			
d From 2013. . . . . ______			
e From 2014. . . . . ______			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2015 from Section D, line 7 $ ______			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 **Excess distributions carryover to 2016.** Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b			
c Excess from 2013. . . . . ______			
d From 2014. . . . . ______			
e From 2015. . . . . ______			

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Part VI **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

WI-20-0154-A-000025

Return Reference	Explanation

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

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2015
Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
4a Was a correction made? ☐ Yes ☐ No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

	(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2					
3					
4					
5					
6					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a) Filing organization's totals**	**(b) Affiliated group totals**
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)		
c	Total lobbying expenditures (add lines 1a and 1b)		
d	Other exempt purpose expenditures		
e	Total exempt purpose expenditures (add lines 1c and 1d)		
f	Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)		
h	Subtract line 1g from line 1a If zero or less, enter -0-		
i	Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

	Calendar year (or fiscal year beginning in)	**(a)**2012	**(b)**2013	**(c)**2014	**(d)**2015	**(e)** Total
2a	Lobbying nontaxable amount					
b	Lobbying ceiling amount (150% of line 2a, column(e))					
c	Total lobbying expenditures					
d	Grassroots nontaxable amount					
e	Grassroots ceiling amount (150% of line 2d, column (e))					
f	Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		No	
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		25,046
g	Direct contact with legislators, their staffs, government officials, or a legislative body?		No	
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		
j	Total Add lines 1c through 1i			25,046
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
Schedule C SUPPLEMENTAL INFORMATION	Schedule C Part II-B Line 1i Wheaton Franciscan Healthcare was part of a controlled group of healthcare organizations through late in the fiscal year, when most of the entities were transferred to new parent organizations These entities were related through these respective dates, through a common parent organization, Wheaton Franciscan Services, Inc Certain entities within this controlled group engaged in limited lobbying activities that benefited each organization collectively For calendar year 2015, Wheaton Franciscan Healthcare employed two individuals whose responsibilities included oversight and management of lobbying activities for these organizations the Senior Vice President of Strategic Initiatives and the Director of Government Relations and Advocacy The Senior Vice President provided strategic oversight for the department and participated in visits with key elected officials when appropriate The Director provides on-the-ground support, served as the first point of contact for elected officials, and executed on legislative lobbying activities that supported the strategic interests of the organization These lobbying activities approximated 50% of total annual salary for the Director and no more than 15% for the Senior Vice President A benefits factor of 25% was added, and the total was allocated amongst the organizations that received the benefit of these services The lobbying activities included advocacy efforts related to public policy proposals such as changes to Medicare and Medicaid funding, federal or state legislation that may impact the organization, participating in and coordinating visits with elected officials at all levels of government (local, state and federal), mobilizing grassroots efforts on behalf of the organization on issues of interest, coordinating advocacy activities in conjunction with relevant trade associations, and providing internal awareness on specific state related legislative issues when the need arises The portion of direct expenses related to annual employee business travel to Washington DC or other locations, in order to lobby for issues important to healthcare providers and patients, have been included if applicable Finally, any trade association dues containing a percentage portion allocable to lobbying activities, has been identified, and added or estimated as appropriate Wheaton Franciscan, Inc #39-0816857 $25,046

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SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶______________

4 Number of states where property subject to conservation easement is located ▶______________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶______________

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
▶ $ ______________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ ______________

(ii) Assets included in Form 990, Part X ▶ $ ______________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ $ ______________

b Assets included in Form 990, Part X ▶ $ ______________

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

			Amount
b	If "Yes," explain the arrangement in Part XIII and complete the following table		
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance					
b	Contributions					
c	Net investment earnings, gains, and losses					
d	Grants or scholarships					
e	Other expenditures for facilities and programs					
f	Administrative expenses					
g	End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		
	(ii) related organizations	3a(ii)		
b	If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI **Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		9,930,000		9,930,000
b Buildings		97,312,845	1,237,798	96,075,047
c Leasehold improvements		3,400	756	2,644
d Equipment		31,094,997	3,141,742	27,953,255
e Other		8,541,468	67,889	8,473,579
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c))* ▶				142,434,525

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* . . . . ▶	

Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
Federal income taxes	0
DUE TO THIRD PARTY PAYORS	6,946,929
FAS 47 LONG TERM PAYABLE SJH	2,152,433
CASH DUE TO CHS FUND	40,247,924
BONDS PAYABLE TO AFFILIATES	38,708,593
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	88,055,879

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.**(This must equal Form 990, Part I, line 12)			5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation

WI-20-0154-A-000032

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

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SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1)	Sub-Saharan Africa			Program Services	Donated Med Supplies	13,850
(2)						
(3)						
(4)						
(5)						
3a	Sub-total					13,850
b	Total from continuation sheets to Part I					
c	Totals (add lines 3a and 3b)					13,850

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.**
Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)	Hospital Mission Sisters	Sub-Saharan Africa		13,850			Med Supplies	FMV
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								
(17)								
(18)								

Part IV **Foreign Forms**

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☑ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990)* ☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☐ Yes ☑ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☐ Yes ☑ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☐ Yes ☑ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990)* ☐ Yes ☑ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F SUPPLEMENTAL INFORMATION	Form 990 Schedule F Part I Line 2 Wheaton Franciscan Healthcare maintains files and records to manage the decision-making and assistance process for organizations that it provides community sponsorship dollars or in-kind donations to Decisions are made by a Community Sponsorship Committee with leaders who hold positions in Diversity, Strategic Planning, Mission Services, Marketing and Philanthropy The Committee generally strives to sponsor organizations that have missions that align with the mission, vision and values of the organization, with a special focus on world disaster relief and human assistance, in particular as it relates to our healthcare and housing ministries The committee also looks for certain other criteria when deciding which organizations will receive contributions, including but not limited to supporting healthcare, housing, or other humanitarian needs worldwide, furthering diversity and cultural competency, aligning with other WFH business relationships or service lines, supporting student academic achievement or workforce development initiatives, and finally, supporting environmental green initiatives Leaders periodically meet with representatives of sponsored organizations to discuss objectives including how dollars will be spent Additionally, Wheaton Franciscan Healthcare may, at a later date, ask for documentation in order to monitor that funds were spent for their intended purpose Currently, confirmation letters are sent to sponsored organizations when award dollars or in-kind donations are given, that confirm the dollar amount (or approximate fair market value) awarded and the intended purpose, with a request that the recipient acknowledge receipt of the funds in written correspondence Files are maintained in the Organizational Change and Leadership Performance Department to include copies of letters of request, award and denial letters, additional documentation if requested, and acknowledgement receipts from sponsored organizations

SCHEDULE H (Form 990)

Department of the Treasury Internal Revenue Service

Hospitals

▶ Complete if the organization answered "Yes" on Form 990, Part IV, question 20.
▶ Attach to Form 990.
▶ Information about Schedule H (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I Financial Assistance and Certain Other Community Benefits at Cost

			Yes	No
1a	Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a	1a	Yes	
b	If "Yes," was it a written policy?	1b	Yes	
2	If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year ☑ Applied uniformly to all hospital facilities ☐ Applied uniformly to most hospital facilities ☐ Generally tailored to individual hospital facilities			
3	Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year			
a	Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing *free* care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for *free* care	3a	Yes	
	☐ 100% ☐ 150% ☑ 200% ☐ Other ________ %			
b	Did the organization use FPG as a factor in determining eligibility for providing *discounted* care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care	3b	Yes	
	☐ 200% ☐ 250% ☐ 300% ☐ 350% ☑ 400% ☐ Other ________ %			
c	If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care			
4	Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"?	4	Yes	
5a	Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year?	5a	Yes	
b	If "Yes," did the organization's financial assistance expenses exceed the budgeted amount?	5b		No
c	If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligibile for free or discounted care?	5c		
6a	Did the organization prepare a community benefit report during the tax year?	6a		No
b	If "Yes," did the organization make it available to the public?	6b		
	Complete the following table using the worksheets provided in the Schedule H instructions Do not submit these worksheets with the Schedule H			

7 Financial Assistance and Certain Other Community Benefits at Cost

	Financial Assistance and Means-Tested Government Programs	(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total community benefit expense	(d) Direct offsetting revenue	(e) Net community benefit expense	(f) Percent of total expense
a	Financial Assistance at cost (from Worksheet 1)			4,457,290		4,457,290	1 090 %
b	Medicaid (from Worksheet 3, column a)			126,579,125	115,242,186	11,336,939	2 780 %
c	Costs of other means-tested government programs (from Worksheet 3, column b)						
d	**Total** Financial Assistance and Means-Tested Government Programs			131,036,415	115,242,186	15,794,229	3 870 %
	Other Benefits						
e	Community health improvement services and community benefit operations (from Worksheet 4)			53,969	15,017	38,952	0 010 %
f	Health professions education (from Worksheet 5)			9,638,546	3,787,103	5,851,443	1 430 %
g	Subsidized health services (from Worksheet 6)			2,643,574	1,393,132	1,250,442	0 310 %
h	Research (from Worksheet 7)						
i	Cash and in-kind contributions for community benefit (from Worksheet 8)			18,809		18,809	
j	**Total.** Other Benefits			12,354,898	5,195,252	7,159,646	1 750 %
k	**Total.** Add lines 7d and 7j			143,391,313	120,437,438	22,953,875	5 620 %

Part II Community Building Activities
Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

		(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total community building expense	(d) Direct offsetting revenue	(e) Net community building expense	(f) Percent of total expense
1	Physical improvements and housing						
2	Economic development						
3	Community support			12,964	11,014	1,950	
4	Environmental improvements						
5	Leadership development and training for community members						
6	Coalition building						
7	Community health improvement advocacy						
8	Workforce development						
9	Other						
10	**Total**			12,964	11,014	1,950	

Part III Bad Debt, Medicare, & Collection Practices

Section A. Bad Debt Expense

				Yes	No
1	Did the organization report bad debt expense in accordance with Heathcare Financial Management Association Statement No 15?		1	Yes	
2	Enter the amount of the organization's bad debt expense Explain in Part VI the methodology used by the organization to estimate this amount	2	10,022,667		
3	Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit	3	0		
4	Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements				

Section B. Medicare

5	Enter total revenue received from Medicare (including DSH and IME)	5	78,352,605
6	Enter Medicare allowable costs of care relating to payments on line 5	6	77,600,460
7	Subtract line 6 from line 5 This is the surplus (or shortfall)	7	752,145

8 Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6 Check the box that describes the method used

☐ Cost accounting system ☑ Cost to charge ratio ☐ Other

Section C. Collection Practices

			Yes	No
9a	Did the organization have a written debt collection policy during the tax year?	9a	Yes	
b	If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI	9b	Yes	

Part IV Management Companies and Joint Ventures (owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

	(a) Name of entity	(b) Description of primary activity of entity	(c) Organization's profit % or stock ownership %	(d) Officers, directors, trustees, or key employees' profit % or stock ownership %	(e) Physicians' profit % or stock ownership %
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					

WI-20-0154-A-000040

Part V **Facility Information**

Section A. Hospital Facilities (list in order of size from largest to smallest—see instructions) How many hospital facilities did the organization operate during the tax year? **3** Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)		Licensed hospital	General medical & surgical	Children's hospital	Teaching hospital	Critical access hospital	Research facility	ER-24 hours	ER-other	**Other (Describe)**	**Facility reporting group**
	See Additional Data Table										
										WI-20-0154-A-000041	

Part V Facility Information *(continued)*

Section B. Facility Policies and Practices

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)

Name of hospital facility or letter of facility reporting group WHEATON FRANCISCAN INC

Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A): 1

			Yes	No
Community Health Needs Assessment				
1	Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year?	1		No
2	Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C	2		No
3	During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12	3	Yes	
	If "Yes," indicate what the CHNA report describes (check all that apply)			
a	☑ A definition of the community served by the hospital facility			
b	☑ Demographics of the community			
c	☑ Existing health care facilities and resources within the community that are available to respond to the health needs of the community			
d	☑ How data was obtained			
e	☑ The significant health needs of the community			
f	☑ Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups			
g	☑ The process for identifying and prioritizing community health needs and services to meet the community health needs			
h	☑ The process for consulting with persons representing the community's interests			
i	☑ Information gaps that limit the hospital facility's ability to assess the community's health needs			
j	☑ Other (describe in Section C)			
4	Indicate the tax year the hospital facility last conducted a CHNA 20 15			
5	In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted	5	Yes	
6a	Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C	6a	Yes	
b	Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities?" If "Yes," list the other organizations in Section C	6b	Yes	
7	Did the hospital facility make its CHNA report widely available to the public?	7	Yes	
	If "Yes," indicate how the CHNA report was made widely available (check all that apply)			
a	☑ Hospital facility's website (list url) SEE NARRATIVES FOR FULL URL			
b	☐ Other website (list url)			
c	☑ Made a paper copy available for public inspection without charge at the hospital facility			
d	☑ Other (describe in Section C)			
8	Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11	8	Yes	
9	Indicate the tax year the hospital facility last adopted an implementation strategy 20 15			
10	Is the hospital facility's most recently adopted implementation strategy posted on a website?	10	Yes	
a	If "Yes" (list url) SEE NARRATIVES FOR FULL URL			
b	If "No," is the hospital facility's most recently adopted implementation strategy attached to this return?	10b		
11	Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed			
12a	Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)?	12a		No
b	If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax?	12b		
c	If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? $			

Part V Facility Information *(continued)*

Financial Assistance Policy (FAP)

Name of hospital facility or letter of facility reporting group: WHEATON FRANCISCAN INC

			Yes	No
	Did the hospital facility have in place during the tax year a written financial assistance policy that			
13	Explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care?	13	Yes	
	If "Yes," indicate the eligibility criteria explained in the FAP			
a	☑ Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of 200 % and FPG family income limit for eligibility for discounted care of 400 %			
b	☐ Income level other than FPG (describe in Section C)			
c	☑ Asset level			
d	☑ Medical indigency			
e	☑ Insurance status			
f	☑ Underinsurance discount			
g	☐ Residency			
h	☐ Other (describe in Section C)			
14	Explained the basis for calculating amounts charged to patients?	14	Yes	
15	Explained the method for applying for financial assistance?	15	Yes	
	If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply)			
a	☑ Described the information the hospital facility may require an individual to provide as part of his or her application			
b	☑ Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application			
c	☑ Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process			
d	☑ Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications			
e	☐ Other (describe in Section C)			
16	Included measures to publicize the policy within the community served by the hospital facility?	16	Yes	
	If "Yes," indicate how the hospital facility publicized the policy (check all that apply)			
a	☑ The FAP was widely available on a website (list url) SEE NARRATIVES FOR FULL URL			
b	☑ The FAP application form was widely available on a website (list url) SEE NARRATIVES FOR URL			
c	☑ A plain language summary of the FAP was widely available on a website (list url) SEE NARRATIVES FOR URL			
d	☑ The FAP was available upon request and without charge (in public locations in the hospital facility and by mail)			
e	☑ The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail)			
f	☑ A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail)			
g	☑ Notice of availability of the FAP was conspicuously displayed throughout the hospital facility			
h	☐ Notified members of the community who are most likely to require financial assistance about availability of the FAP			
i	☑ Other (describe in Section C)			

Billing and Collections

			Yes	No
17	Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon non-payment?	17	Yes	
18	Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP			
a	☐ Reporting to credit agency(ies)			
b	☐ Selling an individual's debt to another party			
c	☐ Actions that require a legal or judicial process			
d	☐ Other similar actions (describe in Section C)			
e	☑ None of these actions or other similar actions were permitted			

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Part V Facility Information *(continued)*

Name of hospital facility or letter of facility reporting group WHEATON FRANCISCAN INC

			Yes	No
19	Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP?	**19**		No
	If "Yes," check all actions in which the hospital facility or a third party engaged			
a	☐ Reporting to credit agency(ies)			
b	☐ Selling an individual's debt to another party			
c	☐ Actions that require a legal or judicial process			
d	☐ Other similar actions (describe in Section C)			
20	Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19 (check all that apply)			
a	☑ Notified individuals of the financial assistance policy on admission			
b	☑ Notified individuals of the financial assistance policy prior to discharge			
c	☑ Notified individuals of the financial assistance policy in communications with the individuals regarding the individuals' bills			
d	☑ Documented its determination of whether individuals were eligible for financial assistance under the hospital facility's financial assistance policy			
e	☐ Other (describe in Section C)			
f	☐ None of these efforts were made			
	Policy Relating to Emergency Medical Care			
21	Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy?	**21**	Yes	
	If "No," indicate why			
a	☐ The hospital facility did not provide care for any emergency medical conditions			
b	☐ The hospital facility's policy was not in writing			
c	☐ The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section C)			
d	☐ Other (describe in Section C)			
	Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals)			
22	Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care			
a	☐ The hospital facility used its lowest negotiated commercial insurance rate when calculating the maximum amounts that can be charged			
b	☑ The hospital facility used the average of its three lowest negotiated commercial insurance rates when calculating the maximum amounts that can be charged			
c	☐ The hospital facility used the Medicare rates when calculating the maximum amounts that can be charged			
d	☐ Other (describe in Section C)			
23	During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care?	**23**		No
	If "Yes," explain in Section C			
24	During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual?	**24**		No
	If "Yes," explain in Section C			

Part V **Facility Information** *(continued)*

Section C. Supplemental Information for Part V, Section B.
Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16i, 18d, 19d, 20e, 21c, 21d, 22d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

Form and Line Reference	Explanation
	WI-20-0154-A-000045

Part V Facility Information *(continued)*

Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility
(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? **9**

	Name and address	Type of Facility (describe)
1	WHEATON FRANCISCAN WAUWATOSA 201 N MAYFAIR RD WAUWATOSA, WI 53226	HOSPITAL BASED OUTPATIENT CNTR
2	WHEATON FRANCISCAN INC 19333 W NORTH AVENUE BROOKFIELD, WI 53045	REHABILITATION UNIT
3	WHEATON FRANCISCAN BROWN DEER 9252 N GREEN BAY RD BROWN DEER, WI 53209	HOSPITAL BASED OUTPATIENT CNTR
4	WHEATON FRANCISCAN INC 5025 W BURLEIGH ST MILWAUKEE, WI 53210	MEDICAL OFFICE BUILDING
5	WHEATON FRANCISCAN INC 3070 N 51ST ST MILWAUKEE, WI 53210	MEDICAL OFFICE BUILDING
6	WHEATON FRANCISCAN INC 19475 W NORTH AVE BROOKFIELD, WI 53045	MEDICAL OFFICE BUILDING
7	WHEATON FRANCISCAN INC 19305 W NORTH AVE BROOKFIELD, WI 53045	FRANCIS HOUSE
8	WHEATON FRANCISCAN INC 17000 W NORTH AVE BROOKFIELD, WI 53045	OUTPATIENT PERFORMANCE CENTER
9	WHEATON FRANCISCAN INC 19333 W NORTH AVE BROOKFIELD, WI 53045	PAVLIC CENTER
10		

Part VI Supplemental Information

Provide the following information

1 **Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7, Part II and Part III, lines 2, 3, 4, 8 and 9b

2 **Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B

3 **Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy

4 **Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves

5 **Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e g , open medical staff, community board, use of surplus funds, etc)

6 **Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served

7 **State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report

990 Schedule H, Supplemental Information

Form and Line Reference	Explanation
SCHEDULE H PT VI SUPPLEMENTAL INFORMATION	

Additional Data

Software ID:
Software Version:
EIN: 39-0816857
Name: WHEATON FRANCISCAN INC

Form 990 Schedule H, Part V Section A. Hospital Facilities

	Section A. Hospital Facilities (list in order of size from largest to smallest—see instructions) How many hospital facilities did the organization operate during the tax year? 3 Name, address, primary website address, and state license number	Licensed hospital	General medical & surgical	Children's hospital	Teaching hospital	Critical access hospital	Research facility	ER-24 hours	ER-other	Other (Describe)	Facility reporting group
1	WHEATON FRANCISCAN INC 5000 W CHAMBERS MILWAUKEE, WI 53210 HTTP //WWW MYWHEATON ORG/ST-JOSEPH 30 (WI)	X	X		X			X			1
2	WHEATON FRANCISCAN INC 10000 WEST BLUEMOUND ROAD WAUWATOSA, WI 53226 HTTP /WWW MYWHEATON ORG/WISCONsin-heart- 308 (WI)	X	X							HEART CARE	1
3	WHEATON FRANCISCAN INC 19333 W NORTH AVENUE BROOKFIELD, WI 53045 HTTP //WWW MYWHEATON ORG/ELMBROOK-MEMORI 183 (WI)	X	X					X			1

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Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☐ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	4a		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4c		No
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		No
b	Any related organization? If "Yes," on line 5a or 5b, describe in Part III	5b		No
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		No
b	Any related organization? If "Yes," on line 6a or 6b, describe in Part III	6b		No
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		No
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title	**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table							

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 39-0816857
Name: WHEATON FRANCISCAN INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
1 Saleem Aman MD Secretary / Treasurer - Direct	(i)	0	0	0	0	0	0	0
	(ii)	523,822		1,430	20,045	27,491	572,788	0
1 Sharon Baughman CNO Sr VP Patient Services	(i)	247,015	86,600	760	30,169	37,778	402,322	0
	(ii)	0	0	0	0	0	0	0
2 Susan Boland President Designee - Director	(i)	0	0	0	0	0	0	0
	(ii)	444,213	198,000	13,098	63,318	15,233	733,862	0
3 Lijian Cai Pharmacy Director	(i)	166,488	16,680	615	10,257	32,701	226,741	0
	(ii)	0	0	0	0	0	0	0
4 Manfred Chiang MD Director	(i)	0	0	0	0	0	0	0
	(ii)	616,631	6,250	2,088	14,261	33,043	672,273	0
5 Kumari Chintamaneni MD Director	(i)	0	0	0	0	0	0	0
	(ii)	415,730		6,249	19,680	22,492	464,151	0
6 Eric Christianson MD VP Medical Affairs North Marke	(i)	312,896	87,500	3,578	19,858	31,208	455,040	0
	(ii)	0	0	0	0	0	0	0
7 Lynn Grudzielanek Sr VP Operations North Market	(i)	218,878	75,000	420	23,484	10,168	327,950	0
	(ii)	0	0	0	0	0	0	0
8 Rita Hanson-Melzer MD Svp/Chief Medical Officer	(i)	0	0	0	0	0	0	0
	(ii)	447,175	219,500	12,638	63,129	26,508	768,950	0
9 Jerry Hardacre MD Director	(i)	0	0	0	0	0	0	0
	(ii)	410,881		1,964	26,792	33,193	472,830	0
10 Susan Jassak Admin Director - Cardio Srvcs	(i)	167,657	15,871	907	53,723	9,391	247,549	0
	(ii)	0	0	0	0	0	0	0
11 Mary Jo Kohout VP Patient Care Services	(i)	174,293	48,400	616	25,107	24,717	273,133	0
	(ii)	0	0	0	0	0	0	0
12 Thomas Mahn MD Secretary / Treasurer - Direct	(i)	0	0	0	0	0	0	0
	(ii)	402,490	0	3,254	20,045	32,928	458,717	0
13 Tod Poremski Physician Employee	(i)	0	0	0	0	0	0	0
	(ii)	173,321	0	1,822	12,647	17,504	205,294	0
14 Donald Roach MD Secretary/Treasuer - Director	(i)	0	0	0	0	0	0	0
	(ii)	206,920	0	1,519	21,158	19,802	249,399	0
15 Debra Standridge Vice Chairperson/President - D	(i)	0	0	0	0	0	0	0
	(ii)	476,398	236,500	16,753	69,344	23,471	822,466	0
16 Robert Stern MD VP Medical Affairs	(i)	336,911	93,900	5,490	20,156	25,387	481,844	0
	(ii)	0	0	0	0	0	0	0
17 Bryan Tollenaar Medical Physicist	(i)	206,468	0	508	11,074	25,790	243,840	0
	(ii)	0	0	0	0	0	0	0

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization	Employer identification number
WHEATON FRANCISCAN INC	39-0816857

990 Schedule O, Supplemental Information

Return Reference	Explanation
SCHEDULE O SUPPLEMENTAL INFORMATION	Part IV Lines 12a-12b and Part XII Lines 2a-2c Effective March 1, 2016, Wheaton Franciscan Healthcare organizations in the SE WI region were transferred to Ascension Health As such, all SE WI organization have been included in the consolidated Ascension audit report for the period March 1, 2016 June 30, 2016, and we have therefore answered NO, YES accordingly Part V Line 1a and Part VII Section B Line 1-2 Wheaton Franciscan Healthcare streamlined their reporting of IRS Forms 1099-MISC so that most 1099-MISC are now reported using the FEIN number of the parent organization or of a related organization The actual expense continues to be paid by, or transferred to, the individual entity which is normally a subsidiary or related organization to the organization(s) issuing the 1099 For this reason, the reader may notice on some 990s that there are top 5 independent contractors reported, but no 1099s are reported Likewise on the 990s of the organization(s) reporting number of 1099s, there may be a disproportionate share of 1099s reported as compared with the actual expenses and top 5 independent contractors reported Part VI Section A Line 4 The organizations Articles and Bylaws were amended effective March 1, 2016 to reflect the transition of sponsorship of Wheaton Franciscan Healthcare - Southeast Wisconsin, Inc and its subsidiaries to Ascension Health The corporate member of Wheaton Franciscan, Inc continues to be SE WI The board size for Wheaton Franciscan, Inc was also changed from not less than 3 and not more than 19 to not less than 9 and not more than 13 directors Part VI Section A Line 6-8b Wheaton Franciscan, Inc has one member which holds several reserved powers over the entity These reserved powers include, but are not limited to, the appointment and removal of board members and the board chair and approval of strategic plans, these approvals are based on recommendations from the governing body Part VI Section B Lines 11a and 11b Ministries that were affiliates of Wheaton Franciscan Healthcare during the fiscal year ending June 30, 2016 used a multiple-level review process on all IRS Forms 990 to ensure accurate and timely filing for all organizations Under the direction of the Tax Manager, the Accounting Departments in each region prepare Forms 990, 990-T, and associated state filings When complete, the return is first reviewed by a Senior-Level (or higher) associate in the Finance Department, who focuses on income statement and balance sheet items, and schedules where transactions of this type might be reported If discrepancies are found, the item will be corrected prior to the next step in the review process Once cleared through Finance, the return is provided to the Tax Department, where the Tax Manager concentrates primarily on consistency of reporting between all returns, accuracy of tax related information, and narrative explanation of any outliers Again, any problems or questions are investigated and corrected Depending on the level of complexity of the year in question, as well as the individual issues specific to that filing, certain returns may be selected for outside review by a public accounting firm This decision will vary from year to year based on many factors, and sometimes outside review is not utilized at all Also, certain schedules, such as Schedule H or Schedule J may be reviewed by committees, such as the Community Benefit Team or the Compensation Committee in selected years The board has also asked for formal presentations on various 990 topics over the years This decision will vary from year to year, again based on many factors Once all levels of review have been completed, the Tax Manager (or the designated employee in the applicable region) will schedule an appointment with the signer of the 990 This is normally a Senior Vice President or CFO of the applicable region, who will perform an additional, normally high level review prior to signing the return Once signed, the return is cleared to provide to members of the Board of Directors, who at a later date but prior to efiling, are provided access to all 990s throughout their assigned region via an online portal Additionally, as a courtesy, any individual who is listed on any 990 as a reportable individual will also receive access to the portal, where they can view the 990 if they so choose, prior to it being filed with the IRS Part VI Section B Lines 12a 12c The organization has a Conflict of Interest policy which states that if at any time, an officer or a director become aware that the board may discuss or act upon any transaction or arrangement which may have any bearing of any kind upon, or may relate in any manner to, a financial interest of the individual, the financial interest must be disclosed All associates of the organization must disclose a potential conflict of interest any time one arises The disclosures are reviewed and a determination is made as to whether a conflict of interest exists and how it might be managed Additionally, as part of an annual process, conflict of interest questionnaires are sent out to all Officers, Directors, and other individuals in key positions using software designed to capture this information The responses are analyzed in order to determine information on potential conflicts, as well as information on business and family relationships and other disclosures required to be made on IRS Forms 990 Responses to these questions are reviewed by the Vice President of Compliance and the Manager of Tax Compliance, and follow up action, if any, is documented within the software Non-responders are reminded of their outstanding disclosure requirement automatically through the software system Responses to questions continue to be reviewed and documented throughout this time period Approximately 1 month prior to the filing deadline of IRS Form 990, responses to date are compiled Any response requiring disclosure is entered into the information return The remaining non responder names are determined, and a letter, along with the actual Conflict of Interest Policy, is sent to the Chairperson of each board The letter lists current non responders, as well as any Officer or Board member that has disclosed a financial interest that might pose a potential conflict of interest Depending upon the nature of the financial interest and work done by the board, several actions may be considered first, the board member with a financial interest would need to voluntarily excuse him or herself from the deliberations and/or voting on such a matter If not, the board may, if necessary, determine that the subjects financial interest was an actual conflict of interest, in which case the board member would be informed by the board Chairperson that he or she would not be allowed to vote in any such matters due to this real or perceived conflict of interest Minutes of the board meeting would document this decision process, and reflect whatever action(s) are ultimately taken The board chairperson is also required to discuss with non responders the repercussions of not responding, and require the board member to complete the annual conflict of interest disclosure questions before being allowed to continue in any board matters If the board member refuses, the Chairperson has the authority to determine the appropriate action, including, but not limited to prohibiting them from participating in deliberations, preventing them from voting, and/or removing them as a board member Part VI Section B Lines 15b For calendar year 2015, the filing organization has paid individuals who served as either Officers or Key Employees during the reporting period These individuals have the following compensation structure The compensation for the organizations officers and key employees who are paid by the filing organization is reviewed and approved on an annual basis, as part of the WFSI Executive Compensation Plan, by the Executive Committee of the Wheaton Franciscan Services, Inc Board of Directors (the Executive Committee of the WFSI Board), an independent board, acting in a manner consistent with its conflicts of interest policy The WFSI Boards decision is informed by an opinion on market comparable compensation data provided to the WFSI Board by an independent compensation expert The WFSI Board maintains contemporaneous documentation of the substantiation of the deliberation and decisions it makes Part VI Section C Line 19 Ministries that were affiliates of Wheaton Franciscan Healthcare during the fiscal year ending June 30, 2016 provided upon request certain documents including our financial statements, conflict of interest policy, and governing documents that support our tax exempt status, including, but not limited to, articles of incorporation and bylaws During fiscal 2016, all ministries were transferred to new parent organizations and all organizations are currently under the ...on policy review and

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SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
WHEATON FRANCISCAN INC

Employer identification number
39-0816857

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
See Additional Data Table							

WI-20-0154-A-000055

Part III **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity? Yes	(i) No
(1) Wheaton Franciscan Enterprises Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1985204	HOLDING CO	WI	WF HOLDINGS INC	C CORP					No
(2) Wheaton Franciscan Holdings Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1836357	HOLDING CO	WI	WFH-SE WI	C CORP					No
(3) WFMG - Sussex Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1361100	MED GROUP	WI	WF HOLDINGS INC	C CORP					No
(4) Wheaton Franciscan Prov Network Inc (2 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1952140	PROVIDER CONTRACT	WI	WFH-SE WI	C CORP					No
(5) FMOB Condo Assoc Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 34-1983857	CONDO MGMT	WI	FMOB LLC	C CORP					No
(6) Wheaton Way Condo Owners Assoc Inc (2) 10101 SOUTH 27TH STREET FRANKLIN, WI 53132 30-0659830	CONDO ASSCN	WI	WFH-FRKLN	C CORP					No
(7) Wheaton Franciscan Insurance Company (4) PO BOX 69 GT GEORGETOWN, GRAND CAYMAN CJ 98-0691609	FINANCIAL	UK	WFSI	Other					No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

		Yes	No
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule			
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity	**1a**		No
b Gift, grant, or capital contribution to related organization(s)	**1b**	Yes	
c Gift, grant, or capital contribution from related organization(s)	**1c**	Yes	
d Loans or loan guarantees to or for related organization(s)	**1d**	Yes	
e Loans or loan guarantees by related organization(s)	**1e**	Yes	
f Dividends from related organization(s)	**1f**		
g Sale of assets to related organization(s)	**1g**		No
h Purchase of assets from related organization(s)	**1h**		No
i Exchange of assets with related organization(s)	**1i**	Yes	
j Lease of facilities, equipment, or other assets to related organization(s)	**1j**	Yes	
k Lease of facilities, equipment, or other assets from related organization(s)	**1k**	Yes	
l Performance of services or membership or fundraising solicitations for related organization(s)	**1l**	Yes	
m Performance of services or membership or fundraising solicitations by related organization(s)	**1m**	Yes	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	**1n**	Yes	
o Sharing of paid employees with related organization(s)	**1o**	Yes	
p Reimbursement paid to related organization(s) for expenses	**1p**	Yes	
q Reimbursement paid by related organization(s) for expenses	**1q**	Yes	
r Other transfer of cash or property to related organization(s)	**1r**	Yes	
s Other transfer of cash or property from related organization(s)	**1s**	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) WF - ST JOSEPH FOUNDATION INC	C	410,372	FMV
(2) WF - ELMBROOK MEMORIAL FOUNDATION	C	98,512	FMV

WI-20-0154-A-000057

Part VI **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
Schedule R Supplemental Information	(1) Effective March 1, 2016, organizations in the Marianjoy region were transferred from Wheaton Franciscan Services, Inc to Northwestern Memorial Healthcare, and as a result, ceased to be related as of this date (2) Effective March 1, 2016, organizations in the Southeast Wisconsin region were transferred from Wheaton Franciscan Services, Inc to Ascension Health, and as a result, new organizations became related as of this date (3) Effective May 1, 2016, organizations in the Iowa region were transferred from Wheaton Franciscan Services, Inc to Mercy Health Network, and as a result of the SE WI transfer to Ascension Health, ceased to be related as of March 1, 2016 (4) Effective March 1, 2016, organizations in the Wheaton Franciscan Services, Inc and Wheaton Franciscan Sisters Foundation, Inc region were transferred to Wheaton Franciscan Sisters Corporation and as a result, ceased to be related as of this date (5) Effective March 1, 2016, organizations in the Wheaton Franciscan Services, Inc and Wheaton Franciscan Sisters Foundation, Inc region were transferred to Wheaton Franciscan Sisters Corporation and were considered unrelated as of this date Simultaneously with this transfer, Wheaton Franciscan Sisters Foundation, Inc was removed as the corporate sponsor of Franciscan Sisters of Colorado, Inc , and the organization continued independently (6) Effective May 4, 2016, O S F Services, Inc changed its legal name to Wheaton Franciscan Sisters Foundation, Inc (7) Effective June 9, 2016, Canticle Ministries, Inc was dissolved, and as such, this will be it's final 990 and the final year it will be reported as a related organization through March 1, 2016 (8) UHS, Inc is listed without a direct controlling entity because of the two organizations with an interest, KHMC Community Board and Wheaton Franciscan Sisters Foundation, Inc , neither can appoint a majority of the board, and since KHMC is not an entity (it is a community board), neither body "controls" (9) Effective March 1, 2016, organizations in the Wheaton Franciscan Services, Inc and Wheaton Franciscan Sisters Foundation, Inc region were transferred to Wheaton Franciscan Sisters Corporation and ceased to be considered related as of this date Effective December 31, 2016 (after the close of the current reporting year), Wheaton Franciscan Sisters Foundation, Inc was removed as the corporate sponsor of S E T Ministries, Inc , and future of the organization is unknown until a new corporate sponsor is determined (10) Indianapolis Manor 1, Inc was incorporated on September 25, 2015 and was granted exempt status effective November 5, 2015 (11) Kokomo Manor, Inc was incorporated on September 25, 2015 and was granted exempt status effective April 4, 2016 (12) Indianapolis Manor 2, Inc was incorporated on September 25, 2015 and was granted exempt status effective November 5, 2015 (13) Paducah Ministries 1, Inc was incorporated on September 25, 2015 and was granted exempt status effective April 15, 2016 (14) Princeton Ministries 4, Inc was incorporated on September 25, 2015 and was granted exempt status effective March 21, 2016 (15) Richardson Ministries, Inc was incorporated on September 25, 2015 and was granted exempt status effective January 15, 2016 (16) Effingham Ministries, Inc was incorporated on September 25, 2015 and was granted exempt status effective December 21, 2015 (17) Moline Ministries 1, Inc was incorporated on September 25, 2015 and was granted exempt status effective April 6, 2016 (18) Moline Ministries 2, Inc was incorporated on September 25, 2015 and was granted exempt status effective March 30, 2016 (19) Lake Wales Ministries, Inc was incorporated on September 25, 2015 and was granted exempt status effective January 7, 2016 (20) Pendleton Ministries 2, Inc was incorporated on September 29, 2015 and was granted exempt status effective May 12, 2016 (21) Tucson Ministries, Inc was incorporated on September 25, 2015 and was granted exempt status effective February 3, 2016 (22) Phoenix Ministries 3, Inc was incorporated on September 25, 2015 and was granted exempt status effective March 16, 2016 (23) Davenport Ministries, Inc was incorporated on September 29, 2015 and was granted exempt status effective January 15, 2016 (24) Alexandria Manor, Inc was incorporated on September 25, 2015 and was granted exempt status effective February 2, 2016 (25) Effective January 31, 2016, assets of Villa St Clare, Inc were sold to an unrelated party, and the entity will subsequently be dissolved (26) Effective November 1, 2016 (after the close of the current reporting year), a 25% ownership percentage in UHS, Inc was transferred from Wheaton Franciscan Sisters Foundation, Inc to UHS, Inc making them 100% owner of St Catherine's Hospital, Inc and United Hospital System, Inc With the transfer of the SE WI organizations to Ascension Heatlh, the organizations under UHS, Inc ceased to be considered related as of March 1, 2016 (27) Effective December 22, 2016 (after the close of the current reporting year), Franciscan Ministries Community Foundation, Inc was dissolved With the transfer of the SE WI organizations to Ascension Health, this organization ceased to be considered related as of March 1, 2016

Additional Data

Software ID:
Software Version:
EIN: 39-0816857
Name: WHEATON FRANCISCAN INC

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
Wheaton Franciscan Services Inc (4) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 36-3262111	PARENT CORP	IL	501(c)(3)	11 - III-FI	NA		No
Ascension Health Alliance (2) PO Box 45998 St Louis, MO 63145 45-3358926	National Heal	MO	501(c)(3)	11-I	NA		No
Ascension Health (2) PO Box 45998 St Louis, MO 63145 31-1662309	National Heal	MO	501(c)(3)	11-I	Ascension He		No
WFH - Southeast Wisconsin Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1568865	HOLDING CO	IL	501(c)(3)	11 - III-FI	WFSIAscensi		No
WFH - All Saints Inc (2) 3801 SPRING STREET RACINE, WI 53405 39-1264986	HOSPITAL	WI	501(c)(3)	3	WFH-SE WI		No
WFH - All Saints Foundation Inc (2) 3805B SPRING STREET RACINE, WI 53405 39-1570877	FOUNDATION	WI	501(c)(3)	11 - I (7)	WFH-AS INC		No
Vlntrs in Pnrshp w WFH-All Saints (2) 3807 SPRING STREET RACINE, WI 53405 93-0838390	FOUNDATION	WI	501(c)(3)	11 - III-NF	WFH-AS INC		No
WFH - Circle of Life Foundation (2) 4300 WEST BROWN DEER RD STE 250 BROWN DEER, WI 53223 56-2426294	FOUNDATION	WI	501(c)(3)	11 - I	WFH-PE		No
WF Home Health & Hospice Inc (2) 3070 NORTH 51ST STREET STE 406 MILWAUKEE, WI 53210 39-1559428	HOME HLTH	WI	501(c)(3)	3	WFH-SE WI		No
WF Medical Group Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1791586	MED GROUP	WI	501(c)(3)	3	WFH-SE WI		No
WF - Elmbrook Memorial Fndn Inc (2) 19333 WEST NORTH AVENUE BROOKFIELD, WI 53045 39-2028808	FOUNDATION	WI	501(c)(3)	11 - I	WF INC	Yes	
WF Laboratories Inc (2) 3237 SOUTH 16TH STREET MILWAUKEE, WI 53215 39-1701402	LABORATORY	WI	501(c)(3)	9	WFH-SE WI		No
WFH - Pharmacy Ent & Fran Woods (2) 19525 WEST NORTH AVENUE BROOKFIELD, WI 53005 39-1613624	PHARMACY	WI	501(c)(3)	9	WFH-SE WI		No
WFH - St Francis Inc (2) 3237 SOUTH 16TH STREET MILWAUKEE, WI 53215 39-0907740	HOSPITAL	WI	501(c)(3)	3	WFH-SE WI		No
WF - St Joseph Foundation Inc (2) 5000 WEST CHAMBERS STREET MILWAUKEE, WI 53210 39-1636804	FOUNDATION	WI	501(c)(3)	11 - I	WF INC	Yes	
WFH - Fndn for St Francis & Franklin (2) 3237 SOUTH 16TH STREET MILWAUKEE, WI 53215 32-0135258	FOUNDATION	WI	501(c)(3)	11 - I	WFH-SFH		No
WFH - Elmbrook Memorial Auxiliary (2) 19333 WEST NORTH AVENUE BROOKFIELD, WI 53045 39-6068950	AUXILIARY	WI	501(c)(3)	11 - III-FI	WF INC	Yes	
WFH - Terrace at St Francis Inc (2) 3200 SOUTH 20TH STREET MILWAUKEE, WI 53215 39-1486775	NURSING HOME	WI	501(c)(3)	9	WFH-SE WI		No
WFH - Franklin Inc (2) 10101 SOUTH 27TH STREET FRANKLIN, WI 53132 56-2592868	HOSPITAL	WI	501(c)(3)	3	WFH-SE WI		No
Metro Physicians Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 94-3436893	MED GROUP	WI	501(c)(3)	3	WFMG		No

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
Marianjoy Inc (1) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 36-3483589	HOLDING CO	IL	501(c)(3)	11 - III-FI	WFSI		No
Marianjoy Foundation Inc (1) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 35-2165613	FOUNDATION	IL	501(c)(3)	7	MJ HOSP		No
Marianjoy Rehab Center Auxiliary (1) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 36-3896976	AUXILIARY	IL	501(c)(3)	11 - I	MJ HOSP		No
Marianjoy Rehab Hospital & Clinics (1) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 36-2680776	REHAB HOSPITA	IL	501(c)(3)	3	MARIANJOY		No
Rehabilitation Medicine Clinic (1) 26 W 171 ROOSEVELT RD WHEATON, IL 60187 36-3236791	MEDICAL GRP	IL	501(c)(3)	3	MARIANJOY		No
WF Sisters Fndn (fka OSF) (4) (6) PO Box 667 WHEATON, IL 601870667 39-1471463	HOLDING CO	WI	501(c)(3)	11 - III-FI	WFSI		No
Canticle Ministries Inc (4) (7) PO Box 667 WHEATON, IL 601870667 36-4091836	HOUSING/ADVCY	IL	501(c)(3)	7	OSF SVCS INC		No
Fran Sisters Charitable Fund of CO (5) 2626 OSCEOLA STREET DENVER, CO 80212 84-0733072	AUXILIARY	CO	501(c)(3)	7	OSF SVCS INC		No
SET Ministry Inc (9) 2977 NORTH 50TH STREET MILWAUKEE, WI 53210 39-1618277	SOCIAL WORK	WI	501(c)(3)	9	OSF SVCS INC		No
St Catherines Hospital Inc (4) (26) 9555 76TH STREET PLEASANT PRAIRIE, WI 53158 39-0855075	HOSPITAL	WI	501(c)(3)	3	OSF SVCS INC		No
UHS Inc (4) (8) (26) 6308 EIGHTH AVENUE KENOSHA, WI 53143 39-1956749	HOSPITAL	WI	501(c)(3)	3	NONE		No
Upendo Village NFP (4) PO Box 667 WHEATON, IL 601870667 33-1007368	HIV SUPPORT	IL	501(c)(3)	9	OSF SVCS INC		No
WF Healthcare - Iowa Inc (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1177001	HOLDING CO	IA	501(c)(3)	11 - III-FI	WFSI		No
Covenant Foundation Inc (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1295784	FOUNDATION	IA	501(c)(3)	9	COV MED CTR		No
Covenant Medical Center Inc (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1264647	HOSPITAL	IA	501(c)(3)	3	WFH-IOWA		No
Mercy Hospital of Fran Sisters Inc (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1178403	HOSPITAL	IA	501(c)(3)	3	WFH-IOWA		No
NE Iowa Real Estate Invsts (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1207432	HOLDING CO	IA	501(c)(2)	N/A	WFH-IOWA		No
Sartori Health Care Foundation Inc (3) 3421 WEST NINTH STREET WATERLOO, IA 50702 42-1240996	FOUNDATION	IA	501(c)(3)	11 - I	SARTORI HOSP		No
Sartori Memorial Hospital Inc (3) 515 COLLEGE STREET CEDAR FALLS, IA 50613 42-0758901	HOSPITAL	IA	501(c)(3)	3	WFH-IOWA		No
Franciscan Ministries Inc (4) 26W171 ROOSEVELT ROAD WHEATON, IL 601870667 36-3259684	HOLDING CO	IL	501(c)(3)	11 - III-FI	WFSI		No

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
Assisi Homes - Batavia Aprtmnts (4) 1259 EAST WILSON STREET BATAVIA, IL 60510 36-3914084	HOUSING	IL	501(c)(3)	9	FMI		No
Assisi Homes - Colony Park Inc (4) 550 EAST THORNHILL DRIVE CAROL STREAM, IL 60188 36-4039278	HOUSING	IL	501(c)(3)	9	FMI		No
Assisi Homes - Cnstn House Inc (4) 401 NORTH CONSTITUTION DRIVE AURORA, IL 60506 36-4049150	HOUSING	IL	501(c)(3)	9	FMI		No
Assisi Homes - Jefferson Court Inc (4) 415 EAST KNAPP STREET MILWAUKEE, WI 53202 39-1771526	HOUSING	WI	501(c)(3)	9	FMI		No
Assisi Homes - Kenosha Inc (4) 1860 27TH AVENUE KENOSHA, WI 53140 39-1814815	HOUSING	WI	501(c)(3)	9	FMI		No
Assisi Homes - Saxony Inc (4) 1876 22ND AVENUE KENOSHA, WI 53140 39-1790498	HOUSING	WI	501(c)(3)	9	FMI		No
Assisi Homes of Gurnee Inc (4) 3495 WEST GRAND AVENUE GURNEE, IL 60031 36-3942336	HOUSING	IL	501(c)(3)	9	FMI		No
Assisi Homes of Illinois Inc (4) 2126 WEST ROOSEVELT ROAD WHEATON, IL 60187 36-3803443	HOUSING	IL	501(c)(3)	9	FMI		No
Assisi Homes of Neenah Inc (4) 210 BYRD AVENUE NEENAH, WI 54946 36-3767250	HOUSING	WI	501(c)(3)	9	FMI		No
Canticle Place Inc (4) 26W171 ROOSEVELT ROAD WHEATON, IL 601870667 36-3957850	HOUSING	IL	501(c)(3)	9	FMI		No
Catherine Marian Housing Inc (4) 806 SOUTH WISCONSIN AVENUE RACINE, WI 53403 39-1657098	HOUSING	WI	501(c)(3)	9	FMI		No
Clare Gardens Inc (4) 2626 OSCEOLA STREET DENVER, CO 80212 23-7200039	HOUSING	CO	501(c)(3)	9	FMI		No
Clare of Assisi Homes - Westminster (4) 2451 WEST 82ND PLACE WESTMINSTER, CO 80031 74-2740978	HOUSING	CO	501(c)(3)	9	FMI		No
Dayspring Villa Inc (4) 3777 WEST 26TH AVENUE DENVER, CO 80211 36-3933908	HOUSING	CO	501(c)(3)	9	FMI		No
Francis Heights Inc (4) 2626 OSCEOLA STREET DENVER, CO 80212 84-0626174	HOUSING	CO	501(c)(3)	9	FMI		No
Franciscan Ministries Comm Fndn (4) (27) 26W171 ROOSEVELT ROAD WHEATON, IL 601870667 36-4456204	FOUNDATION	IL	501(c)(3)	11 - I	FMI		No
Marian Housing Center Inc (4) 4105 SPRING STREET RACINE, WI 53405 39-1515867	HOUSING	WI	501(c)(3)	9	FMI		No
Marian Park Inc (4) 2126 WEST ROOSEVELT ROAD WHEATON, IL 60187 36-2750105	HOUSING	IL	501(c)(3)	9	FMI		No
Ridgeway Place Inc (4) 155 EAST RIDGEWAY AVENUE WATERLOO, IA 50702 42-1416064	HOUSING	IA	501(c)(3)	9	FMI		No
Villa Maria Inc (4) 2461 WEST 82ND PLACE WESTMINSTER, CO 80031 84-1347868	HOUSING	CO	501(c)(3)	9	FMI		No

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity? Yes	No
Alexandria Manor Inc (4) (24) 600 East Jackson St Unit M-50 Alexandria, IN 46001 47-5177987	HOUSING	IN	501(c)(3)	9	FMI		No
Indianapolis Manor 1 Inc (4) (10) 7950 Harcourt Rd Indianapolis, IN 46260 47-5178092	HOUSING	IN	501(c)(3)	9	FMI		No
Kokomo Manor Inc (4) (11) 510 Elk Dr Kokomo, IN 46902 47-5189624	HOUSING	IN	501(c)(3)	9	FMI		No
Indianapolis Manor 2 Inc (4) (12) 1840 Perkins Ave Indianapolis, IN 46203 47-5178185	HOUSING	IN	501(c)(3)	9	FMI		No
Paducah Ministries 1 Inc (4) (13) 650 College Ave 77 Paducah, KY 42001 47-5203278	HOUSING	KY	501(c)(3)	9	FMI		No
Princeton Ministries 4 Inc (4) (14) 655 Grace Court Princeton, KY 42445 47-5202983	HOUSING	KY	501(c)(3)	9	FMI		No
Richardson Ministries Inc (4) (15) 500 Rockingham Lane Richardson, TX 75080 47-5202868	HOUSING	TX	501(c)(3)	9	FMI		No
Effingham Ministries Inc (4) (16) 512 Hendelmeyer Effingham, IL 62401 47-5190275	HOUSING	IL	501(c)(3)	9	FMI		No
Moline Ministries 1 Inc (4) (17) 4201 22nd Street Moline, IL 61265 47-5216971	HOUSING	IL	501(c)(3)	9	FMI		No
Moline Ministries 2 Inc (4) (18) 4201 22nd Street Moline, IL 61265 47-5217175	HOUSING	IL	501(c)(3)	9	FMI		No
Lake Wales Ministries Inc (4) (19) 504 South 4th Street Lake Wales, FL 33853 47-5190723	HOUSING	FL	501(c)(3)	9	FMI		No
Pendleton Ministries 2 Inc (4) (20) 950 Cherry St G-1 Pendleton, SC 29670 47-5247951	HOUSING	SC	501(c)(3)	9	FMI		No
Tucson Ministries Inc (4) (21) 4131 North Western Winds Drive Tucson, AZ 85705 47-5239406	HOUSING	AZ	501(c)(3)	9	FMI		No
Phoenix Ministries 3 Inc(4) (22) 7220 North 27th Ave Phoenix, AZ 85051 47-5217326	HOUSING	AZ	501(c)(3)	9	FMI		No
Davenport Ministries Inc (4) (23) 7218 Hillandale Rd Apt 1 Davenport, IA 52806 47-5227048	HOUSING	IA	501(c)(3)	9	FMI		No
Villa St Clare Inc (4) (25) 130 BYRD AVENUE NEENAH, WI 54946 39-1769395	HOUSING	WI	501(c)(3)	9	FMI		No
Assisi Homes - La Salle Manor Inc (4) 26W171 ROOSEVELT ROAD WHEATON, IL 601890795 80-0623447	HOUSING	IL	501(c)(3)	9	FMI		No

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) No
(1) Wheaton Franciscan Enterprises Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1985204	HOLDING CO	WI	WF HOLDINGS INC	C CORP					No
(1) Wheaton Franciscan Holdings Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1836357	HOLDING CO	WI	WFH-SE WI	C CORP					No
(2) WFMG - Sussex Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1361100	MED GROUP	WI	WF HOLDINGS INC	C CORP					No
(3) Wheaton Franciscan Prov Network Inc (2 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 39-1952140	PROVIDER CONTRACT	WI	WFH-SE WI	C CORP					No
(4) FMOB Condo Assoc Inc (2) 400 WEST RIVER WOODS PARKWAY GLENDALE, WI 53212 34-1983857	CONDO MGMT	WI	FMOB LLC	C CORP					No
(5) Wheaton Way Condo Owners Assoc Inc (2) 10101 SOUTH 27TH STREET FRANKLIN, WI 53132 30-0659830	CONDO ASSCN	WI	WFH-FRKLN	C CORP					No
(6) Wheaton Franciscan Insurance Company (4) PO BOX 69 GT GEORGETOWN, GRAND CAYMAN CJ 98-0691609	FINANCIAL	UK	WFSI	Other					No

2. A current and valid identification card issued under s. 343.50.

3. Subject to s. 66.0438, any other official identification card or license issued by a Wisconsin governmental body or unit.

4. An official identification card or license issued by an employer in the normal course of business that contains a photograph of the cardholder or license holder, but not including a business card.

5. A real property tax bill or receipt for the current year or the year preceding the date of the election.

6. Except as provided in sub. (2), a residential lease.

7. Any of the following documents without the address specified in par. (b):

a. A university, college, or technical college identification card that contains a photograph of the cardholder together with a fee payment receipt issued to the cardholder by the university, college, or technical college dated no earlier than 9 months before the date of the election at which the receipt is presented.

b. An identification card issued by a university, college, or technical college that contains a photograph of the cardholder if the university, college, or technical college that issued the card provides a certified and current list of students who reside in housing sponsored by the university, college, or technical college and who are U.S. citizens to the municipal clerk prior to the election showing the current address of the students and if the municipal clerk, election registration official, or inspector verifies that the student presenting the card is included on the list.

NOTE: In One Wisconsin Institute, Inc. v. Thomsen, 15–cv–324, 198 F. Supp. 3d 896 (2016), the U.S. District Court for the Western District of Wisconsin ordered that "the requirement that "dorm lists" to be used as proof of residence include citizenship information is unconstitutional."

8. A utility bill for the period commencing not earlier than 90 days before the day registration is made.

9. A bank statement.

10. A paycheck.

11. A check or other document issued by a unit of government.

12. For an occupant of a residential care facility, as defined in s. 6.875 (1) (bm), for the purpose of registering at the facility, a contract or intake document prepared by the residential care facility that specifies that the occupant currently resides in the facility. The contract or intake document may also identify the room or unit in which the occupant resides

13. An identification card issued by a federally recognized Indian tribe in this state.

(b) Except as provided in par. (a) 7., the identifying documents prescribed in par. (a) shall contain all of the following in order to be considered proof of residence:

1. A current and complete name, including both the given and family name.

2. A current and complete residential address, including a numbered street address, if any, and the name of a municipality.

(c) Identifying documents specified in par. (a) which are valid for use during a specified period must be valid on the day that an elector makes application for registration in order to constitute proof of residence.

(4) The commission shall maintain a system that electronically verifies, on an instant basis, information specified under sub. (3) (b) from the information submitted in lieu of proof of residence under sub. (2m), using the information maintained by the department of transportation pursuant to the commission's agreement with the secretary of transportation under s. 85.61 (1). If a prospective elector enters information specified under sub. (3) (b) 2. into the system that does not match such information maintained by the department of transportation, the system shall redirect the elector to the department of transportation's Internet site so that the elector may update his or her information with the department of transportation.

History: 2005 a. 451 ss. 40, 43, 44; 2011 a. 23; 2013 a. 182; 2015 a. 261, 374; 2017 a. 226; 2017 a. 365 s. 111; 2017 a. 369.

6.35 Filing registration forms. (1) Under the direction of the municipal clerk or board of election commissioners, the original registration forms shall be filed in one of the following ways, except as provided in subs. (1m) and (2):

(a) In alphabetical order of the electors' names.

(b) In alphabetical order according to street names, in numerical order on each street and in alphabetical order of the electors' names at each address on the street.

(1m) Original registration forms of electors who have obtained a confidential listing under s. 6.47 (2) shall be filed in alphabetical order after the forms of the other electors.

(2) The commission shall prescribe, by rule, the procedure and methods by which municipal clerks and boards of election commissioners shall maintain records of registrations that are entered electronically under s. 6.30 (5).

(3) Original registration forms shall be maintained in the office of the municipal clerk or board of election commissioners at all times.

History: 1971 c. 249; 1971 c. 304 s. 29 (2); 1971 c. 336; 1975 c. 85; 1977 c. 394; 1983 a. 484; 1985 a. 304; 1989 a. 192; 1999 a. 49; 2003 a. 265, 326; 2015 a. 261.

6.36 Official registration list. (1) (a) The commission shall compile and maintain electronically an official registration list. The list shall contain all of the following:

1. The name and address of each registered elector in the state.

2. The elector's date of birth.

3. The ward and aldermanic district of the elector, if any.

4. For each elector, a unique registration identification number assigned by the commission.

5. The number of a valid operator's license issued to the elector under ch. 343, if any, or the last 4 digits of the elector's social security account number, if any.

6. Any identification serial number issued to the elector under s. 6.47 (3).

7. The date of any election in which the elector votes.

8. An indication of whether the elector is an overseas elector, as defined in s. 6.24 (1).

9. Any information relating to the elector that appears on the current list transmitted to the commission by the department of corrections under s. 301.03 (20m).

10. An indication of any accommodation required under s. 5.25 (4) (a) to permit voting by the elector.

11. An indication of the method by which the elector's registration form was received.

12. An indication of whether the elector was required under s. 6.34 to provide proof of residence and, if so, the type of identifying document submitted as proof of residence, the name of the entity or institution that issued the identifying document, and, if the identifying document included a number that applies only to the individual holding that document, up to the last 4 digits of that number. If the number on the identifying document submitted by the elector had 6 or fewer digits, the list under this paragraph may not contain more than the last 2 digits of that number.

A

13. A separate column indicating the date on which an elector applied to vote by in–person absentee ballot.

14. Separate columns indicating the date on which the clerk mailed an absentee ballot to an elector and the date on which the elector returned the absentee ballot.

15. A separate column indicating the polling location associated with each elector's address and ward or aldermanic district, if any.

Page 1

A

16. A separate column indicating the mailing address for the municipal clerk associated with each polling location identified under subd. 15.

(ae) 1. The chief election officer shall enter into a membership agreement with Electronic Registration Information Center, Inc., for the purpose of maintaining the official registration list under this section. Prior to entering into an agreement under this subdivision, the chief election officer shall ensure that the agreement satisfies all of the following conditions:

B

ERIC membership

a. It safeguards the confidentiality of information or data in the registration list that may be subject to transfer under the agreement and to which access is restricted under par. (b) 1. a.

b. It prohibits the sale or distribution of the information or data in the registration list to a 3rd–party vendor and it prohibits any other action not associated with administration of or compliance with the agreement.

c. It does not affect the exemption for this state under the national voter registration act.

d. It allows the state to make contact with electors by electronic mail, whenever possible.

2. If the chief election officer enters into an agreement under subd. 1., the chief election officer shall comply with the terms of the agreement, including the transmission of information and data related to the registration of electors in this state to the Electronic Registration Information Center, Inc., for processing and sharing with other member states and governmental units.

(am) The list under par. (a) may contain such other information as may be determined by the commission to facilitate administration of elector registration requirements.

(b) 1. The list shall be open to public inspection under s. 19.35 (1) and shall be electronically accessible by any person, except that:

a. Except as provided in pars. (ae), (bm), and (bn), no person other than an employee of the commission, a county clerk, a deputy county clerk, an executive director of a county board of election commissioners, a deputy designated by the executive director, a municipal clerk, a deputy municipal clerk, an executive director of a city board of election commissioners, or a deputy designated by the executive director may view the date of birth, operator's license number, or social security account number of an elector, the address of an elector to whom an identification serial number is issued under s. 6.47 (3), or any indication of an accommodation required under s. 5.25 (4) (a) to permit voting by an elector.

b. No person other than an employee of the commission, a municipal clerk, or an election official who is authorized by a municipal clerk may make a change in the list.

2. The list shall be electronically accessible by name and shall also be accessible in alphabetical order of the electors' names for the entire state and for each county, municipality, ward, and combination of wards authorized under s. 5.15 (6) (b).

(bm) The commission or any municipal clerk or board of election commissioners may transfer any information in the registration list to which access is restricted under par. (b) 1. a. to a law enforcement agency, as defined in s. 165.77 (1) (b), to be used for law enforcement purposes.

(bn) The commission may transfer any information in the registration list to which access is restricted under par. (b) 1. a. to a subunit of the state government of another state to be used for official purposes.

(c) The list shall be designed in such a way that the municipal clerk or board of election commissioners of any municipality and any election official who is authorized by the clerk or executive director of the board of election commissioners may, by electronic transmission, add entries to or change entries on the list for any elector who resides in, or who the list identifies as residing in, that municipality and no other municipality.

C

Not ERIC

(d) Upon receipt of official notification by the appropriate election administrative authority of another state, territory, or possession that an elector whose name appears on the list has registered to vote in that state, territory, or possession, the commission or the municipal clerk of the municipality where the elector formerly resided shall change the elector's registration from eligible to ineligible status.

(e) If the commission adds the name of any elector to the list, the commission shall promptly notify the municipal clerk of the municipality where the elector resides. If the commission changes the registration of any elector from eligible to ineligible status, the commission shall promptly notify the municipal clerk of the municipality where the elector resides or, if the elector has changed his or her residence from one municipality to another municipality in this state, shall promptly notify the municipal clerk of the municipality where the elector resided prior to the change. Notification shall be made in writing or by electronic transmission. If the commission changes the registration of any elector from eligible to ineligible status, the commission shall make an entry on the list giving the date of and the reason for the change.

(f) The commission shall make all reasonable efforts to ensure that the list is maintained in a manner that precludes unauthorized persons from making alterations to the list.

(2) (a) Except as provided in par. (b), each registration list prepared for use as a poll list at a polling place or for purposes of canvassing absentee ballots at an election shall contain the full name and address of each registered elector; a blank column for the entry of the serial number of the electors when they vote or the poll list number used by the municipal board of absentee ballot canvassers in canvassing absentee ballots; an indication next to the name of each elector for whom proof of residence under s. 6.34 is required; a space for entry of the type of and the name of the entity or institution that issued the identifying document submitted by the elector as proof of residence when proof of residence under s. 6.34 is required; a space for entry of the elector's signature, or if another person signed the elector's registration form for the elector by reason of the elector's physical disability, the word "exempt"; and a form of certificate bearing the certification of the commission administrator stating that the list is a true and complete registration list of the municipality or the ward or wards for which the list is prepared. The commission shall, by rule, prescribe the space and location for entry of each elector's signature on the poll list which shall provide for entry of the signature without changing the orientation of the poll list from the orientation used by the election officials.

(b) If an elector obtains a confidential listing under s. 6.47 (2), the registration list shall be prepared such that the address of the elector does not appear on copies of the list that are used at polling places.

(c) The list shall contain, next to the name of each elector, an indication of whether proof of residence under s. 6.34 is required for the elector to be permitted to vote. If proof of residence is provided, the type of identifying document submitted by the elector and the name of the entity or institution that issued the identifying document, or an indication that the information provided by the elector in lieu of proof of residence was verified under s. 6.34 (2m), shall be entered on the list in the space provided. Except as provided in s. 6.34 (2m), proof of residence is required if the elector is not a military elector or an overseas elector and the elector registers by mail or by electronic application and has not previously voted in an election in this state.

(3) The original registration forms shall be controlling whenever discrepancies occur in entering information from the forms under s. 6.33 (5).

(4) The names and identification serial numbers of electors who have obtained a confidential listing under s. 6.47 (2) shall

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appear separately after the remainder of the list. These names and serial numbers shall be arranged alphabetically by last name.

(6) The commission shall establish by rule the fee for obtaining a copy of the official registration list, or a portion of the list, including access to the subscription service established under s. 5.05 (14) (b). The amount of the fee shall be set, after consultation with county and municipal election officials, at an amount estimated to cover both the cost of reproduction and the cost of maintaining the list at the state and local level. The rules shall require that revenues from fees received be shared between the state and municipalities or their designees under s. 6.33 (5) (b), and shall specify a method for such allocation

History: 1971 c. 304 s. 29 (2); 1975 c. 85, 1977 c. 394 ss. 21, 22, 53; 1999 a. 49; 2003 a. 265, 327; 2005 a. 451, 2007 a. 1, 52, 96; 2011 a. 23, 75, 2013 a. 182; 2015 a. 118 ss. 73 to 75, 266 (10); 2015 a. 261, 2017 a. 366.

Cross–reference: See also s. EL 3.50, Wis. adm. code.

6.45 Access to registration list. **(1)** After the deadline for revision of the registration list, the municipal clerk shall make copies of the list for election use.

(1m) The registration list and any supplemental lists which are prepared at polling places or other registration locations under s. 6.55, shall be open to public inspection. Under the regulations prescribed by the municipal clerk, any person may copy the registration list at the office of the clerk. A registration list maintained at a polling place may be examined by any person who is observing the proceedings under s. 7.41 when such use does not interfere with the conduct of the election. This subsection does not apply to information that is confidential under s. 6.47.

(2) The municipal clerk shall furnish upon request to each candidate who has filed nomination papers for an office which represents at least part of the residents of the municipality one copy of the current registration list for those areas for which he or she is a candidate for a fee not to exceed the cost of reproduction. The clerk shall exclude information that is confidential under s. 6.47 (2) from copies of the list, except as authorized under s. 6.47 (8).

History: 1975 c. 85, 199; 1977 c. 394 s. 53; 1983 a. 484; 1989 a. 192, 1999 a. 49, 182; 2001 a. 38; 2013 a. 166.

Cross–reference: See also s. EL 3.50, Wis. adm. code.

6.46 Poll lists; copying. **(1)** Poll lists shall be preserved by the municipal clerk until destruction or other disposition is authorized under s. 7.23.

(2) Poll lists shall be open to public inspection, except as provided in s. 6.47. The municipal clerk shall furnish upon request to each candidate who has filed nomination papers for an office which represents at least part of the municipality one copy of the current poll list for those areas for which he or she is a candidate for a fee not to exceed the cost of reproduction. If a copying machine is not accessible, the clerk shall remove the lists from the office for the purposes of copying, and return them immediately thereafter. The clerk shall exclude information that is confidential under s. 6.47 (2) from copies of the list, except as authorized under s. 6.47 (8).

History: 1975 c. 85, 199; 1999 a. 49.

Cross–reference: See also s. EL 3.50, Wis. adm. code.

6.47 Confidentiality of information relating to victims of domestic abuse, sexual assault, or stalking. **(1)** In this section:

(ag) "Domestic abuse victim service provider" means an organization that is certified by the department of children and families as eligible to receive grants under s. 49.165 (2) and whose name is included on the list provided by the commission under s. 7.08 (10)

(am) "Eligible individual" means:

1. An individual who has been granted a protective order that is in effect.

2. An individual who files an affidavit with the municipal clerk of the municipality where the individual resides, on a form prescribed by the commission, that is signed by a sheriff, the chief of a police department, or a district attorney or the authorized representative of a sheriff, chief, or district attorney and directed to the municipal clerk, and that verifies that a person has been charged with or convicted of an offense relating to domestic abuse, sexual assault, or stalking in which the individual was a victim and reasonably continues to be threatened by that person.

3. An individual who resides in a shelter.

4. An individual who submits a dated statement to the municipal clerk that includes the individual's full name, that is signed by an authorized representative of a domestic abuse victim service provider or a sexual assault victim service provider, and that indicates that the individual received services from the provider within the 24–month period ending on the date of the statement.

5. An individual who is a participant in the program established in s. 165.68.

(b) "Offense relating to domestic abuse, sexual assault, or stalking" means an offense specified in s. 940.19, 940.20 (1m), 940.201, 940.22, 940.225, 940.235, 940.32, 947.013, 948.02, 948.025, 948.06, 948.085, 948.09, or 948.095.

(c) "Protected individual" means an individual whose name and address is confidential under sub. (2).

(d) "Protective order" means a temporary restraining order or an injunction issued under s. 813.12 or 813.125.

(dm) "Sexual assault victim service provider" means an organization that is certified by the department of justice as eligible to receive grants under s. 165.93 (2) and whose name is included on the list provided by the commission under s. 7.08 (10).

(e) "Shelter" means a place where at least 4 unrelated individuals reside that provides residential shelter to individuals whose personal security is or may be threatened by family members or other persons with whom the individuals have had contact.

(2) Except as authorized in sub. (8), the commission, each municipal clerk, each agent designated under s. 6.33 (5) (b), and each election official shall withhold from public inspection under s. 19.35 (1) the name and address of any eligible individual whose name appears on a poll list or registration list if the individual provides the municipal clerk with a valid written request to protect the individual's confidentiality. To be valid, a request under this subsection must be accompanied by a copy of a protective order that is in effect, an affidavit under sub. (1) (am) 2. that is dated within 30 days of the date of the request, confirmation from the department of justice that the person is a program participant, as provided under s. 165.68 (4) (c), a statement signed by the operator or an authorized agent of the operator of a shelter that is dated within 30 days of the date of the request and that indicates that the operator operates the shelter and that the individual making the request resides in the shelter, or a statement signed by an authorized representative of a domestic abuse victim service provider or a sexual assault victim service provider under sub. (1) (am) 4. that is dated within 30 days of the date of the request. A physically disabled individual who appears personally at the office of the municipal clerk accompanied by another elector of this state may designate that elector to make a request under this subsection on his or her behalf.

(3) Upon receiving a valid written request from an elector under sub. (2), the municipal clerk shall issue to the elector a voting identification card on a form prescribed by the commission that shall contain the name of the elector's municipality of residence and, in the case of a town, the county in which the town is located, the elector's name, the ward in which the elector resides, if any, and a unique identification serial number issued by the commission. The number issued to an elector under this subsection shall not be changed for so long as the elector continues to qualify for a listing under sub. (2).

(4) (a) Except as provided in par (b) and sub. (5), a confidential listing under sub. (2) expires on the date that a protective order expires, the date that the protected individual ceases to reside in a shelter, the date that updated information is received from a sheriff, the chief of a police department, or a district attorney or the

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authorized representative of a sheriff, chief, or district attorney, or at the end of the 24–month period that follows creation or renewal of the listing under sub. (2), whichever is earlier.

(b) A confidential listing under sub. (2) that is issued to a program participant expires on the date the individual's participation in the program expires pursuant to s. 165.68 (3) (b) 4. a. or on the date the individual cancels his or her participation in the program pursuant to s. 165.68 (3) (b) 4. f. or is disenrolled from the program pursuant to s. 165.68 (3) (b) 4. e.

(5) (a) The municipal clerk shall cancel a confidential listing under sub. (2) if:

1. The clerk receives notification from a sheriff, chief of police, or district attorney or the authorized representative of a sheriff, chief, or district attorney under sub. (10).

2. The name of the protected individual is legally changed.

3. The protected individual changes his or her address without notifying the municipal clerk.

4 The municipal clerk finds that the protected individual provided false information to the clerk for the purpose of obtaining a confidential listing under sub. (2).

(b) An individual whose confidential listing is canceled under par. (a) may file a new request and qualify under sub. (2) to obtain a renewal of the listing.

(6) Upon expiration of a confidential listing on a registration list under sub. (2), the municipal clerk shall change the registration of the protected individual to ineligible status unless the individual files a new request and qualifies under sub. (2) to obtain a renewal of the listing or unless the individual applies for and qualifies to obtain a nonconfidential voter registration. Except as authorized in sub. (8), the municipal clerk shall withhold from public inspection under s. 19.35 (1) the name and address of any individual whose registration is changed under this subsection if the individual qualified for a confidential listing at the time of that listing.

(7) (a) If the municipal clerk has notice that a confidential listing under sub. (2) is scheduled to expire, the municipal clerk shall provide 30 days' notice to the protected individual of the scheduled expiration of the listing.

(b) If notice to a protected individual is not provided under par. (a), the municipal clerk shall provide notice to the subject individual upon changing a listed individual to ineligible status under sub. (6).

(8) The municipal clerk shall provide access to a name and address under sub. (2):

(a) To a law enforcement officer for official purposes.

(b) To a state or local governmental officer pursuant to a specific law that necessitates obtaining the name or address.

(c) Pursuant to a court order citing a reason that access to the name or address should be provided.

(e) At the request of a protected individual, for purposes of permitting that individual to sign a petition under s. 59.05 (2)

(9) No person who obtains access to a name or address under sub. (8) may disclose the name or address to any person other than a public employee for the same purpose for which the information was obtained.

(10) If a sheriff, chief of a police department, or district attorney has signed or the authorized representative of a sheriff, chief, or district attorney has signed an affidavit under sub. (1) (am) 2. and the sheriff, chief, district attorney or authorized representative later obtains information that the person who was charged with an offense relating to domestic abuse, sexual assault, or stalking is no longer so charged or that the person's judgment of conviction has been vacated, and the charge or conviction was the sole basis for the affidavit, the sheriff, chief, district attorney or authorized representative shall provide written notice of that information to the municipal clerk to whom the affidavit was directed.

History: 1999 a. 49, 186; 2003 a. 265; 2005 a. 253, 277, 278; 2007 a. 20; 2009 a. 180; 2013 a. 362; 2015 a. 118 s. 266 (10); 2015 a. 356, 372; 2017 a. 144.

6.48 Challenging registration. **(1)** GENERAL PROCEDURE. (a) Any registered elector of a municipality may challenge the registration of any other registered elector by submitting to the municipal clerk or executive director of the board of election commissioners in cities of more than 500,000 population an affidavit stating that the elector is not qualified to vote and the reasons therefor. The clerk or director, upon receipt of the affidavit, shall mail a notification of the challenge to the challenged elector, at his or her registered address.

(b) The challenged and challenging electors shall appear before the municipal clerk within one week of notification or arrange under sub. (2) to appear before the board of election commissioners. The challenging elector shall make an affidavit answering any questions necessary to determine the challenged elector's qualifications. Judgment rests with the municipal clerk and decisions shall be rendered as soon as heard. If the clerk cannot resolve the issue or has reservations as to the answers, the clerk may require the challenging elector to take the oath under s. 6.925. If the challenged elector appears and contests any answer of the challenging elector, the clerk may require the challenged elector to take the oath under s. 6.94 and to answer any question necessary to determine the challenged elector's qualifications. If the challenging elector appears before the municipal clerk or board of election commissioners but the challenged elector fails to appear, such clerk or board may make the decision without consulting the challenged elector. If the municipal clerk or board of election commissioners does not sustain the challenge, the challenged elector's registration remains valid.

(c) If the challenging elector fails to appear before the municipal clerk within one week or in cities of more than 500,000 population fails to appear before the board of election commissioners under sub. (2) to answer questions and take the oath under s. 6.925, such clerk or board shall cancel the challenge.

(d) If the clerk determines that the challenged elector is not qualified, the clerk shall change the challenged elector's registration from eligible to ineligible status on the registration list and notify the inspectors for the ward or election district where the elector was registered.

(2) SPECIAL PROCEDURE IN POPULOUS CITIES. (a) In cities of more than 500,000 population, objections may be made before the board of election commissioners which shall sit on the last Wednesday before each election from 9 a.m. to 12 a.m. and from 2 p.m. to 5 p.m. to hear objections then made or deferred under sub. (1). If all the objections cannot then be determined, the commissioners shall sit during the same hours the next day.

(b) Upon appearing in person, objectors shall be examined, under oath, by the commissioners and testimony taken. Judgment rests with the board of election commissioners and decisions shall be rendered as soon as heard. All cases are heard and decided summarily. The commissioners shall determine whether the person objected to is qualified. If they determine that a person is not qualified, the executive director of the board of election commissioners shall change the elector from eligible to ineligible status on the registration list and shall notify the proper ward officials of the change immediately.

(3) CHALLENGE BASED ON INCOMPETENCY. Section 6.03 (3) applies to any challenge which is made to registration based on an allegation that an elector is incapable of understanding the objective of the elective process and thereby ineligible for registration.

(4) DISQUALIFICATION. The municipal clerk or board of election commissioners may not disqualify an elector under this section except upon the grounds and in accordance with the procedure specified in s. 6.325.

History: 1971 c. 304 s. 29 (2); 1973 c. 334; 1975 c. 85, 199; 1977 c. 394, 1979 c. 110; 1983 a. 484, 1985 a. 304; 1987 a. 391; 2003 a. 265.

6.50 Revision of registration list. **(1)** No later than June 15 following each general election, the commission shall examine the registration records for each municipality and identify each elector who has not voted within the previous 4 years if qualified

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FOUR YEAR (D) MAINTENANCE

to do so during that entire period and shall mail a notice to the elector in substantially the following form.

"NOTICE OF SUSPENSION OF REGISTRATION

You are hereby notified that your voter registration will be suspended, according to state law, for failure to vote within the previous 4–year period, unless you apply for continuation of your registration within 30 days. You may continue your registration by signing the statement below and returning it to the office of the municipal clerk .... (mailing address and telephone number of office of municipal clerk or board of election commissioners) by mail or in person.

APPLICATION FOR CONTINUATION OF REGISTRATION

I hereby certify that I still reside at the address at which I am registered and apply for continuation of registration

Signed ....

Present Address ....

If you have changed your residence within this municipality or changed your name, please contact the office of the municipal clerk . .. (mailing address and telephone number of office of municipal clerk or board of election commissioners) to complete a change of name or address form.

[Office of clerk or board of election commissioners

Address

Telephone]".

(2) If an elector to whom a notice of suspension was mailed under sub. (1) has not applied for continuation of registration within 30 days of the date of mailing, the commission shall change the registration status of that elector from eligible to ineligible on the day that falls 30 days after the date of mailing.

(2g) The commission may delegate to a municipal clerk or board of election commissioners of a municipality the responsibility to change the registration status of electors when required under sub. (2).

(2r) As soon as practicable, but no later than August 1 following the completion of the process under subs. (1) and (2), the commission shall publish on its Internet site the following information obtained through that process:

(a) The number of notices mailed under sub. (1).

(b) The number of notices described under par. (a) that were returned to the commission as undeliverable.

(c) The number of notices described under par. (a) that were returned requesting continuation of registration.

(d) The number of notices described under par (a) that were returned requesting cancellation of registration.

(e) The number of notices described under par. (a) that were returned with an indication that the named elector is deceased.

(f) The number of notices described under par. (a) that were not returned.

(g) The number of electors who received notices under sub. (1) and whose status changed from eligible to ineligible.

(h) Any other information requested by the legislature or that the commission considers relevant.

(E)

(3) Upon receipt of reliable information that a registered elector has changed his or her residence to a location outside of the municipality, the municipal clerk or board of election commissioners shall notify the elector by mailing a notice by 1st class mail to the elector's registration address stating the source of the information. All municipal departments and agencies receiving information that a registered elector has changed his or her residence shall notify the clerk or board of election commissioners. If the elector no longer resides in the municipality or fails to apply for continuation of registration within 30 days of the date the notice is mailed, the clerk or board of election commissioners shall change the elector's registration from eligible to ineligible status. Upon receipt of reliable information that a registered elector has changed his or her residence within the municipality, the municipal clerk or board of election commissioners shall change the elector's registration and mail the elector a notice of the change. This subsection does not restrict the right of an elector to challenge any registration under s. 6.325, 6.48, 6.925, 6.93, or 7.52 (5).

(E)

(4) The municipal clerk or board of election commissioners shall change the registration of deceased electors from eligible to ineligible status by means of checking vital statistics reports. No notice need be sent of registration changes made under this subsection.

(5) The registration of any elector whose address is listed at a building which has been condemned for human habitation by the municipality under s. 66.0413 (1) (j) shall be investigated by the municipal clerk or board of election commissioners. If the clerk or board of election commissioners can find no reason why the registration of such an elector should not be changed from eligible to ineligible status, the clerk or board of election commissioners shall change the elector's registration status. If the elector has left a forwarding address with the U.S. postal service, a notice of change in status shall be mailed by the clerk or board of election commissioners to the forwarding address.

(6) The municipal clerk, upon authorization by an elector, shall change the elector's registration from eligible to ineligible status.

(7) When an elector's registration is changed from eligible to ineligible status, the commission, municipal clerk, or board of election commissioners shall make an entry on the registration list, giving the date of and reason for the change.

(8) Any municipal governing body may direct the municipal clerk or board of election commissioners to arrange with the U.S. postal service pursuant to applicable federal regulations, to receive change of address information with respect to individuals residing within the municipality for revision of the elector registration list. If required by the U.S. postal service, the governing body may create a registration commission consisting of the municipal clerk or executive director of the board of election commissioners and 2 other electors of the municipality appointed by the clerk or executive director for the purpose of making application for address changes and processing the information received. The municipal clerk or executive director shall act as chairperson of the commission. Any authorization under this subsection shall be for a definite period or until the municipal governing body otherwise determines. The procedure shall apply uniformly to the entire municipality whenever used. The procedure shall provide for receipt of complete change of address information on an automatic basis, or not less often than once every 2 years during the 60 days preceding the close of registration for the partisan primary. If a municipality adopts the procedure for obtaining address corrections under this subsection, it need not comply with the procedure for mailing address verification cards under subs. (1) and (2).

(10) Any qualified elector whose registration is changed from eligible to ineligible status under this section may reregister as provided under s. 6.28 (1), 6.29 (2), or 6.55 (2), or, if the elector has a current and valid operator's license issued to the elector under ch. 343 or a current and valid identification card issued under s. 343.50, may reregister under s. 6.30 (5).

History: 1971 c. 242; 1971 c. 304 s. 29 (2); 1971 c. 336 s. 37, 1973 c. 164; 1975 c. 85, 199, 200; 1977 c. 394 ss. 27, 53; 1979 c. 260; 1983 a. 484; 1985 a. 304; 1987 a. 391; 1999 a. 150 s. 672; 2003 a. 265, 326; 2005 a. 451, 2011 a. 75; 2013 a. 149; 2015 a. 118 ss. 76, 77, 266 (10); 2015 a. 261

6.54 Failure to register; rights.

No name may be added to the registration list after the close of registration, but any person whose name is not on the registration list but who is otherwise a qualified elector is entitled to vote at the election upon compliance with s. 6.29 or 6.55

History: 1985 a. 304 s. 60.

6.55 Polling place registration; voting by certification.

(2) (a) Except where the procedure under par. (c) or (cm) is

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for any referendum shall conform to s. 5.64 (2). If there is already an official municipal referendum ballot for the election, the question may appear on the same ballot.

(2m) OPERATION OF ALTERNATE ABSENTEE BALLOT SITE. In a municipality in which the governing body has elected to establish an alternate absentee ballot site under s. 6.855, the municipal clerk shall operate such site as though it were his or her office for absentee ballot purposes and shall ensure that such site is adequately staffed.

(3) BALLOT SUPPLY; SAMPLE BALLOTS. (a) Where voting machines are used or where electronic voting systems are employed, the municipal clerk shall provide at least 2 duplicate sample ballots for each ward in diagram form showing the board or screen inside each voting machine or the front of each ballot as it will appear in the voting machines or booths on election day.

(b) Sample ballots and voting machine ballots shall be furnished to the officials in the ward or election district at least one day before each election.

(4) RECORDING ELECTORS. Except as authorized in s. 6.33 (5) (a), within 30 days after each election, the municipal clerk shall enter on the registration list under the name of each elector of the municipality who has voted at the election an indication of the date of the election in which the elector voted.

(5) RECORD OF BALLOTS RECEIVED. Each municipal clerk shall keep a record of when and in what condition the packages containing the ballots were received from the county clerk. The municipal clerk shall deliver to the proper officials the unopened packages of ballots the day before the election

(6) SUBSTITUTE BALLOTS. (a) The municipal clerk shall provide substitute paper ballots in substantially the form of the original ballots whenever the necessary original ballots are not delivered to the municipality, are destroyed, are lost or stolen after delivery, are not ready for distribution or the supply is exhausted during polling hours. The municipal clerk may also provide substitute paper ballots, together with ballot boxes and voting booths, whenever a voting machine or electronic voting system is rendered inoperable by a malfunction which occurs within 24 hours of the time set for opening of the polls. Paper ballots may be cast only in accordance with the procedures prescribed in ss. 6.80 (2) and 7.37 (4).

(b) Upon receiving the substitute paper ballots accompanied by a statement made under oath by the municipal clerk that the ballots have been prepared and furnished by the clerk to replace the original ballots which are not available, or to substitute for a voting machine or electronic voting system which has been rendered inoperable by a malfunction which occurred within 72 hours of the time set for opening of the polls, the election officials shall use the substitute ballots in the same manner as if they had been original ballots.

(7) REQUEST CANVASS ASSISTANCE. The municipal clerk may request all election officials to assist the inspectors in canvassing the votes received at the respective polling places.

(8) RESOLVING NOTICE DOUBTS. When in doubt as to compliance with the statutory requirements for election notices or the correct fees to be paid for them, the municipal clerk may consult the commission

(9) VOTER EDUCATION. Each municipal clerk shall assist the commission in conducting educational programs under s. 5.05 (12) to inform electors about the voting process.

(10) FREE ELECTION INFORMATION EXCHANGE. Each municipal clerk shall assist the commission in maintaining toll–free telephone lines and any other free access systems under s. 5.05 (13) for exchange of voting information.

(11) TRAINING OF ELECTION OFFICIALS. Each municipal clerk shall train election officials under ss. 7.31 and 7.315.

(12) FREE VOTE COUNTING INFORMATION. Each municipal clerk shall maintain a free access information system under which an elector who votes under s. 6.96 or 6.97 may ascertain current information concerning whether the elector's vote has been counted, and if the vote will not be counted, the reason that it will not be counted

(13) INFORMATION TO COMMISSION. Each municipal clerk shall provide to the commission any information requested under s. 5.05 (14)

(14) VOTING ACCOMMODATIONS FOR INDIVIDUALS WITH DISABILITIES. Each municipal clerk shall make reasonable efforts to comply with requests for voting accommodations made by individuals with disabilities whenever feasible.

(15) PROVIDE NOTICE OF OUTSTANDING PROVISIONAL BALLOTS. As soon as possible after the closing hour for all polling places in the municipality on election night, the municipal clerk shall post at his or her office and on the Internet at a site announced by the clerk before the polls open, and shall make available to any person upon request, a statement of the number of electors who have cast provisional ballots at the election in the municipality that cannot be counted as of that closing hour because the electors have not satisfied relevant voting requirements.

History: 1971 c. 304 s. 29 (2); 1973 c. 334 s. 57; 1975 c. 85 ss. 50, 65; 1975 c. 275, 422; 1977 c. 283; 1977 c. 394 s. 54; 1977 c. 427, 447; 1979 c. 260, 311; 1981 c. 391; 1983 a. 484; 1985 a. 304; 1987 a. 391; 1989 a. 192; 1991 a. 316; 1999 a. 182; 2001 a. 16; 2003 a. 265; 2005 a. 451; 2007 a. 1; 2011 a. 23, 45, 75, 115; 2013 a. 148; 2015 a. 118 ss. 88, 266 (10); 2015 a. 209, 229; 2017 a. 369.

7.20 Board of election commissioners. **(1)** A municipal board of election commissioners shall be established in every city over 500,000 population. A county board of election commissioners shall be established in every county over 750,000 population.

(2) Each board of election commissioners shall consist of 3 members, each member being chosen from lists of at least 3 names each, selected and approved by the county committee of the 2 political parties receiving the most votes for governor in the county in the case of the county board of election commissioners, and receiving the most votes for governor in the city in the case of the city board of election commissioners, in the last general election. The county executive, for the county board of election commissioners, shall select from the list 2 persons from the majority party and one person from the next highest party in the county The mayor, for the city board of election commissioners, shall select from the list 2 persons from the majority party and one person from the next highest party in the city.

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(3) The persons chosen shall be qualified electors and residents of the state and county and, for the city board of election commissioners, of the city.

(4) Before beginning their duties as election commissioners each appointee shall take and file the official oath.

(5) Each board of election commissioners shall choose its own chairperson. An act of a majority of the board is an act of the board.

(6) The election commissioners shall not hold any other public office and are ineligible for any appointive or elective public office, except the office of notary public, during their term.

(7) The term of office shall be 4 years, and until successors have been commissioned and qualified, beginning on July 1 each year following a presidential election. Successors shall be appointed the same way.

History: 1973 c. 334; 1975 c. 124; 1983 a. 484 s. 172 (1); 1993 a. 184; 2013 a. 373.

7.21 Election commissioners, duties and regulations. **(1)** All powers and duties assigned to the municipal or county clerk or the municipal or county board of canvassers under chs. 5 to 12 shall be carried out by the municipal or county board of election commissioners or its executive director, unless specifically retained or assigned in this section or s. 7.22.

(2) The county clerk shall serve as executive director of the county board of election commissioners. The clerk shall perform whatever duties the board of election commissioners assigns to

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FILED
11-27-2019
Ozaukee County, WI
Mary Lou Mueller CoCC
2019CV000449

EXHIBIT A

ELECTRONIC REGISTRATION INFORMATION CENTER, INC.

MEMBERSHIP AGREEMENT

This membership agreement (this "Agreement") is made and entered into as of the 17th day of May 2016 (the "Effective Date"), by and between Electronic Registration Information Center, Inc., a Delaware nonstock corporation ("ERIC") and Wisconsin Government Accountability Board (the "Member").

WHEREAS, ERIC was formed for charitable and educational purposes to engage in meaningful, evidence-based reform of the election system in the United States; and

WHEREAS, ERIC seeks to lessen the burdens of government by facilitating the collaboration of states and local government units to conduct research, develop technology, and perform other charitable and educational activities designed to reduce the costs and increase the accuracies and efficiencies associated with their use of voter registration systems; and

WHEREAS, ERIC seeks the direct involvement of states and local government units in furthering its charitable and educational purposes by such states and local government units becoming members of ERIC and furnishing voter registration and other data to help ERIC understand the needs of states and local government units with respect to their use of voter registration systems, and assist state and local government units in making their voter registration lists and processes more accurate, more complete, and fully compliant with federal, state and local laws; and

WHEREAS, in consideration for the Member's performance as described below, ERIC will provide the service to the Members of sharing and processing data that relates to the maintenance of their voter registration lists and provide regular (at least on a monthly basis) reports to the Member.

NOW THEREFORE, in consideration of the foregoing, the terms and conditions hereinafter set forth and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Annual Dues. The Member shall pay annual dues to ERIC as determined by the Board of Directors of ERIC (the "ERIC Board") pursuant to Article II, Section 5 of ERIC's Bylaws. If the Member fails to pay dues by the date determined by the ERIC Board, ERIC shall not deliver, nor shall the Member receive, any services or data from ERIC until such payment is received. Any Member that fails to pay dues within ninety (90) days of a dues payment deadline shall be *automatically removed* as a Member in accordance with ERIC's Bylaws (the "Bylaws").

2. Voter Files and Motor Vehicle Records. The Member shall transmit to ERIC the following data related to its voter files and motor vehicle records (collectively, the "Member Data").

EXHIBIT A

a. A reasonable time after admission, the Corporation and the Member will agree upon a 'Certification Date' that obligates the Member to the following two sections herein. The Member shall be notified in writing by the Corporation of the Certification Date.

b. Within sixty (60) days of the Certification Date, and at least every sixty (60) days thereafter, the Member shall transmit: (1) all inactive and active voter files (excluding those records that are confidential or protected from disclosure by law), including those fields identified in Exhibit B, and (2) all licensing or identification records contained in the motor vehicles database (excluding those fields unrelated to voter eligibility, such as fields related to an individual's driving record), including those fields identified in Exhibit B. Under no circumstances shall the Member transmit an individual's record where the record contains documentation or other information indicating that the individual is a non-citizen of the United States. Should Member believe it has an alternative source of data that is equivalent to or better than the motor vehicle database ("Alternative Data Source"), Member may apply in writing to the Executive Director of ERIC to substitute the Alternative Data Source for motor vehicle data. Such written application shall explain the basis for Member's assertion that the Alternative Data Source is equivalent or better and why using it will effectively serve the goals of ERIC. If, in the Executive Director's assessment, the request is reasonable, the Executive Director shall submit the Member's request to the Board for approval. If membership in ERIC is contingent upon a jurisdiction's ability to use an Alternative Data Source, the jurisdiction may seek approval of a data substitution request in advance of joining ERIC.

c. If the Member fails to transmit the required Member Data as described above, ERIC shall not deliver, nor shall the Member receive, any Data or services from ERIC until ERIC receives the required Member Data from the Member. Should Member fail to transmit Member Data in any sixty (60) day period as provided in sub-section b, Member shall, upon written notice from ERIC, have a thirty (30) day grace period in which to provide such Member Data. Should this grace period expire without a transmission to ERIC of Member Data from the Member, the Member shall be *automatically removed* from membership in accordance with the Bylaws. Member may submit a written appeal to the Executive Director of ERIC for a reasonable extension of the grace period deadline if Member is unable to meet that deadline because of a technical issue or a problem accessing or receiving the Member Data. Whether or not to grant the extension or to proceed to automatic removal shall be in the sole discretion of ERIC's Executive Director.

3. <u>State Agency Records.</u> The Member shall use its best efforts to transmit, on a regular basis, data relating to individuals that exists in the records of other agencies within its jurisdiction that perform any voter registration functions, including, but not limited to, those required to perform voter registration pursuant to the National Voter Registration Act, 43 U.S.C. 1973gg-5 ("Additional Member Data"). Notwithstanding this section, a state's failure to transmit Additional Member Data under this section shall not affect the Member's compliance with this Section or its standing as a member of ERIC.

EXHIBIT A

4. Privacy; Use of Data.

a. **Use and Protection of Data**: The Member and ERIC shall use their best efforts to prevent the unauthorized use or transmission of any private or protected Member Data; Additional Member Data; and data included in reports provided by ERIC ("ERIC Data") (Member Data, Additional Member Data and ERIC Data shall be collectively referred to as "Data") in its possession. The Member represents and warrants that all uses and transmissions of Data originating from the Member to ERIC and/or ERIC's agents, contractors or subcontractors comply fully with applicable state, federal and local laws, rules and regulations. The Member shall not use or transmit any ERIC Data for any purpose other than the administration of elections under state or federal law. Should a Member receive a request to disclose ERIC Data and determines that it is legally obligated, in whole or in part, to comply with such request, it shall not make the disclosure without first obtaining a court order compelling it to do so, a copy of which shall be provided to ERIC.

b. **Unauthorized Use or Disclosure of Data--Member:** Should there be an unauthorized or impermissible use, disclosure or transmission of Data, regardless of whether it is accidental or intentional (for example, member intentionally sells, distributes, publishes or uses any ERIC Data for any purpose other than election administration, including any commercial purpose) or the responsibility of a third party (collectively, "Unauthorized Disclosure"), Member shall, within ninety (90) days of ERIC receiving notice of the Unauthorized Disclosure a) explain in writing to ERIC that such Unauthorized Disclosure has been cured and how it was cured or, if the breach is not curable, provides a written explanation to ERIC of what steps it has taken to mitigate the risks to ERIC and its Members resulting from such breach; and b) provide a written explanation of what processes it has implemented to prevent such Unauthorized Disclosure in the future. Upon written application, the Executive Director of ERIC, in consultation with the Board Chair, may extend the deadline for Member to comply with this section. At its first meeting following the Member's compliance with sub-sections a and b above, the Board will consider the information submitted by the Member and vote on Member's continued membership. Should Member fail to provide any information in response to sub-sections a and/or b above, Member shall be *automatically removed.* To the extent permitted under each Member's state law, the Member agrees to indemnify, defend and hold harmless ERIC against any claims related to the Unauthorized Disclosure.

c. **Notice to ERIC:** Each Member shall report to the Executive Director of ERIC as soon as is practicable if a Member is required by law to sell, distribute, publish, disclose or use any ERIC Data for any purpose other than election administration. Each Member shall report to the Executive Director of ERIC immediately upon learning of any Unauthorized Disclosure.

d. **Unauthorized Disclosure of Data-ERIC:** Should there be an unauthorized disclosure of motor vehicle data by ERIC, whether accidental or intentional or the

EXHIBIT A

responsibility of a third party ("ERIC Unauthorized Disclosure"), ERIC shall immediately give notice to Members. Understanding that ERIC's primary source of funds are fees and dues paid by Members, and subject to consultation and approval by the Board, ERIC agrees to indemnify, defend and hold harmless state motor vehicle agencies against any claims related to an ERIC Unauthorized Disclosure of Data.

This provision 4 shall not be construed to limit any Member's sovereign immunity, rights, claims or defenses which arise as a matter of law or pursuant to any other provision of this Agreement.

5. <u>State Voter Registration Systems.</u> To foster ERIC's goal of improving the accuracy of state voter registration data, Members are strongly encouraged to establish a regular schedule for requesting ERIC Data with a minimum of one request every calendar year. When a Member Representative requests ERIC Data, upon receipt of such ERIC Data, the Member shall take the following actions in connection with the improvement of its state voter registration systems. (If Member rescinds in writing its request for ERIC Data within seven (7) business days of making its original request, the following requirements will not apply.) If a Member fails to make at least one request for ERIC Data for 425 days, ERIC will automatically provide ERIC Data within seven (7) business days of the 425th day, thereby triggering the following requirements.

   a. When the Member receives ERIC Data regarding eligible or possibly eligible citizens who are not registered to vote, the Member shall, at a minimum, initiate contact with each and every eligible or possibly eligible citizen and inform them how to register to vote. Each Member shall have until October 1 or fifteen (15) days before the close of registration, whichever is earlier, of the next Federal General Election year to initiate contact with at least 95% of the eligible or potentially eligible citizens on whom data was provided and address validation was performed, as described above. Members shall not be required to initiate contact with eligible or possibly eligible voters more than once at the same address, nor shall Members be required to contact any individual who has affirmatively confirmed their desire not to be contacted for purposes of voter registration or is otherwise ineligible to vote in the Member's jurisdiction. No later than December 1 (or, if December 1 falls on a weekend, the next business day) following the Federal General Election, the Member Representative shall provide a written certification to the Executive Director of ERIC that Member has or has not complied with the provisions of this section. Members that have not complied with this section, or do not provide the written certification, shall be *automatically removed* from membership. If a Member adopts legislation or policies that have the potential to accomplish the objectives of this section by alternative means, Member may apply to ERIC for an exemption from the requirements of this section of the Membership Agreement by sending a written request to the Executive Director of ERIC and the Chair of the Board. Such written application shall explain the basis for Member's assertion that the alternative means will effectively achieve the objectives of this section. If the Executive Director of ERIC and the Chair of the Board believe the request is

EXHIBIT A

reasonable, it shall be presented to the Board for a vote and, if granted, a determination on the timing of implementation of the exemption.]

b. When the Member receives credible ERIC Data (meaning the state has validated the data) indicating that information in an existing voter's record is deemed to be inaccurate or out-of-date, the Member shall, at a minimum, initiate contact with that voter in order to correct the inaccuracy or obtain information sufficient to inactivate or update the voter's record. Each Member has ninety (90) days after the data was sent to initiate contact with at least 95% of the voters on whom data indicating a record was inaccurate or out-of-date, as described above, was provided.

Within ten (10) business days of the ninetieth day, the Member Representative shall provide a written certification to the Executive Director of ERIC that Member has complied or not complied with this section and, if out of compliance, the extent of such non-compliance. If Member is out of compliance, Member shall have a 30-day grace period, which begins on the 91st day, within which to complete the required contacts. Within ten (10) business days following the expiration of the grace period, the Member Representative shall provide a written certification to the Executive Director of ERIC that Member has complied or not complied with this section. If Member is still out of compliance, or fails to provide the certification, Member shall be *automatically removed.*

c. The Member shall use its best efforts to provide for a mechanism by which any eligible voter whose registration appears to have been erroneously processed or unprocessed shall be offered the opportunity to cast a ballot that will be counted, unless the voter is otherwise ineligible.

d. The Member shall use its best efforts to provide for a mechanism by which an eligible voter may register to vote over the internet without need to complete and/or deliver a paper voter registration form.

e. The Member shall use its best efforts to provide for a mechanism by which voter registration transactions performed at state agencies is more fully automated and reduces or eliminates paper transactions.

6. Single Point of Transfer. The Member shall designate and maintain a single point of transfer of data and a single data source/point of data per data feed.

7. Performance Data. Within 30 days of the date of execution of this agreement, and every one hundred eighty (180) days thereafter, the Member shall report to ERIC data relating to performance under this Agreement, as described in Exhibit C.

8. State Specific Requirements. From time to time, legislation or implementing regulations enabling states to become members of ERIC will contain state-specific membership requirements not applicable to all Members. Such state-specific requirements are set forth in Exhibit D.

EXHIBIT A

9. Publicity. The Member shall not make or permit any person connected with it to make any announcement or statement purporting to be on behalf of ERIC, or use any logo, trademark, service mark, or business or trading name of ERIC or any other Member of ERIC without the prior written approval of ERIC or the affected Member, as applicable. Furthermore ERIC shall not make or permit any person connected with it to make any announcement or statement purporting to be on behalf of any Member, or use any logo, trademark, service mark, or business or trading name of any Member of ERIC without the prior written approval of the affected Member.

10. Waiver. No waiver by any party for any breach by the other of any of the provisions of this Agreement shall be deemed a waiver of any preceding or succeeding breach of the same or any other provisions hereof. No such waiver shall be effective unless in writing and then only to the extent expressly set forth in writing.

11. Severability. The provisions of this Agreement are separate and severable, and the invalidity of any of them shall not affect or impair the validity or enforcement of the remaining provisions.

12. Assignment. ERIC may not sell, assign, or otherwise transfer any of its rights or interests or delegate any of its duties or obligations in this Agreement, without the prior written consent of the Members. The Member may not sell, assign, or otherwise transfer any of its rights or interests or delegate any of its duties or obligations in this Agreement, without the prior written consent of ERIC. Any sale, assignment, or transfer in violation of this Section is void and without effect.

13. No Partner or Agency. This Agreement does not constitute or create a partnership or joint venture with any Member or among the Members; appoint any Member as an agent for ERIC or any other Member, or appoint ERIC as an agent for any Member; or create any fiduciary obligations among the Members, except as may be expressly set forth in this Agreement.

14. Amendments. Amendments or modifications of this Agreement shall be effective immediately upon approval of such changes by the entire membership in accordance with Article VI, Section 5 of the Bylaws.

15. Communications; Notices. All communications and notices that are required to be given by ERIC or a Member pursuant to this Agreement must be in writing and sent to the recipient either by electronic mail, personal delivery, overnight commercial courier service, or facsimile. Members may request a preferred method of delivery and the Corporation will make all reasonable efforts to oblige such requests. Communications and notices must be sent using the Notice Details set forth on the signature page of this Agreement, unless these details are changed by delivery of a written notice to ERIC, if the change related to a Member, or the Member, if the change relates to ERIC. The Executive Director of ERIC shall maintain or cause to be maintained a roster of Members that contains a compilation of Notice Details for each Member, and which shall be distributed periodically to the Members.

EXHIBIT A

16. Counterparts. This Agreement may be executed in two (2) or more counterparts, each of which when fully executed shall be an original, and all of said counterparts taken together shall be deemed to constitute one and the same agreement.

17. Complete Agreement. This Agreement is the parties' final and binding expression of their agreement and the complete and exclusive statement of its terms. This Agreement cancels, supersedes and revokes all prior negotiations, representations and agreements between the parties, whether oral or written, relating to the subject matter of this Agreement.

18. Headings and Subsections. Section headings are provided for reference and do not constitute part of this Agreement.

19. Definitions. As used herein, the term "state" includes the fifty (50) states, the District of Columbia, and the territories of the United States.

ELECTRONIC REGISTRATION INFORMATION CENTER, INC.

By: ______________________________
Name: Angie Rogers
Title: Board Chair
Date:

Notice Details:

Name: Angie Rogers
Title: Board Chair
Address: P.O. Box 94125
Baton Rouge, LA 70802-9125
Phone: (225) 922-0900
Fax: (225) 922-0945

With a copy to:

Name: John Lindback
Title: Executive Director
Address: 1155 F Street NW Suite 1050
Washington DC 20004
Phone: (202) 695-3464
Fax: (866) 200-2651

Wisconsin Government Accountability Board/Wisconsin Elections Commission

By: ______________________________
Name: **Kevin J. Kennedy**
Title: Director and General Counsel
Date: May 17, 2016

Notice Details:

Name: Michael Haas
Title: Elections Division Administrator/
Wisconsin Elections Commission Administrator
Address: PO Box 7984, Madison, WI 53707-7984
212 E. Washington Ave, Third Floor
Madison, WI 53703
Phone: 608-266-0136
Fax: 608-267-0500

With a copy to:

Name: Ross Hein
Title: Elections Supervisor
Address: PO Box 7984, Madison, WI
212 E. Washington Ave
Madison, WI 53703
Phone: 608-267-3666
Fax: 608-267-0500

Note: Effective June 30, 2016 the Wisconsin Government Accountability Board becomes the Wisconsin Elections Commission.

SCOTT FITZGERALD
WISCONSIN STATE SENATOR
SENATE MAJORITY LEADER

October 16, 2019

The Honorable Tony Evers, Governor:

Pursuant to Wis. Stats. 15.61(1)(a), I appoint the following individual to serve on the Wisconsin Elections Commission, effective immediately:

Bob Spindell of Milwaukee

Sincerely,

Senator Scott Fitzgerald
Majority Leader

cc: Meagan Wolfe, Wisconsin Elections Commission
Dean Knudson, Wisconsin Elections Commission

STATE CAPITOL
P.O. BOX 7882 • MADISON, WISCONSIN 53707-7882 • SEN.FITZGERALD@LEGIS.WISCONSIN.GOV
TELEPHONE: (608) 266-5660

SCOTT FITZGERALD
WISCONSIN STATE SENATOR
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cc: Meagan Wolfe, Wisconsin Elections Commission
Dean Knudson, Wisconsin Elections Commission

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TELEPHONE: (608) 266-5660

Gmail **Dean Knudson <dean.knudson@gmail.com>**

Appointment to Wisconsin Elections Commission

Dean Knudson <dean@deanknudson.com> Wed, Apr 17, 2019 at 11:21 AM
To: Robin Vos <rep.vos@legis.wi.gov>, "Toftness, Jenny" <Jenny.Toftness@legis.wisconsin.gov>

Dear Speaker Vos,

I have enjoyed serving on the Elections Commission. My current term expires at the end of this month. I would like to be re-appointed to serve another term. Please send an appointment letter to the Commission regarding your appointment for the term starting May 1st.

The term of Beverly Gill is also expiring this month. Commissioner Gill serves as an appointee of the Governor selected from a list of former clerks provided by the Republican leaders in the legislature. Commissioner Gill provides a valuable perspective to the Commission. Her re-appointment would serve the interests of the State, however the statute also requires the leaders to forward additional names from which the Governor may appoint.

The structure of the Elections Commission is balanced with three appointees from each major political party. A vacancy will exist beginning May 1st with the expiration of Commissioner Gill's term. The Commission's next meeting is on June 11, 2019.

Thank you for your consideration,

Dean Knudson

Gmail

Dean Knudson <dean.knudson@gmail.com>

Medicaid care costs hospitals more than bad debts and charity care

Dean Knudson <dknudson@comcast.net> Thu, May 9, 2019 at 12:47 PM
To: Robin Vos <rep.vos@legis.wi.gov>, Rep.nygren@legis.wisconsin.gov, rep.zimmerman@legis.wisconsin.gov, rep.born@legis.wisconsin.gov, rep.loudenbeck@legis.wisconsin.gov

Representatives-

Today during the JFC debate on Medicaid expansion, Senator Johnson asserted that Medicaid expansion would reduce the losses hospitals incur due to uncompensated care. This is a myth that ignores the fact that hospitals lose more money each year treating Medicaid patients than they do on charity care or uncollected bad debts.

Senator Taylor brought up the Ascension system in Milwaukee as an example during debate. The tax return for Wheaton Franciscan (Ascension) from 2015 is attached. Look at page 2 and page 35 marked in red.

Losses due to Medicaid $46 million, Charity $17 million, Bad debt $10 million, Medicare $1 million. Medicaid care is always a loser for providers. It always shifts costs to other patients.

Dean Knudson

Ascension tax return 2015.pdf
2382K

Gmail

Dean Knudson <dean.knudson@gmail.com>

Sarah Yacoub appearance at Rotary meeting

Dean Knudson <dknudson@comcast.net> Thu, Nov 7, 2019 at 7:45 AM
To: Jenny Toftness <jenny.toftness@gmail.com>

Yesterday morning at the 7:00am meeting of the Hudson Daybreak Rotary Club, member Roy Sjoberg (chair of the St. Croix County Democrat party) introduced Sarah Yacoub as his guest and asked that she be given a few minutes to speak. Yacoub spoke from the front of the room for a few minutes explaining her background and why she was running. She asked for support at the November 2020 election for state assembly. She pointed out that a relative had been involved in the creation of the Lucas the Spider plush toy. She had placed one at each place setting and pointed out that she had a case in the back of the room if anyone wanted additional toys. She said they were not in production but were available on Ebay.

Yacoub left before the end of the meeting. At the end of the meeting I mentioned to Roy that I hoped the value of these toys was less than $1 or it would be a violation. Roy quickly said he thought they would be worth less than one dollar. Upon checking Ebay later in the day I saw that the toys were selling for $6 or more. Attached is a sale from yesterday. Searching ebay for "lucas spider" yields many for sale for more. There were over 50 in attendance at the meeting.

I also wonder how this item will be reported on the CFIS report.

LUCAS THE SPIDER 1ST EDITION PLUSH TOY DOLL NEW _ eBay.pdf
483K

WI-20-0154-A-000083

December 19, 2019

From: Dean Knudson

To: Speaker Robin Vos

Potential Legislation related to ERIC, the Electronic Registration Information Center

Suggested action steps:

1. **A standalone bill to mandate the Wisconsin Election Commission to request from ERIC at least once every 12 months the list of duplicate cross-state voters, I.e. individuals for whom there is evidence of voting twice in the same election in two different states.** Require that the Commission treat the data provided by ERIC as an official complaint to be handled under the statutory powers of the Commission in s. 5.05, etc. Require that the Commission refer these individuals to the appropriate district attorney after a review of the records available from Wisconsin and the other state. The Commission is already doing this but without any statutory direction or mandate. In the absence of legislation a future Commission could decide not to request these optional lists.

2. **A follow-up bill to 2015 Wisconsin Act 261 to add definitions and clarification.** Add a section to s. 6.50 to require the Elections Commission to annually send a mailing to the ERIC "in-state movers" similar to the mandate for the four year mailing. Specify inactivation as required in s. 650(2) or in s. 6.36(1)(d). Specify that the ERIC "in-state movers" list is not subject to local clerks' determination of reliability. Grant authority to WEC to promulgate administrative rules for the ERIC process.

3. Authorize Legislative Reference Bureau staff to have discussions with Elections Commission staff about specific statutory language changes. Currently WEC staff have been informed by LRB that this subject is not to be discussed.

<u>Review of Current Statutes</u>

A. Wis. Stats. 6.36(a) contains general responsibility for maintaining the registrations lists. No mention of ERIC. No direction or authority for how to handle ERIC. No direction about whether to participate in optional ERIC activities. No authority or directive to promulgate rules.
B. Wis. Stats. 6.36(ae) made Wisconsin a member of ERIC without any further mention of any of the optional or discretionary aspects of ERIC membership.
C. Wis. Stats. 6.36(d) requires a voter's status to be changed to ineligible if another state notifies WEC of a registration or voting in that state. This section does not apply to ERIC because ERIC is not another state, but it could be expanded to cover ERIC.
   Wis. Stats. 6.36(6) allows the sale of voter lists but doesn't cover the question of release of the ERIC movers list, which is considered confidential information under the ERIC membership agreement.
D. Wis. Stats. 6.50(1) & (2) cover the "four year maintenance" mailings with specific processes. These processes do not apply to ERIC mailings but this template could be followed, or ERIC could be added to this process.
E. Wis. Stats. 6.50(3) is the section currently under litigation. This section specifically applies only to local clerks but WEC used this section for the original ERIC movers mailing in 2017. Clerks in Green Bay and Milwaukee later exercised their right to define the ERIC information as not "reliable" and reactivated the list. The process is not as

defined as the "four year maintenance" process and has been in place for years to apply to individual electors rather than large groups. It should be improved.

F. Wis. Stats. 7.20 defines "Board of Election Commissioners" as used in Wis. Stats. 6.50(3). Some have read 6.50(3) to include the Wisconsin Election Commission as being included in this definition but the statutes define WEC in another area of Chapter 7.

Background

Wisconsin is unique among the twenty-nine ERIC members in that Wisconsin does not register voters at the DMV as part of driver licensing. Of six states exempt from the federal motor voter act, only Minnesota and Wisconsin are members of ERIC. Like Wisconsin, Minnesota is exempt because they have same-day registration. But Minnesota differs by voluntarily registering voters at the point of driver licensing.

Upon joining ERIC Wisconsin became the first and only ERIC state to have never previously done a complete cross-match of our DMV lists with our voter registration lists. In 2017 Wisconsin sent a mailing using the ERIC in-state movers list for the first time. In preparing and mailing these postcards the Elections Commission operated under the assumption that the mailing would meet the requirements of both ERIC and Wis. Stats. 6.50. The postcards included a notification under Wis. Stats. 6.50 that the voters' registrations would be inactivated after 30 days unless the voter responded.

WEC encountered an unusually high number of false results during our first matching of the voter registration lists with the Department of Transportation lists. As a result of these first-time problems, some municipalities and clerks raised the question of whether the 2017 ERIC movers list constituted "reliable information". A close reading of s. 6.50(3) reveals that local clerks are given the authority and responsibility to contact voters that may have moved.

There were many reasons for these false positive matches, where voters who had not moved were falsely reported as having moved. In some cases the address was slightly different. In other cases the name differed slightly. We learned that vehicle owners who registered a vehicle at a second home or cabin would be reported as having moved to that address. Some voters had registered their vehicle in another county to avoid the Milwaukee wheel tax. In the end we experienced a false positive match rate of not less than 6%, meaning 6% of the voters that were initially flagged as possibly having moved to a new address later confirmed that they still resided at their original address.

WEC staff worked with DOT and ERIC to find and eliminate as many of these errors as possible. While we will not know until later how successful these efforts have been, the staff members working on the problem estimated that the 6% error rate in 2017 should be reduced significantly in the 2019 process.

Membership in ERIC requires that **voters appearing on the in-state movers list must be notified of the information, but ERIC does not require inactivation.** Many of the ERIC member states, possibly a majority of the states, send these voters a mailing informing them to verify their registration status but take no action to inactivate them.

A change in the law to specify that ERIC information constitutes "reliable information" under s. 6.50(3) would assure a uniform approach across the state.

Political Aspects

Governor Evers' budget proposal for the current biennium included funding for the required ERIC mailing to eligible but unregistered voters. Gov. Evers did not fund the "movers list" mailing but suggested the use of federal HAVA funds for this activity. Federal officials informed the state this use of HAVA would not be allowable. The Joint Committee on Finance added funding for the mover's list mailing to the budget. JFC also added funding to create the watermark system on the pollbooks to demarcate those individuals on the mover's list.

Democrats in general and Governor Evers are not as supportive of voter registration list maintenance as Republicans. Neither party supports or condones voter fraud. A standalone bill dealing with fraudulent voting in two states should receive bipartisan support and the Governors signature.

<u>Need for clarification in statutes related to ERIC membership activities</u>

Members in ERIC agree to these **mandatory** activities:

1) contact individuals who are believed to be eligible to vote but are not yet registered before every federal election (every 2 years)

2) contact registered voters who may have moved within the state to inform them

3) inactivate the registration of voters who are found to have registered or voted in another state

Members in ERIC may **optionally choose** to:

4) request a list of duplicate voters, i.e. voters who may have voted in two states in one election – cross-state duplicate voters

5) inactivate the registrations of voters who may have moved within the state (some states inactivate while others do not)

Wisconsin statutes also require other list maintenance activities such as inactivation of registrations of individuals who have not voted in four years under s. 6.50(1). We refer to this as "four year list maintenance" and this has been practiced in Wisconsin for many years.

I believe that Wisconsin should fully utilize the tools provided by ERIC to assist in our list maintenance activities.

<u>ERIC movers list mailing in 2019 – funding, approval and process</u>

The Elections Commission 2019-2021 biennial budget request included a request for funding of both the mailings required in the ERIC membership agreement. In his Executive Budget proposal Governor Evers included funding for the ERIC mailing to eligible unregistered voters, but did not include funding for the ERIC in-state movers mailing. On May 14, 2019 the Joint Committee on Finance considered this issue in budget paper #280 (attached). In discussion points #6 & #7 the Legislative Fiscal Bureau recapped the 2017 ERIC mailings and discussed funding options for 2019 and 2021. The budget paper explains the requirements of ERIC membership related to the movers mailing. LFB made no mention of deactivation of voters under Wis. Stats. 6.50. LFB explained in discussion point #7 the Commission's request for funding to make modifications to the voter administration system to "allow clerks to distinguish the records of voters identified by ERIC as having potentially moved from those identified by clerks as having moved".

The Elections Commission does not believe it has the statutory authority to send a mailing to "in-state movers" under 6.50(3) which grants this power to local clerks or to "boards of election commissioners". The definition of "boards of election commissioners" in Chapter 7 makes clear that the WEC is not a "board of election commissioners" under statute. WEC instead sent the "movers list" mailing under WEC's general authority to maintain the registration list.

In June 2019 the Commission adopted a plan to alter the poll list by placing the words "movers list" in the space where a voter signs the poll book. The Commission also voted to require the poll worker to ask these voters before they vote the following question: "We have received information that you may have moved from this address. By signing here you are affirming you still reside at this address."

Judge Malloy in Ozaukee county circuit court ruled in the WILL lawsuit last week. Judge Malloy ruled that the ERIC movers list does constitute reliable information. His ruling found that WEC is required to follow the process in Stat. 6.50(3) with inactivation of voters after a mailing. This ruling has been appealed by DOJ. Another federal lawsuit has been filed to prevent inactivation of voters.

The judicial review of the actions of the Commission related to the ERIC movers list hinges on three questions:

1) Does the 2019 ERIC in-state mover list constitute "reliable information" under Wis. Stat. 6.50?

2) In 2017 local officials claimed the statutory right to make the determination of reliability at the local level. Do local officials have the authority to determine whether the 2019 ERIC in-state mover list constitutes "reliable information"?

3) Did the governor and legislature intend in 2019 Act 9, the biennial budget bill, to authorize expenditure of funds for a mailing to the ERIC in-state movers list as a required notice under Wis. Stat. 6.50 including notification that the voters' registration would be inactivated in 30 days? Or did the governor and legislature authorize funding for a required mailing under 2015 Act 261, with a plan to contact the voters by mail and highlight their presence on the movers list in the election administration system?

SCOTT FITZGERALD

SENATE MAJORITY LEADER

January 15, 2019

Chairman Dean Knudson
Wisconsin Elections Commission
212 East Washington Avenue, 3rd Floor
Madison, WI 53703

Chairman Knudson:

Thank you for your letter acknowledging the resubmission of Elections Commission Interim Administrator Meagan Wolfe for Senate confirmation. As always, I appreciate hearing from commissioners regarding important issues.

During a meeting last year with Interim Administrator Wolfe, I strongly recommended that she sit down with members of the Wisconsin State Senate, who will be voting on her confirmation. I know that all members of the Senate care deeply about the integrity of our elections and look forward to meeting with Ms. Wolfe ahead of her confirmation.

State law requires the Senate to offer its advice and consent regarding certain nominees. The first step is meeting with Senators. For Ms. Wolfe, I recommend she begin with the members of the Senate Committee on Elections, Ethics and Rural Issues. Then, she should make herself available to other Senators to address any questions and concerns regarding her position. Finally, the Senate plans to hold a confirmation hearing based on feedback from members. This mirrors the confirmation process for the other state agencies.

If you have any inquiries regarding this process, please reach out my office and we will be happy to answer any questions you may have.

Best,

Scott Fitzgerald
Senate Majority Leader

STATE CAPITOL
P.O. BOX 7882 • MADISON, WISCONSIN 53707-7882 • SEN.FITZGERALD@LEGIS.WISCONSIN.GOV
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Bidding has ended on this item. The seller has relisted this item **or one like this.**

LUCAS THE SPIDER 1ST EDITION PLUSH TOY DOLL NEW See original listing

Sell one like this

Condition: **New**
Ended: Nov 06, 2019 , 9:35PM
Winning bid: **US $6.50** [10 bids]
Shipping: **$9.98** Standard SpeedPAK from China/Hong Kong/Taiwan
Item location: GuangDong, China
Seller: **anime-dolphin** (7909) | Seller's other items

Description

eBay item numb

Seller assumes all responsibility for this listing.

Item specifics

Condition: New: A brand-new, unused, unopened, undamaged item (including handmade items).
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WI-20-0154-A-000089

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Nintendo Kirby Adventure
hat
backpack
Disney
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Прочее

Description

- **Condition is New but his voice doesn't work,**still a super cute you for a Lucas fan.

Shipping info

1.Shipping to USA:we use the Epacket（tracking on USPS website）

Delivery time(China's working day)

days	5-10	11-15	16-20	>20	>30
rate	75%	22%	2%	1%	refund

NOTE:Hawaii and Alaska may take longer

2.Shipping to Other countries:We use Singapore Post or HongKong Post(they are no t
it does not affect the speed of delivery)

Delivery will last approx:(China's working day)
United Kingdom, Spain, France, Germany, Australia, Canada 15 – 29 days.
+++ (Over 30 days, FULL refund.)

Other countries such as Brazil, Italy,South America, Russian Federation,20-39days.
+++ (Over 40 days, FULL refund.)

Notice:
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Letter from Sen. Fitzgerald

Fitzgerald, Scott - LEGIS <Sen.Fitzgerald@legis.wisconsin.gov>

Wed 1/16/2019 12:43 PM

To: Magney, Reid - ELECTIONS <Reid.Magney@wisconsin.gov>; Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>; Wolfe, Meagan - ELECTIONS <Meagan.Wolfe@wisconsin.gov>

1 attachments (370 KB)

Letter to Elections Commission.pdf;

Greetings –

Attached, please find a letter to the Elections Commission from Senator Fitzgerald.

Fw: Today's decisions

Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>

Tue 1/21/2020 5:37 PM

To: Rep.Vos - LEGIS <Rep.Vos@legis.wisconsin.gov>

FYI - I notice Mr. Belling had intended to copy you on his message...so sending this for your information.
Dean Knudson

From: Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>
Sent: Tuesday, January 21, 2020 4:05 PM
To: BELLING, MARK C. <MARKBELLING@iheartmedia.com>
Cc: rep.vos@legis.wisconsin.com <rep.vos@legis.wisconsin.com>
Subject: Re: Today's decisions

Hi Mark, I reviewed the complaints related to nomination papers for three Milwaukee area candidates including Kennedy, Sullivan and King. None of these cases was a close call. Kennedy and Sullivan violated a state law specifically written to prevent the circulation by one individual of nomination papers for two candidates for the same office. The law and the intent of the legislature was very clear as applied to the Kennedy and Sullivan cases.

Unfortunately King did not file enough valid nomination signatures to gain ballot access. It is ultimately the responsibility of each candidate to review the papers to ensure a sufficient number of signatures are submitted. The law allows a candidate to submit twice the minimum number as a protection against failing to reach the minimum due to problems with some of them. King submitted three nomination papers with missing or incomplete address information for the circulator statements. WEC accepted both the papers with incomplete addresses. The paper without any address information for the circulator was not accepted as valid. This is consistent with past practice and nothing new.

The Commission has a special meeting scheduled on Friday at 4:00pm this week. In my judgment it was critically important to resolve these matters in a timely manner. I do not believe a meeting of the full Commission would have reached a different conclusion. The candidates have the right to appeal the decisions to have a judge review their arguments.

Dean Knudson

From: BELLING, MARK C. <MARKBELLING@iheartmedia.com>
Sent: Tuesday, January 21, 2020 2:48 PM
To: Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>
Cc: rep.vos@legis.wisconsin.com <rep.vos@legis.wisconsin.com>
Subject: Today's decisions

Dean

In an email, Reid Magney informed me that the decision to strike the name of Milwaukee mayoral candidate David King (the endorsed candidate of the Milwaukee County Republican Party) and two candidates for Milwaukee County Executive was made by you, without a commission vote, and based on recommendation of the Commission's Madison staff.

Why did you not schedule a full Commission vote on this?

Did you personally listen to any of the arguments made on the appeals?

What is the point of having a six member commission if the most important decisions are relegated to staff and the other five commissioners are not even given a chance to participate?

It would seem to be that a challenge to ballot access is THE MOST IMPORTANT DECISION THE COMMISSION CAN MAKE. How in the world can this therefore be a one person decision?

Mark Belling
PM Drive Talk Show Host WISN-AM, Western Great Lakes Region
iHeartMedia
12100 W. Howard Ave, Greenfield, WI, 53228
P: 414-545-8900
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Re: Oconomowoc Mayoral Candidate Removal

Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>
Thu 1/23/2020 5:32 PM

To: BELLING, MARK C. <MARKBELLING@iheartmedia.com>; Wolfe, Meagan - ELECTIONS <Meagan.Wolfe@wisconsin.gov>
Bcc: Vos, Robin - LEGIS <Robin.Vos@legis.wisconsin.gov>

Hi Mark -

The clerk in Oconomowoc originally certified 200 signatures for Kowieski. My understanding is that she counted each page and wrote the number of valid signatures on the page, then she added all the numbers she had written on the individual pages. At no point did she actually perform a simple aggregate count of all the signatures. On one page the number she wrote on the page didn't reflect the number of signatures on that page, i.e. on one page the number she wrote appears to have been two greater than the number of actual signatures on that page.

Upon receiving the complaint WEC staff disregarded the written notations and simply counted the signatures that were certified as valid. The number counted was 198 or 2 signatures short of the necessary number. When the clerk was called to inquire about the difference, she recounted and realized her mistake. The clerk then emailed WEC stating that "I just wanted to let you know that I recounted (slowly) and it is 198". The clerk herself then, after consultation with the Oconomowoc City Attorney, issued an amended sufficiency determination and reversed their original determination – finding the minimum of 200 valid signatures had not been submitted, and therefore the candidate's name should not appear on the ballot. In short, a simple mistake had been made by the clerk. This made the original complaint to WEC moot and no further action was taken by WEC. It would not be correct to state that the WEC told her to do anything in this case but rather simply acted as another set of eyes on the documents. These nomination papers would be an open record if you wish to request them.

Kowieski has the right to challenge the clerk's actions and decisions in this case.

Candidates are ultimately responsible for insuring that a sufficient number of valid signatures are submitted. Candidates for Mayor in Oconomowoc are allowed to submit 400 signatures to meet the minimum of 200 valid signatures. Candidates submitting only a few signatures more than the minimum, as in this case, are at risk of not making the ballot if some of the signatures are not valid.

There is no evidence of partisan bias in this case. There was no decision or ruling or judgment by anyone at WEC. There was no persuasion or direction by WEC other than to point out the obvious counting error.

Dean Knudson

From: BELLING, MARK C. <MARKBELLING@iheartmedia.com>
Sent: Thursday, January 23, 2020 2:03 PM
To: Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>
Subject: Oconomowoc Mayoral Candidate Removal

Dean

Did you, or the entire Commission, make a ruling on the challenge to the ballot access of Oconomowoc mayoral candidate Lou Kowielewski?

The Waukesha Democratic Party challenged his petitions because some lacked a date on the top of the nominating page and some did not include the title of the office. The Oconomowoc City Clerk ruled the candidate's petitions were valid. This morning, she says she was told by the "Commission" to remove the candidate.

Who did this? The Commission staff? You? The entire Commission?

This is the latest instance in which a complaint was filed by a Democrat or, in this case, the Democratic Party and the Commission has sided with it. Yet, I can't find any evidence of an actual decision by the Commission. I have obvious concerns that I have raised with Speaker Vos that the Commission is derelict in its duties and is being steamrollered by a partisan Madison staff. As you know, I was appalled that the recent Milwaukee cases were decided without a full Commission vote and in each case sided with a challenge made by Democrats.

The purpose, I was told at the time, of having three Republicans and three Democrats on the Commission, was to guarantee that the Madison staff would not function in a partisan fashion as it did during the GAB era. Yet the Commission seems to me to now be far worse than the GAB ever was and I see no evidence of oversight by the Commission of its partisan and biased staff.

If the full Commission did not consider the Oconomowoc case, why not? And why is the Commission staff, on its own, being allowed to order any municipal officials to do anything? The Commission staff, I have been told repeatedly, is there to enforce Commission decisions and not to make rulings or decisions on its own.

I fear that tomorrow your Commission will throw Justice Kelly off the ballot.

Mark Belling
PM Drive Talk Show Host WISN-AM, Western Great Lakes Region
iHeartMedia
12100 W. Howard Ave, Greenfield, WI, 53228
P: 414-545-8900

Followup to our phone conversation re: Milwaukee's plan in regard to Wis. Stat 7.15(1)(cm)

Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>

Tue 2/11/2020 12:02 PM

To: Handrick, Joe X - LEGIS <joe.handrick@legis.wisconsin.gov>

1 attachments (196 KB)

WEC Absentee Ballot Reponse .pdf;

Joe- This item will be discussed at a Elections Commission meeting tomorrow. I think the law is clear and unambiguous that the ballots must be sent 47 days in advance. My guidance to the WEC staff during the development of the A/B guidance was that, regardless of past practice to the contrary, the WEC staff should advise the clerks to follow the law. Just sending this for your information only because legislation will likely be required in the future.

Dean Knudson

Re: Elections Commission Background Information

Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>

Thu 12/19/2019 12:50 PM

To: Luke, Ashley - LEGIS <Ashley.Luke@legis.wisconsin.gov>; Rep.Vos - LEGIS <Rep.Vos@legis.wisconsin.gov>

4 attachments (2 MB)

Knudson memo on ERIC legislation.pdf; ERIC related statutes.pdf; 001 - Paper 280 Electronic Registration Information Center (Alternatives 1 and 3) (1).pdf; Exh. A - ERIC Membership Agreement.pdf;

Hi Ashley,

The Wisconsin Elections Commission has voted to seek legislation clarifying the procedures related to Wisconsin's membership in ERIC. Please forward my attached memo, a pdf with pertinent statutes, a copy of the JFC issue paper from May, and a copy of the ERIC membership agreement. These documents will be helpful during our telephone discussion tomorrow.

Thanks,

Dean Knudson

From: Luke, Ashley - LEGIS <Ashley.Luke@legis.wisconsin.gov>
Sent: Thursday, December 19, 2019 8:18 AM
To: Knudson, Dean - ELECTIONS <Dean.Knudson@wisconsin.gov>
Subject: Elections Commission Background Information

Hello Dean,

Thank you for speaking with me on the phone this morning. I look forward to hearing back from you so I can pass along the background information to staff in the office on your behalf!

Thanks again,

Ashley Luke
Office of Assembly Speaker Robin Vos
608-266-9171, 1-888-534-0063
Ashley.Luke@legis.wisconsin.gov

FW: Fitzgerald appointment to Elections Commission

Wolfe, Meagan - ELECTIONS <Meagan.Wolfe@wisconsin.gov>
Wed 10/16/2019 4:26 PM

To: EL DL Elections Comm <ELECdlelectionscommision@wisconsin.gov>
Cc: Rydecki, Richard H - ELECTIONS <Richard.Rydecki@wisconsin.gov>; Haas, Michael R - ELECTIONS <Michael.Haas@wisconsin.gov>; Judnic, Nathan - ELECTIONS <Nathan.Judnic@wisconsin.gov>; Kehoe, Robert Y - ELECTIONS <robert.kehoe@wisconsin.gov>; Hauge, Sharrie - ELECTIONS <Sharrie.Hauge@wisconsin.gov>

2 attachments (403 KB)
Fitzgerald Appt to WEC - Oct 19 2019.pdf; Fitzgerald Appt to WEC - Oct 19 2019.pdf;

Commissioners-

Attached please find a letter from Senate Majority Leader Fitzgerald to Governor Evers appointing Bob Spindell of Milwaukee as a Commissioner. I did not receive any contact information, but will reach out to Commissioner Spindell once I do.

Please note he will not be on today's call and this is not an item on today's Commission agenda.

Meagan

From: Romportl, Dan - LEGIS <Dan.Romportl@legis.wisconsin.gov>
Sent: Wednesday, October 16, 2019 3:35 PM
To: Csontos, Flora - GOV <flora.csontos@wisconsin.gov>; Wolfe, Meagan - ELECTIONS <Meagan.Wolfe@wisconsin.gov>
Subject: Fitzgerald appointment to Elections Commission

Hi Flora & Meagan,

Please see the attached letter regarding Senator Fitzgerald's appointment to the vacant board position at the Wisconsin Elections Commission.

Feel free to contact me with any questions.

Thank you,

Dan Romportl
Chief of Staff
Senator Scott Fitzgerald
Senate Majority Leader
13th Senate District
(608) 266-5660

City of Milwaukee

Commissioners
Stephanie Findley, Chair
Carmen C. Cabrera

Executive Director
Neil V. Albrecht

Election Commission

February 10, 2020

Meagan Wolfe, Director
Wisconsin Elections Commission
212 East Washington Avenue, 3rd Floor
Madison, WI 53707-7984

Dear Ms. Wolfe:

The City of Milwaukee Election Commission has reviewed the memo "Issuing and Managing 'A' and 'B' Ballots for the Spring Election and Presidential Preference Vote" as published by the Wisconsin Elections Commission (WEC) on February 5, 2020.

This memo provides a timeline and other guidelines with regard to Wis. Stat. § 7.15(1)(cm) and the mailing of absentee ballots prior to the this year's Spring Election and Presidential Primary. After careful review and discussion with staff, I have determined that it is not feasible for this office to comply with these guidelines based on the following considerations:

1. The WEC has previously applied Wis. Stat. § 7.15(1)(cm) only to military and overseas absentee voters. Based on this previous instruction, the City of Milwaukee has always planned around and complied with the WEC's previous interpretation of this state statute. If this change was imperative to absentee voting in Wisconsin, communication of the change should have occurred sooner to allow clerks in large municipalities, such as the City of Milwaukee, to comply.
2. The City of Milwaukee currently has approximately 10,000 residents with absentee ballot requests on file for the April Spring Election and Presidential Preference. Notification of this change was provided with less than 15 calendar days before 'A' ballots would need to be issued (February 20). During this 15 day period, the city will be administering the February 18 Spring Primary, the city's largest municipal and county Primary. Immediately following, staff of the department will be entirely dedicated to completing the primary reconciliation and election certification processes so that ballots for the April 7 Spring Election and Presidential Preference can be prepared. Any attempt to meet the terms of this new guidance compromise the city's ability to administer other critical election functions.
3. The processes proposed in the WEC's instructions rely heavily on the use of WisVote to track absentee data. Instructions and training on these processes are incomplete or non-existent. Further, the city has already alerted the WEC of issues related to the absentee voting functions in WisVote that slow or compromise the city's ability to send absentee ballots in a timely manner. To date, these issues remain unresolved. Based on this experience, I do not feel confident that WisVote will provide the necessary tool for the city to effectively manage this process and be able to reconcile absentee voters after the election.

4. Several of the key processes proposed by the WEC by which 'A' and 'B' ballots are to be reconciled on Election Day are not possible in large municipalities like Milwaukee, with 180 voting sites and a central count processing of absentee ballots. As to what is proposed, the publication of election results would be significantly delayed, presenting an unnecessary hardship to candidates and the public.
5. The submission of 'A' and 'B' ballots to voters represents the first time absentee voters in the City of Milwaukee will receive multiple ballots prior to an election. Public education on this substantial change in voting practice has been non-existent. Any one-time letter to voters attempting to explain this change would be entirely insufficient.
6. Implementing this change would cause tremendous and widespread confusion to absentee voters. Nil benefit to voters, but unquestionable harm. This confusion would impact votes cast and voter participation in the election. It would be a disservice to this city's voters as well as compromise the fair and transparent administration of elections.

The City of Milwaukee Election Commission strives at all opportunities to comply with the guidelines presented by the WEC. However, in this instance, the stated action cannot be achieved in the timeline presented without compromising the integrity of the absentee voting process for the upcoming primary and election. The City of Milwaukee will continue to act in compliance with all UOCAVA and MOVE Act requirements and submit Presidential Vote ballots to overseas and military voters.

Please do not hesitate to contact me if you have any questions regarding this information.

Sincerely,

Neil Albrecht
Executive Director